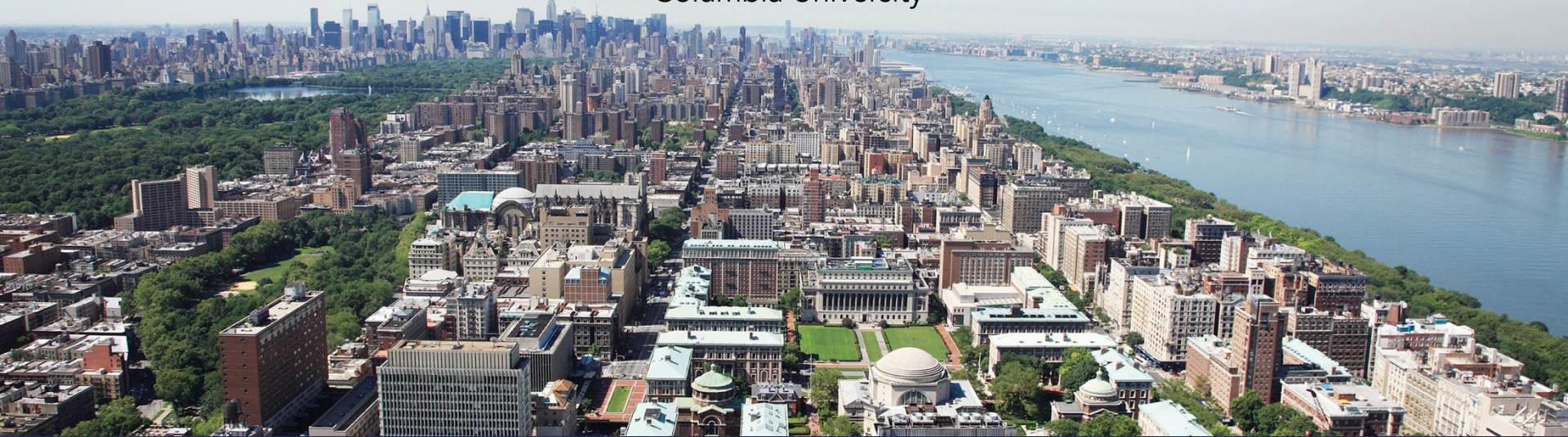


# Thermodynamic-informed machine learning for solid mechanics

Steve Sun

Department of Civil Engineering and Engineering Mechanics  
Columbia University

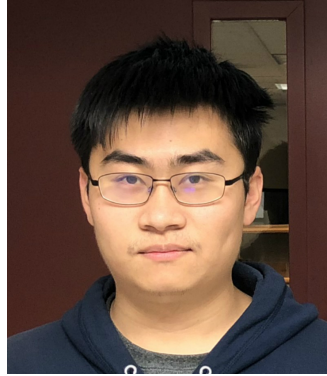




# Major contributors of this talk



Nikolas Napoleon Vlassis (PhD student)  
Geometric Learning of poly-crystals



Kun Wang (PhD graduate)  
game theory for model validation



Bahador Bahmani (PhD student)  
Model-free poromechanics



Ran Ma  
Associate research scientist  
FFT simulations for fast  
database generation



Yousef Heider  
Former associate research scientist,  
Lie group mapping

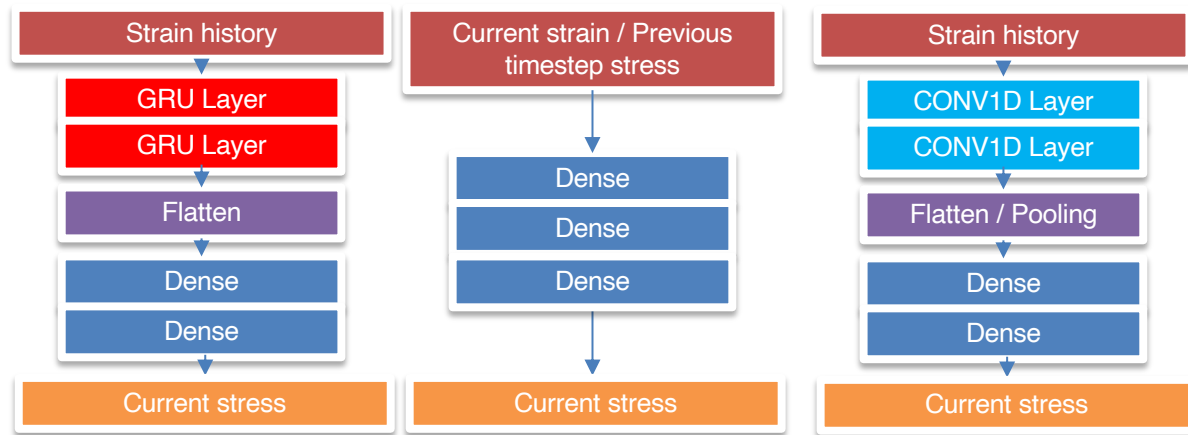


*What can we learn from what the machine learned?*

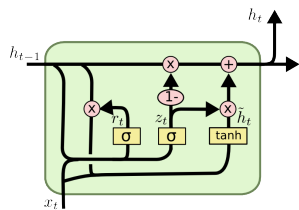


# Classical elastoplasticity black-box neural networks

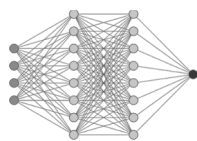
## Classical “recurrent” black-box architectures



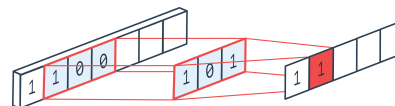
GRU Recurrent NN



Multi-step Feed-forward NN



CONV1D Recurrent NN





# Machine learning approaches for constitutive modeling

Easy to interpret results (e.g. check convexity..etc.)

How do we get here?  
How do we gain new knowledge?  
How do we verify beyond data?

Neural network material  
parameter identification  
(but nothing new discovered, just use  
NN or ML as an optimization tool)

Perform  
well with  
limited data

Symbolic regression  
(often leads to unreadable long  
expressions)

Neural network  
constitutive laws  
(indirect interpretation)

Model-free  
approach  
(no model to  
interpret)

Hard to interpret results



# How about hand-crafted plasticity models?

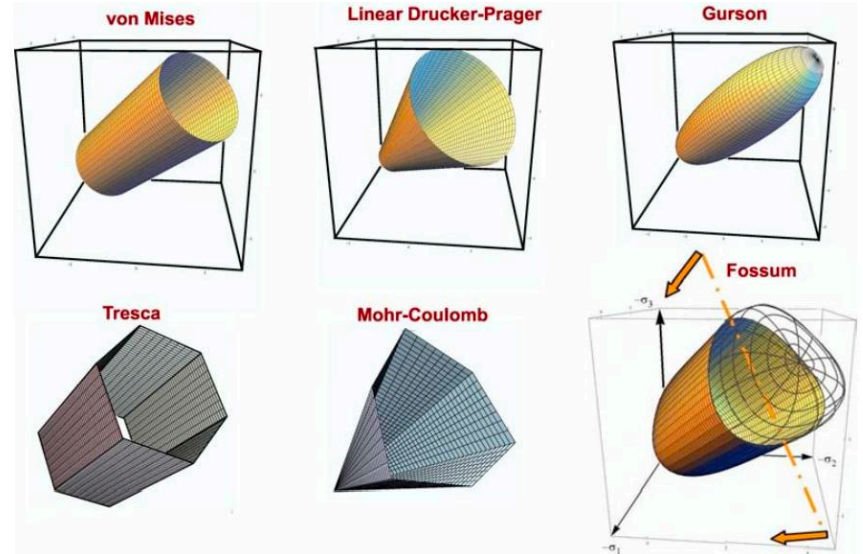
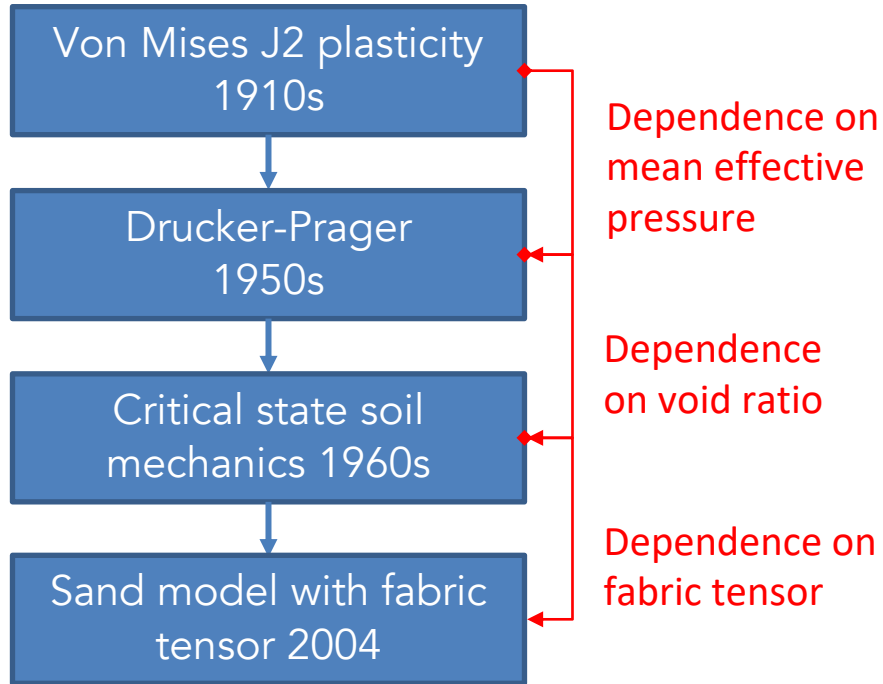


Figure from Rebecca Brannon



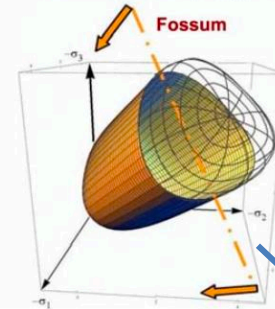
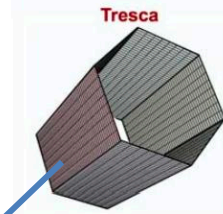
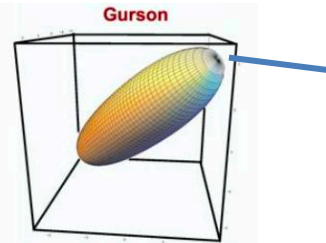
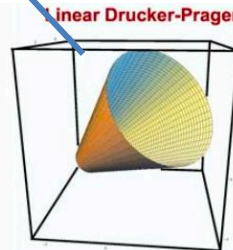
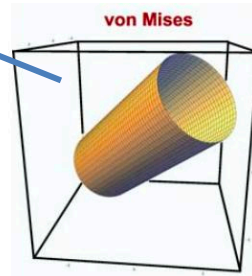
# New yield surface takes long time to discover

$$\tilde{f}(\sigma, \kappa) = \sqrt{2J_2} - \kappa \leq 0$$

$$\tilde{f} = \sqrt{\frac{2}{3}q} - (A - Bp) \leq 0$$

$$\tilde{f}(\sigma, \sigma_M, f^*) = \frac{\sigma_e^2}{\sigma_M^2} + 2q_1 f^* \cosh\left(\frac{q_2 \text{tr}\sigma}{2\sigma_M}\right) - 1 - (q_1 f^*)^2 \leq 0$$

- Yield surface shapes and their evolution (hardening) are described with **complex mathematical expressions**.
- Many yield surface models require special treatment and model specific algorithms (e.g. lack of smoothness in the Mohr-Coulomb surface tips).



$$\begin{aligned} \tilde{f}(\sigma_1, \sigma_2, \sigma_3, S_y) = & \\ & \frac{1}{2} \max(|\sigma_1 - \sigma_2|, |\sigma_2 - \sigma_3|, |\sigma_3 - \sigma_1|) \\ & - \frac{1}{2} S_y \leq 0 \end{aligned}$$

$$\tilde{f} = 2\sqrt{J_2} \cos(\theta - \pi/6) - Y \leq 0$$

$$\begin{aligned} \tilde{f}(\sigma, \alpha, \kappa) = & J_2^\xi \Gamma^2(\bar{\theta}) \\ & - [F_f(I_1) - N]^2 F_c^2(I_1, \kappa) \leq 0 \end{aligned}$$



New hardening model and flow rules takes long time to discover and they are even harder to calibrate

**JOURNAL OF GEOPHYSICAL RESEARCH**  
**Solid Earth**  
*AN AGU JOURNAL*

Regular Section | [Full Access](#)

**Stabilization of rapid frictional slip on a weakening fault by dilatant hardening**

John W. Rudnicki, Chao-Hsun Chen

First published: 10 May 1988 | <https://doi.org/10.1029/JB093iB05p04745> | Citations: 73


**Computer Methods in Applied Mechanics and Engineering**  
 Volume 194, Issues 50–52, 1 December 2005, Pages S109–S138
 

# Implicit numerical integration of a three-invariant, isotropic/kinematic hardening cap plasticity model for geomaterials

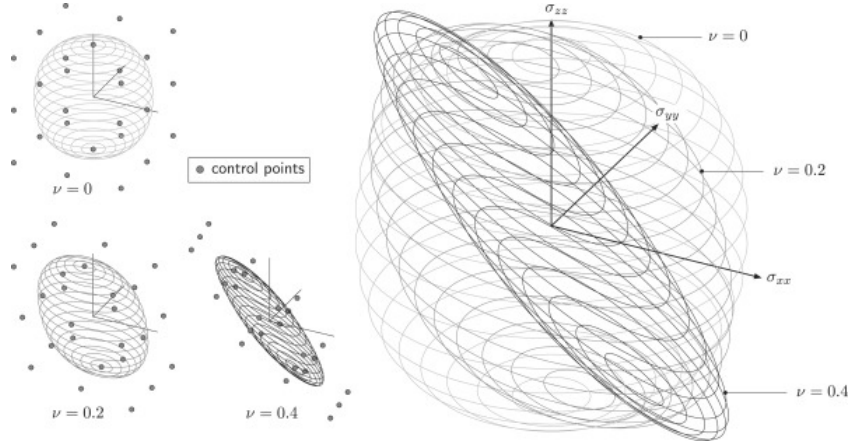
C.D. Foster <sup>a</sup>, R.A. Regueiro <sup>b</sup>  , A.F. Fossum <sup>c</sup>, R.I. Borja <sup>a</sup>

Show more ▾

[illegible]

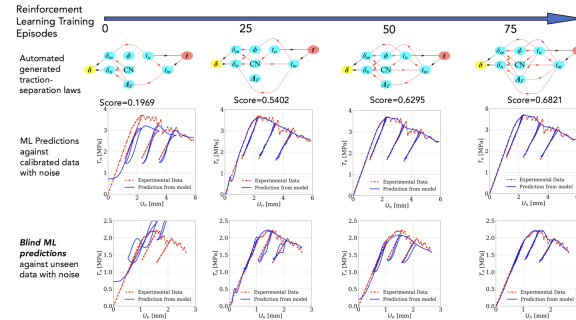


# Previous work on generalizing plasticity models



NURB Yield surface for perfect plasticity  
(Coombs, Petit, Motlagh, CMAME, 2016)

Only works for perfect plasticity



**Figure 6:** Improved calibration and blind prediction scores throughout the training. As time progresses, the AI learn to write models with increasingly precise predictions. After 75 episodes (i.e. 75 different constitutive laws are built, both the calibration exercises and blind predictions (blue) are able to yield excellent matches with the benchmark (red).

Cooperative game for deducing plasticity models via deep reinforcement learning (Wang & Sun, CMAME 2018, Wang, Sun, Du, CM, 2019)

Need to program all choices in the decision tree and required prior knowledge

(P6) Plastic flow direction defined as [63,104]

$$\begin{cases} m_s^{flow} = \frac{d_g}{\sqrt{1+d_g^2}} \\ m_z^{flow} = \frac{1}{\sqrt{1+d_g^2}} \\ d_g = (1+\alpha)(M_g + q/p) \end{cases}$$

where  $\alpha$ ,  $M_g$  are material parameters.

(P7) Plastic flow direction defined as [44]

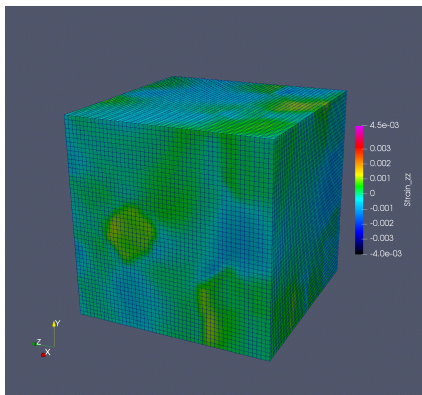
$$\begin{cases} m_s^{flow} = \frac{d_g}{\sqrt{1+d_g^2}} \\ m_z^{flow} = \frac{1}{\sqrt{1+d_g^2}} \\ d_g = (1+\alpha)(M_g \exp m_g \psi + q/p) \\ \psi = e - e_{c0} + \lambda(p/p_{at})^a \end{cases}$$



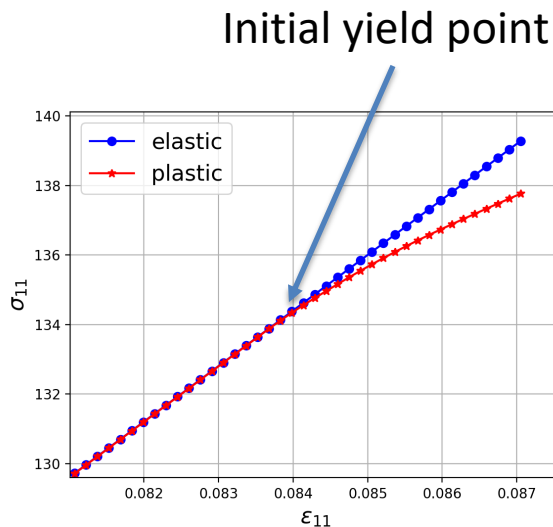
# *Machine learning plasticity with level set hardening*



# Machine learning with sub-goals -- Identification of initial yield surface using elasticity model



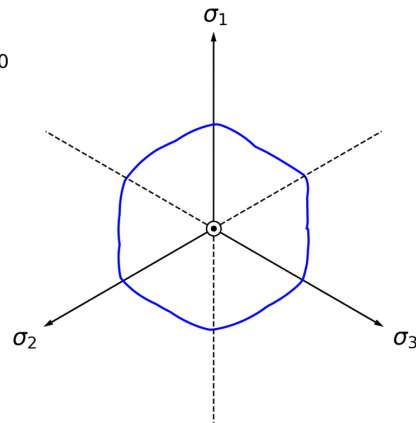
RVE simulations  
(w FFT solver)



Identify initial yield surface  
and hardening



$$\overline{\epsilon}_p = 0.0$$



— NN

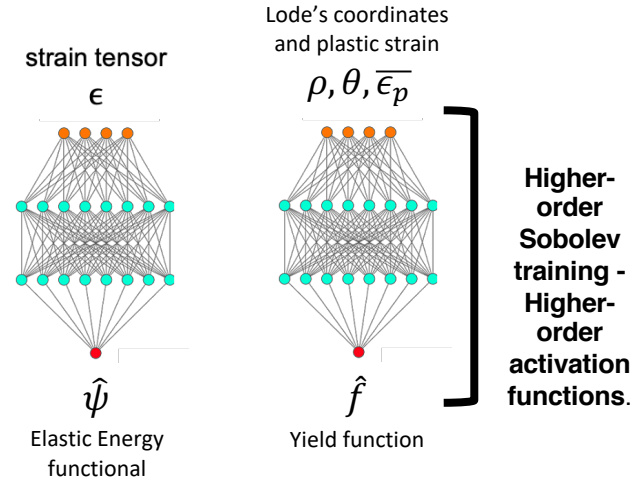
Sobolev training for  
yield function



# Departure from classical elastoplasticity black-box neural networks

Our approach:

**Elastic** and **plastic** behaviors **decomposed**.



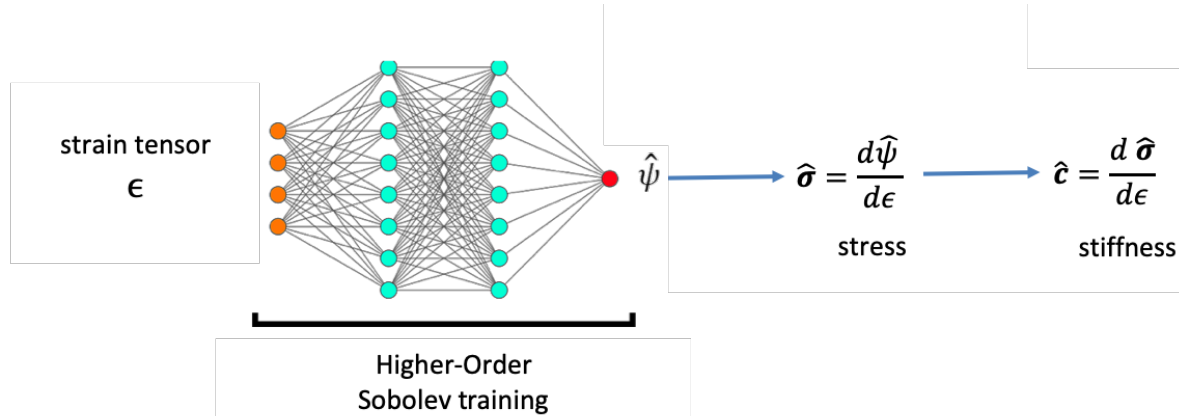
- **Two neural networks** – **hyperelastic energy functional** and **yield function**.
- Utilize plasticity theory to combine the two networks (**return mapping algorithm**).



# *ML learning for hyperelasticity energy functionals*

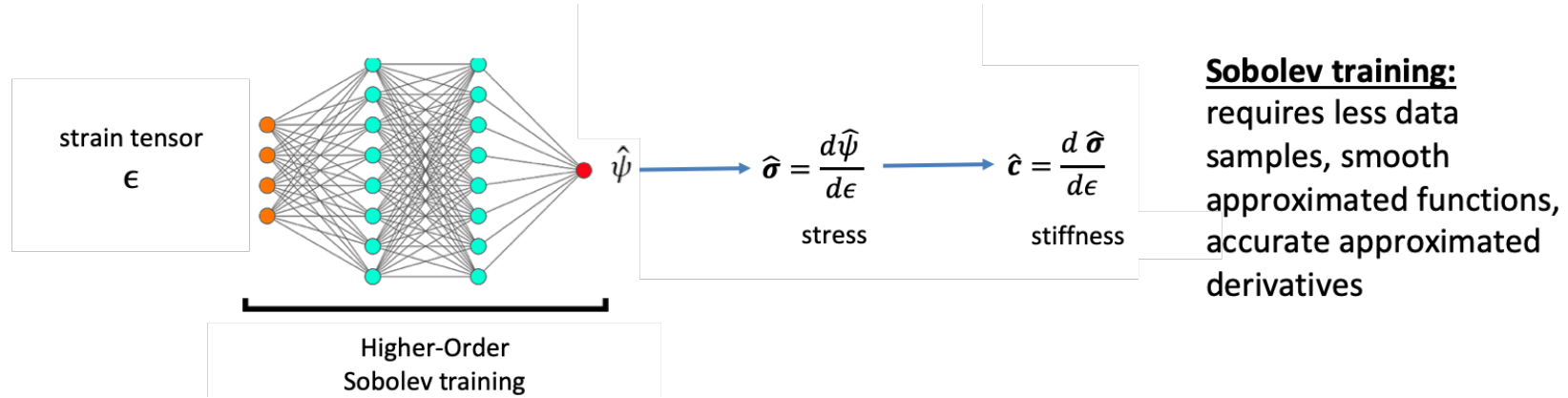


# Sobolev training of a hyperelastic energy functional



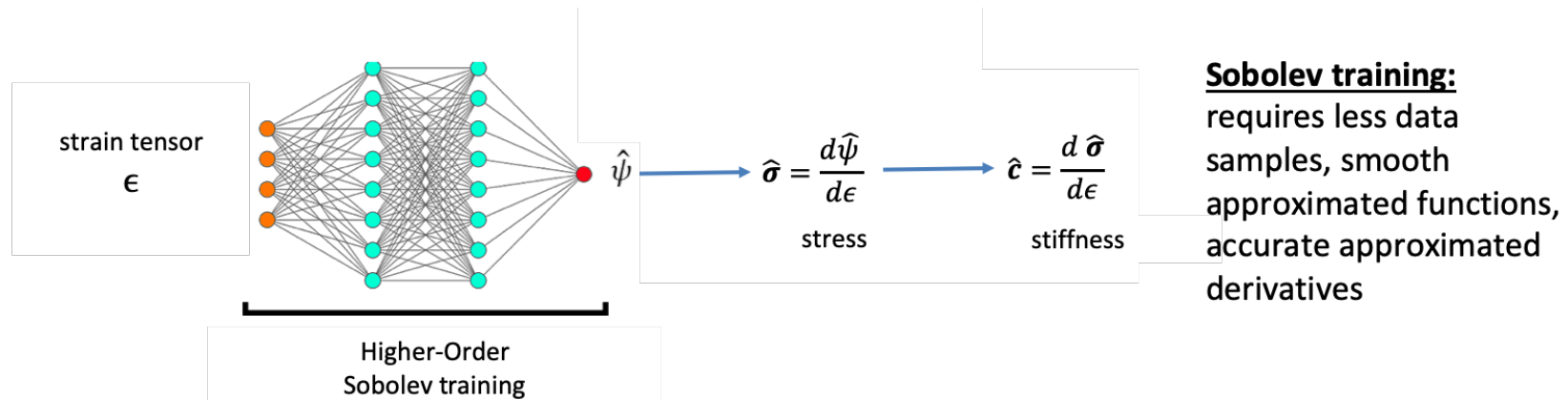


# Sobolev training of a hyperelastic energy functional





# Sobolev training of a hyperelastic energy functional

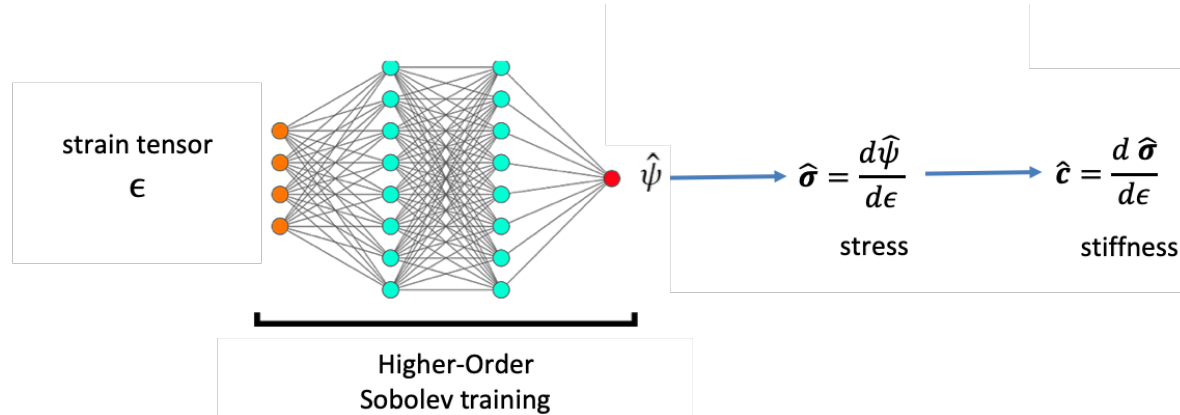


**$L_2$  norm:** Constrain energy predictions [classical NN]:

$$\frac{1}{N} \sum_{i=1}^N \|\psi_i - \hat{\psi}_i\|_2^2$$



# Sobolev training of a hyperelastic energy functional



## Sobolev training:

requires less data samples, smooth approximated functions, accurate approximated derivatives

**$L_2$  norm:** Constrain energy predictions [classical NN]:

$$\frac{1}{N} \sum_{i=1}^N \|\psi_i - \hat{\psi}_i\|_2^2$$

**$H_1$  norm:** Constrain energy and stress predictions [Sobolev training, Google Deep Mind]:

$$\frac{1}{N} \sum_{i=1}^N \|\psi_i - \hat{\psi}_i\|_2^2 + \frac{1}{N} \sum_{i=1}^N \|\sigma_i - \hat{\sigma}_i\|_2^2$$

**$H_2$  norm:** Constrain energy, stress and stiffness predictions [our extension]:

$$\frac{1}{N} \sum_{i=1}^N \|\psi_i - \hat{\psi}_i\|_2^2 + \frac{1}{N} \sum_{i=1}^N \|\sigma_i - \hat{\sigma}_i\|_2^2 + \frac{1}{N} \sum_{i=1}^N \|c_i - \hat{c}_i\|_2^2$$

- **Interpretable** neural network derivatives – higher-order derivative – thermodynamic constraints in the loss function (**Sobolev training**).
- **$H^2$  training: higher accuracy** for energy, stress, and stiffness for the **same number of samples**.

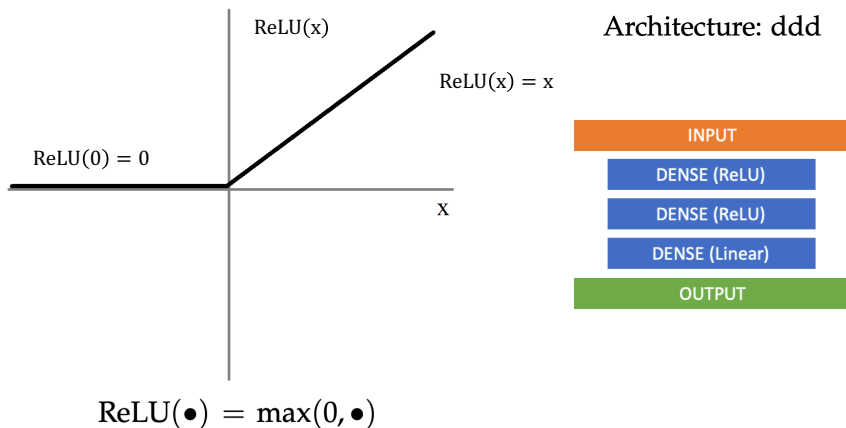
use of higher-order activations functions



# Higher-order activation functions

In order to implement **implicit algorithms** (elastoplasticity)  $\rightarrow$  **second-order derivatives** of functionals

## Classical feed-forward architectures



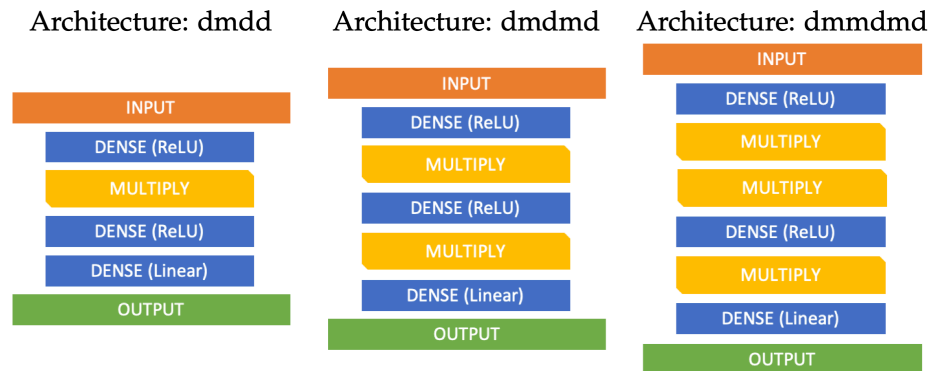
- Classical regression activation functions  $\rightarrow$  **piece-wise linear**  $\rightarrow$  **second-order derivatives** of networks are **locally zero**

## Our approach:

Increase “**non-linearity**” by adding **Multiply** layers

$$h^n = \text{Multiply}(h^{n-1}) = h^{n-1} \circ h^{n-1}$$

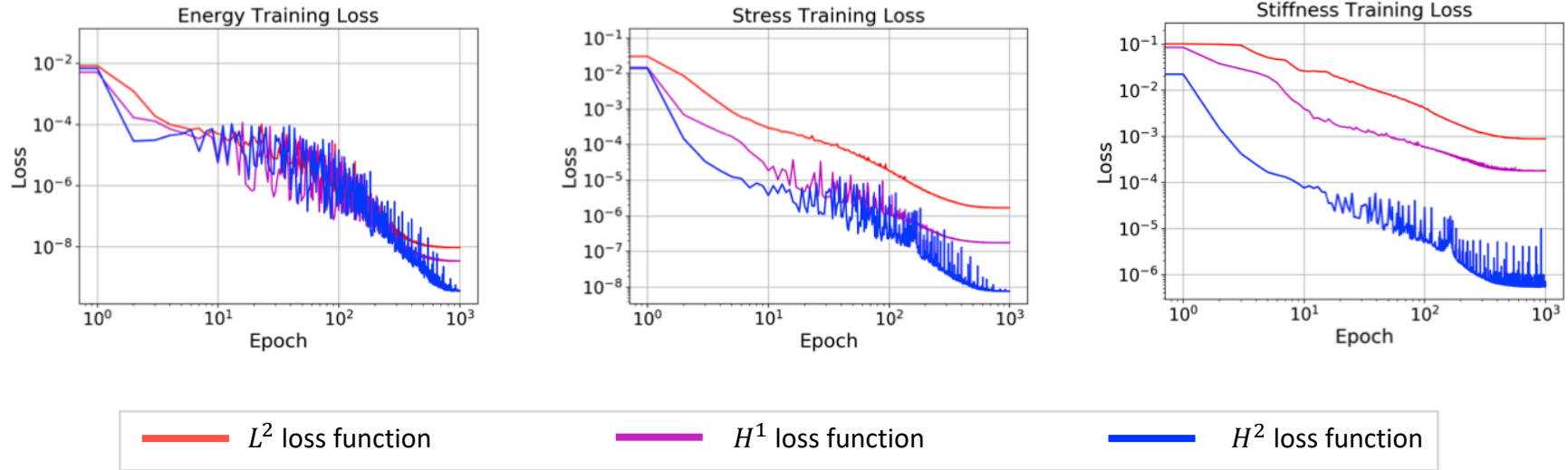
where  $\circ$  is the element-wise multiplication of vectors



Increase number of **Multiply** layers:



# $L^2$ norm - $H^1$ norm - $H^2$ norm Training Comparison

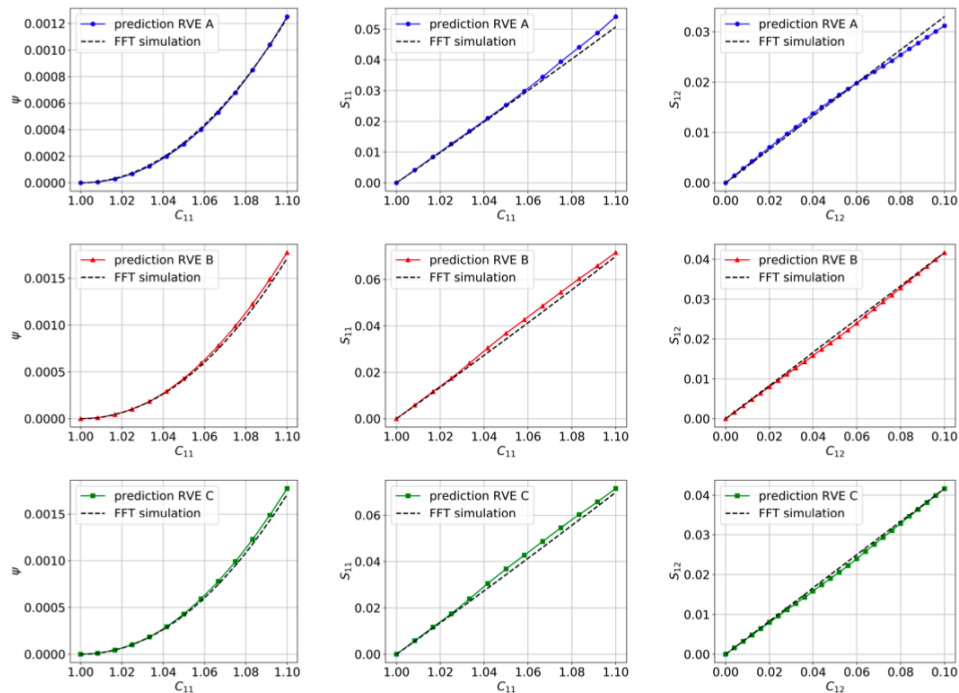


**$H^2$  training and higher order activation functions procure higher accuracy in the energy, stress, and stiffness of predictions for the same number of samples compared to the classical  $L_2$  training methods.**

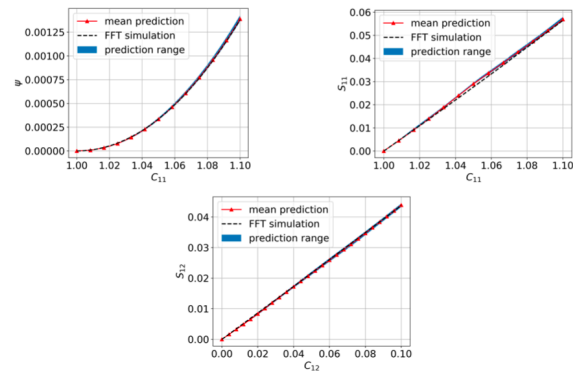


# Predictions of polycrystal elasticity for calibrated and unseen RVEs

## Predictions of elastic responses on unseen RVEs

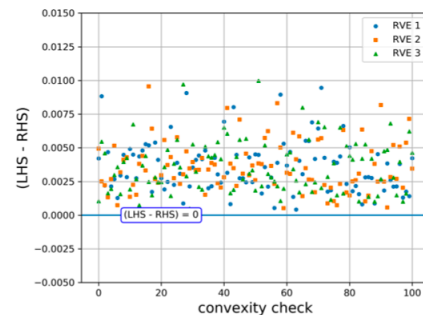


## Graph isomorphism test



## Convexity test

$$\hat{\psi}(C_\alpha, G_k) \geq \hat{\psi}(C_\beta, G_k) + \frac{\partial \hat{\psi}}{\partial C}(C_\beta, G_k) : (C_\alpha - C_\beta), \quad \text{for all } C_\alpha, C_\beta \in D \text{ and } k \in [1, \dots, N_{RVE}]$$



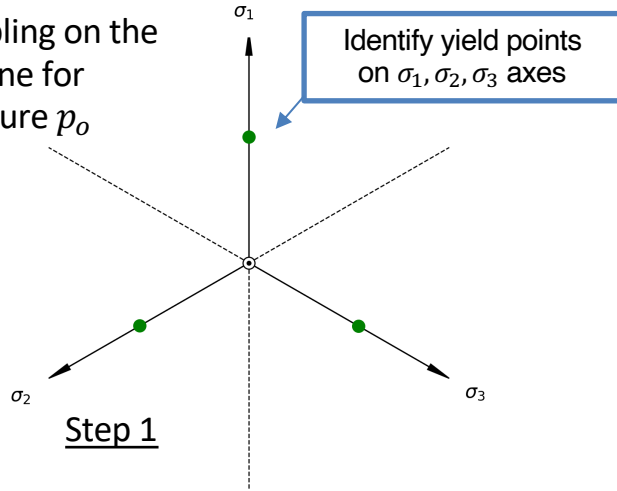


# *ML learning for evolving yield function*

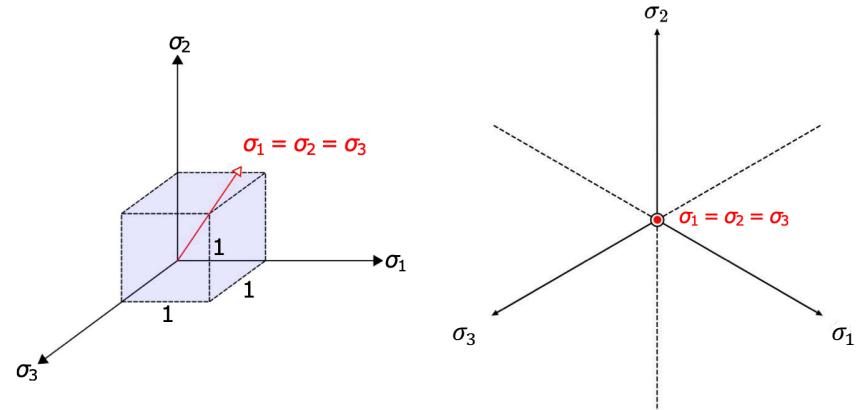


# Efficient yield function data sampling for new material

Sampling on the  $\pi$ -plane for pressure  $p_0$



**Lower-dimensional stress representation on the  $\pi$ -plane with Lode's coordinates  $(\rho, \theta)$**

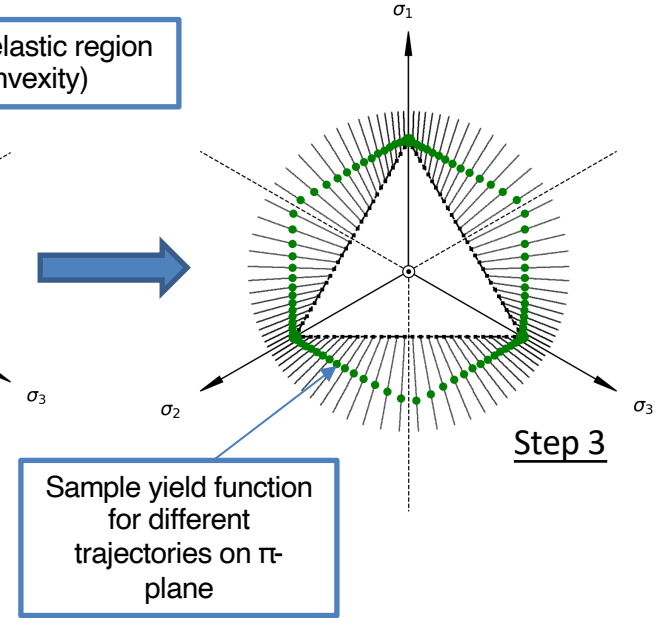
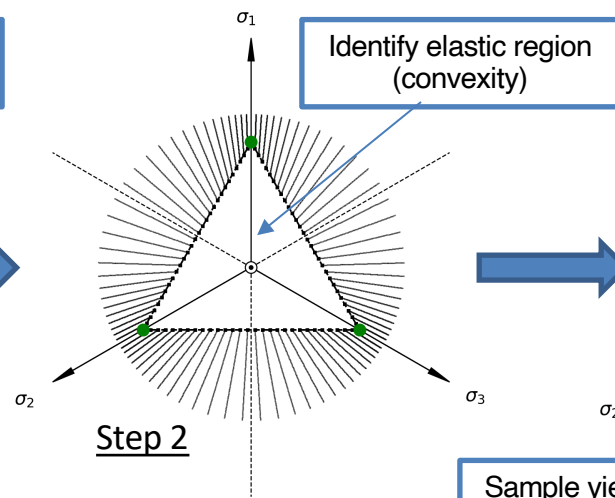
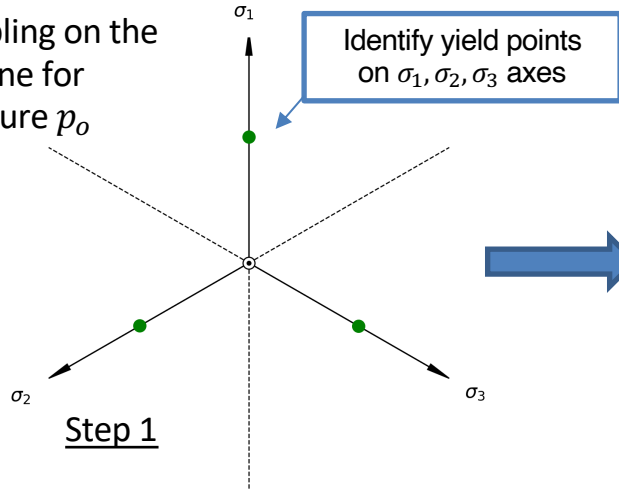


The  $\pi$ -plane is perpendicular to the space diagonal and is passing through the origin of the principal stress axes.



# Efficient yield function data sampling for new material

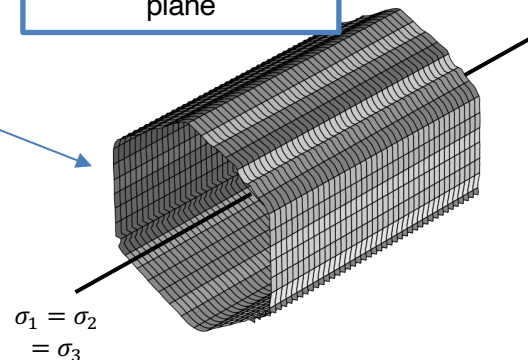
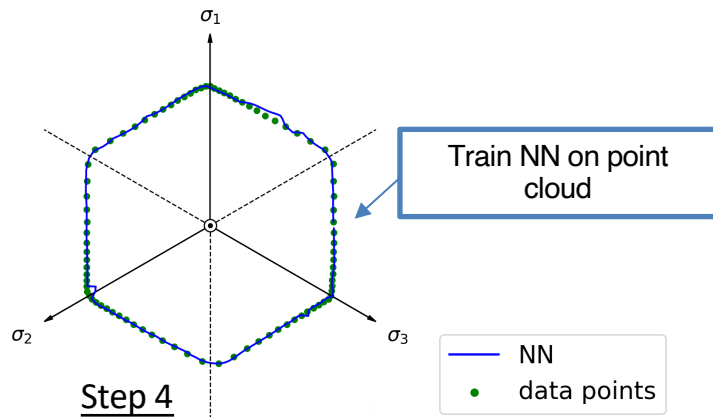
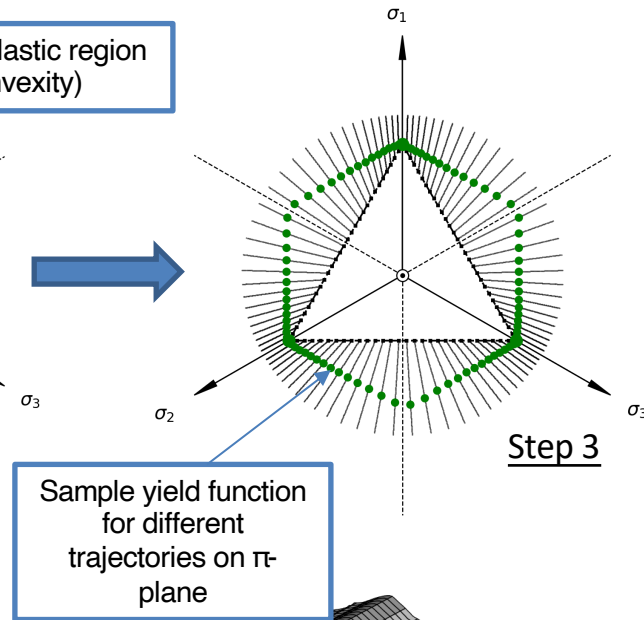
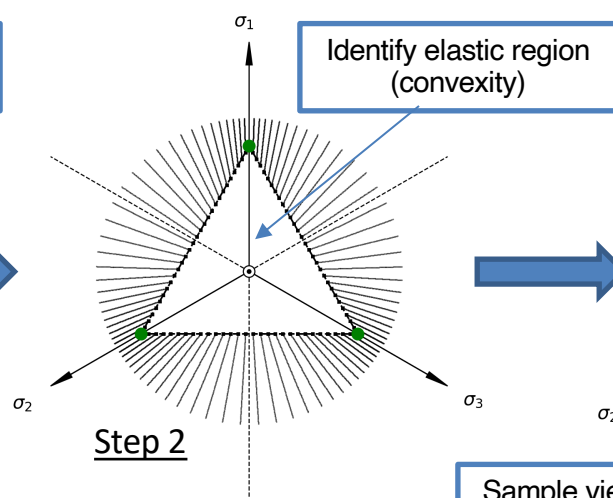
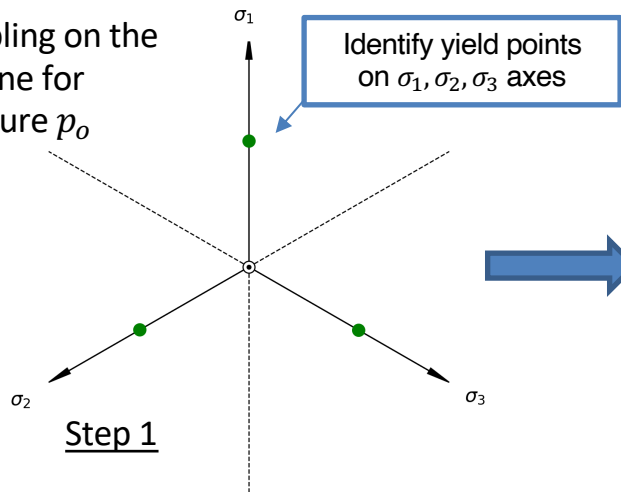
Sampling on the  $\pi$ -plane for pressure  $p_0$





# Efficient yield function data sampling for new material

Sampling on the  $\pi$ -plane for pressure  $p_0$





# Converting yield surface into a signed distance function

Preprocess data as a **level set initialization** problem

1. Reduce dimensionality with  **$\pi$ -plane**:

$$\mathbf{x}(\sigma_{11}, \sigma_{22}, \sigma_{33}, \sigma_{12}, \sigma_{23}, \sigma_{13}) = \bar{\mathbf{x}}(\sigma_1, \sigma_2, \sigma_3) = \hat{\mathbf{x}}(\rho, \theta).$$

2. Convert yield function into signed distance function by solving **Eikonal equation** in polar coordinates while enforcing the boundary  $f=0$

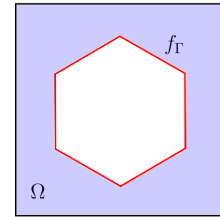
$$|\nabla_{\hat{\mathbf{x}}} \phi| = 1 \quad \longrightarrow \quad \left(\frac{\partial \phi}{\partial \rho}\right)^2 + \frac{1}{\rho^2} \left(\frac{\partial \phi}{\partial \theta}\right)^2 = 1.$$

3. The resultant yield surface becomes

$$\phi(\hat{\mathbf{x}}, t) = \begin{cases} d(\hat{\mathbf{x}}) & \text{outside } f_{\Gamma} \text{ (inadmissible stress)} \\ 0 & \text{on } f_{\Gamma} \text{ (yielding)} \\ -d(\hat{\mathbf{x}}) & \text{inside } f_{\Gamma} \text{ (elastic region)} \end{cases},$$

where

$$d(\hat{\mathbf{x}}) = \min (|\hat{\mathbf{x}} - \hat{\mathbf{x}}_{\Gamma}|).$$





# Converting yield surface into a signed distance function

Preprocess data as a **level set initialization** problem

1. Reduce dimensionality with  $\pi$ -plane:

$$\mathbf{x}(\sigma_{11}, \sigma_{22}, \sigma_{33}, \sigma_{12}, \sigma_{23}, \sigma_{13}) = \bar{\mathbf{x}}(\sigma_1, \sigma_2, \sigma_3) = \hat{\mathbf{x}}(\rho, \theta).$$

2. Convert yield function into signed distance function by solving **Eikonal equation** in polar coordinates while enforcing the boundary  $f=0$

$$|\nabla_{\hat{\mathbf{x}}} \phi| = 1 \quad \longrightarrow \quad \left(\frac{\partial \phi}{\partial \rho}\right)^2 + \frac{1}{\rho^2} \left(\frac{\partial \phi}{\partial \theta}\right)^2 = 1.$$

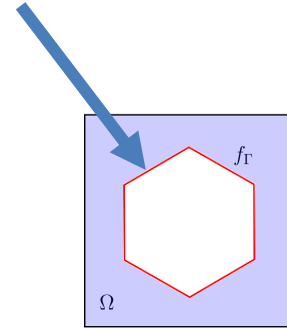
3. The resultant yield surface becomes

$$\phi(\hat{\mathbf{x}}, t) = \begin{cases} d(\hat{\mathbf{x}}) & \text{outside } f_{\Gamma} \text{ (inadmissible stress)} \\ 0 & \text{on } f_{\Gamma} \text{ (yielding)} \\ -d(\hat{\mathbf{x}}) & \text{inside } f_{\Gamma} \text{ (elastic region)} \end{cases},$$

where

$$d(\hat{\mathbf{x}}) = \min (|\hat{\mathbf{x}} - \hat{\mathbf{x}}_{\Gamma}|).$$

Yield surface data





# Converting yield surface into a signed distance function

Preprocess data as a **level set initialization** problem

1. Reduce dimensionality with  **$\pi$ -plane**:

$$\mathbf{x}(\sigma_{11}, \sigma_{22}, \sigma_{33}, \sigma_{12}, \sigma_{23}, \sigma_{13}) = \bar{\mathbf{x}}(\sigma_1, \sigma_2, \sigma_3) = \hat{\mathbf{x}}(\rho, \theta).$$

2. Convert yield function into signed distance function by solving **Eikonal equation** in polar coordinates while enforcing the boundary  $f=0$

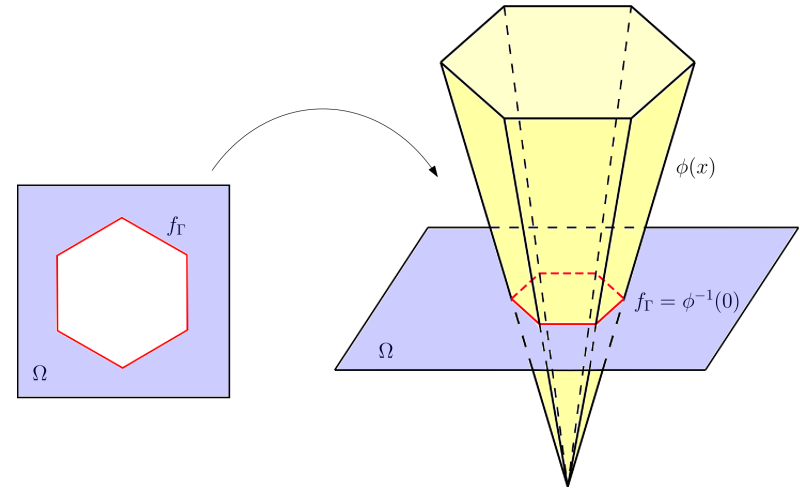
$$|\nabla_{\hat{\mathbf{x}}} \phi| = 1 \quad \longrightarrow \quad \left(\frac{\partial \phi}{\partial \rho}\right)^2 + \frac{1}{\rho^2} \left(\frac{\partial \phi}{\partial \theta}\right)^2 = 1.$$

3. The resultant yield surface becomes

$$\phi(\hat{\mathbf{x}}, t) = \begin{cases} d(\hat{\mathbf{x}}) & \text{outside } f_{\Gamma} \text{ (inadmissible stress)} \\ 0 & \text{on } f_{\Gamma} \text{ (yielding)} \\ -d(\hat{\mathbf{x}}) & \text{inside } f_{\Gamma} \text{ (elastic region)} \end{cases},$$

where

$$d(\hat{\mathbf{x}}) = \min (|\hat{\mathbf{x}} - \hat{\mathbf{x}}_{\Gamma}|).$$





# Converting yield surface into a signed distance function

Preprocess data as a **level set initialization** problem

1. Reduce dimensionality with  $\pi$ -plane:

$$\mathbf{x}(\sigma_{11}, \sigma_{22}, \sigma_{33}, \sigma_{12}, \sigma_{23}, \sigma_{13}) = \bar{\mathbf{x}}(\sigma_1, \sigma_2, \sigma_3) = \hat{\mathbf{x}}(\rho, \theta).$$

2. Convert yield function into signed distance function by solving **Eikonal equation** in polar coordinates while enforcing the boundary  $f=0$

$$|\nabla_{\hat{\mathbf{x}}} \phi| = 1 \quad \longrightarrow \quad \left(\frac{\partial \phi}{\partial \rho}\right)^2 + \frac{1}{\rho^2} \left(\frac{\partial \phi}{\partial \theta}\right)^2 = 1.$$

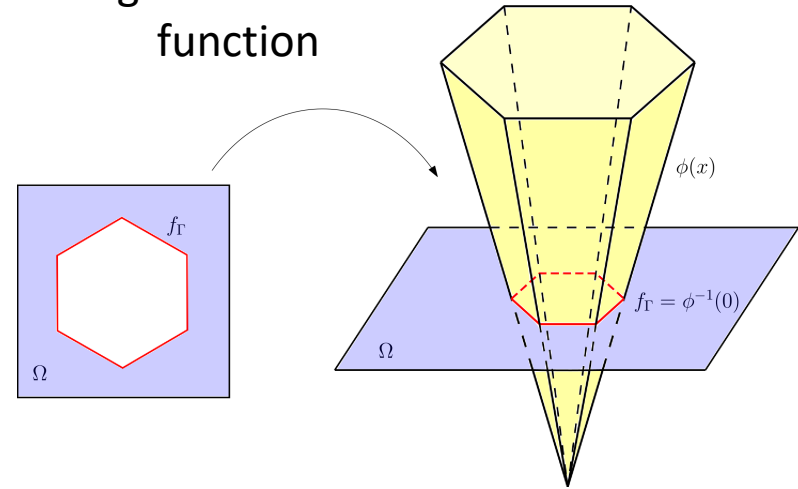
3. The resultant yield surface becomes

$$\phi(\hat{\mathbf{x}}, t) = \begin{cases} d(\hat{\mathbf{x}}) & \text{outside } f_{\Gamma} \text{ (inadmissible stress)} \\ 0 & \text{on } f_{\Gamma} \text{ (yielding)} \\ -d(\hat{\mathbf{x}}) & \text{inside } f_{\Gamma} \text{ (elastic region)} \end{cases},$$

where

$$d(\hat{\mathbf{x}}) = \min (|\hat{\mathbf{x}} - \hat{\mathbf{x}}_{\Gamma}|).$$

Pre-process into  
signed distance  
function





# Converting yield surface into a signed distance function

Preprocess data as a **level set initialization** problem

1. Reduce dimensionality with  **$\pi$ -plane**:

$$\mathbf{x}(\sigma_{11}, \sigma_{22}, \sigma_{33}, \sigma_{12}, \sigma_{23}, \sigma_{13}) = \bar{\mathbf{x}}(\sigma_1, \sigma_2, \sigma_3) = \hat{\mathbf{x}}(\rho, \theta).$$

2. Convert yield function into signed distance function by solving **Eikonal equation** in polar coordinates while enforcing the boundary  $f=0$

$$|\nabla_{\hat{\mathbf{x}}} \phi| = 1 \quad \longrightarrow \quad \left(\frac{\partial \phi}{\partial \rho}\right)^2 + \frac{1}{\rho^2} \left(\frac{\partial \phi}{\partial \theta}\right)^2 = 1.$$

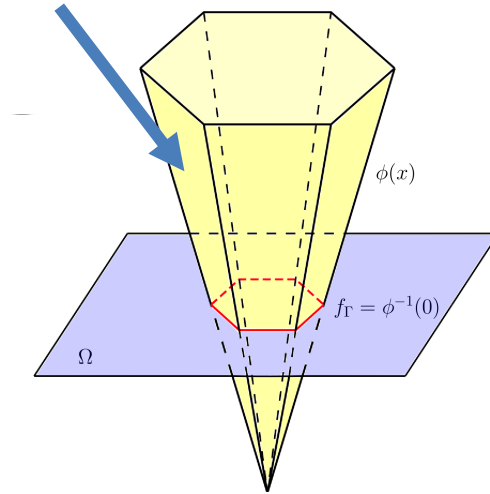
3. The resultant yield surface becomes

$$\phi(\hat{\mathbf{x}}, t) = \begin{cases} d(\hat{\mathbf{x}}) & \text{outside } f_{\Gamma} \text{ (inadmissible stress)} \\ 0 & \text{on } f_{\Gamma} \text{ (yielding)} \\ -d(\hat{\mathbf{x}}) & \text{inside } f_{\Gamma} \text{ (elastic region)} \end{cases},$$

where

$$d(\hat{\mathbf{x}}) = \min (|\hat{\mathbf{x}} - \hat{\mathbf{x}}_{\Gamma}|).$$

Signed distance function





# Converting yield surface into a signed distance function

Preprocess data as a **level set initialization** problem

1. Reduce dimensionality with  $\pi$ -plane:

$$\mathbf{x}(\sigma_{11}, \sigma_{22}, \sigma_{33}, \sigma_{12}, \sigma_{23}, \sigma_{13}) = \bar{\mathbf{x}}(\sigma_1, \sigma_2, \sigma_3) = \hat{\mathbf{x}}(\rho, \theta).$$

2. Convert yield function into signed distance function by solving **Eikonal equation** in polar coordinates while enforcing the boundary  $f=0$

$$|\nabla \hat{\phi}| = 1 \quad \longrightarrow \quad \left(\frac{\partial \phi}{\partial \rho}\right)^2 + \frac{1}{\rho^2} \left(\frac{\partial \phi}{\partial \theta}\right)^2 = 1.$$

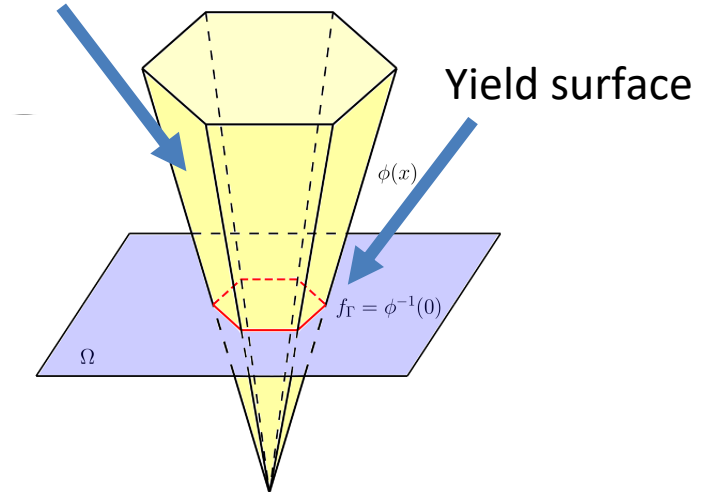
3. The resultant yield surface becomes

$$\phi(\hat{\mathbf{x}}, t) = \begin{cases} d(\hat{\mathbf{x}}) & \text{outside } f_{\Gamma} \text{ (inadmissible stress)} \\ 0 & \text{on } f_{\Gamma} \text{ (yielding)} \\ -d(\hat{\mathbf{x}}) & \text{inside } f_{\Gamma} \text{ (elastic region)} \end{cases},$$

where

$$d(\hat{\mathbf{x}}) = \min (|\hat{\mathbf{x}} - \hat{\mathbf{x}}_{\Gamma}|).$$

Signed distance function





# Evolving yield surface by solving a Hamilton-Jacobi Equation via neural networks

**Hardening** interpreted as a **level set extension** problem

Hamilton-Jacobi Equation

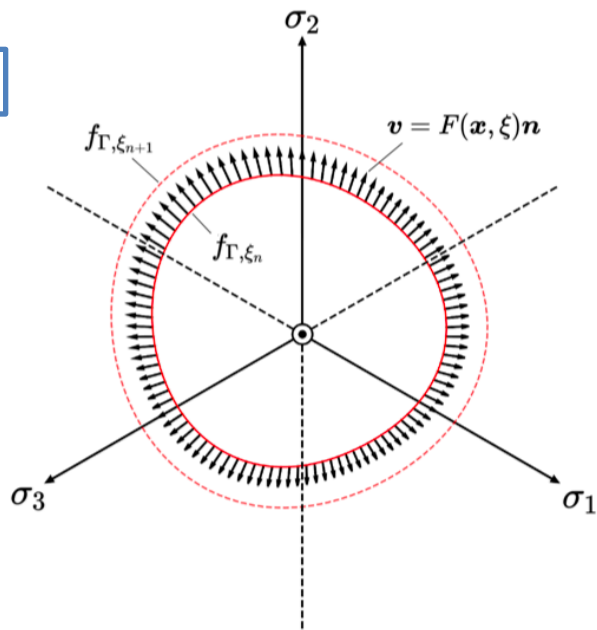
$$\frac{\partial \phi}{\partial t} + \mathbf{v} \cdot \nabla^{\hat{\mathbf{x}}} \phi = 0,$$

Assuming stationary flow

$$\frac{\partial \phi}{\partial t} + F |\nabla^{\hat{\mathbf{x}}} \phi| = 0.$$

where  $\mathbf{F}$  is the **speed function**. Note that  $t$  is a pseudo-time. For our purpose, we replace it with a scalar internal variable  $\xi$  which is a monotonically increasing with time.

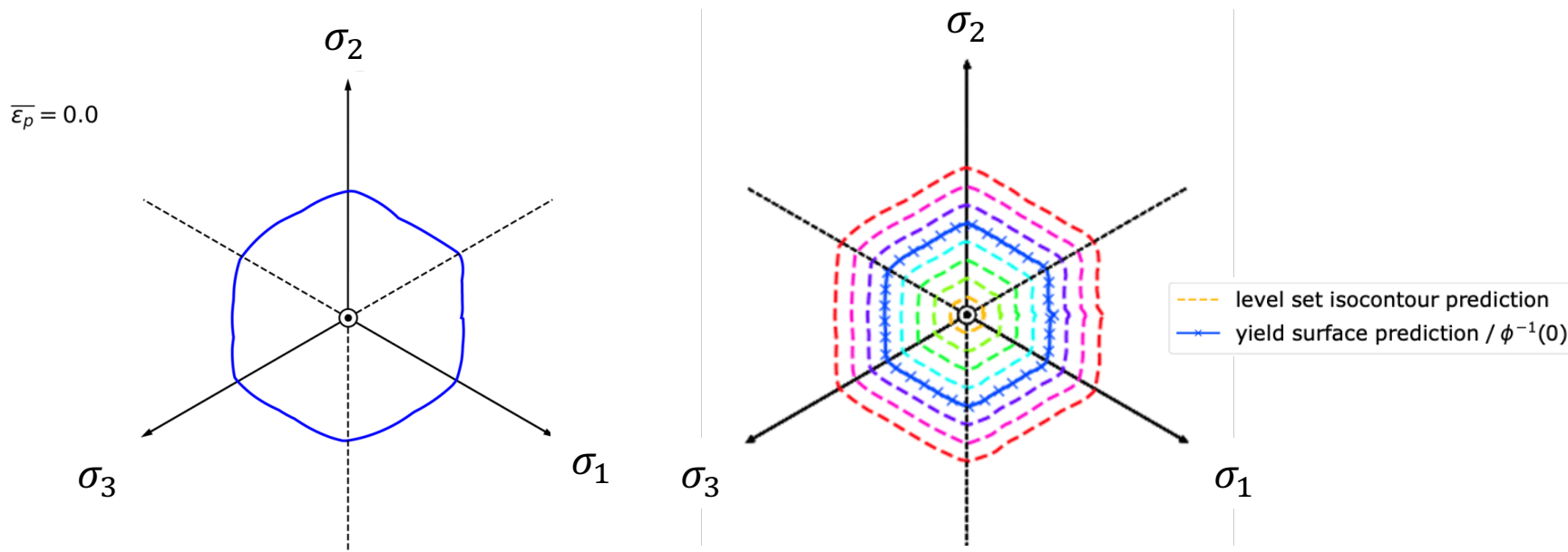
$$F_i \approx \frac{\phi_i - \phi_{i+1}}{\xi_{i+1} - \xi_i}, \quad \text{where} \quad \xi = \int_0^t \lambda dt,$$



We then use **neural network** to find  $F$  such that the **solution of the H-J equation** is the signed distance function version of **yield function for a given  $\xi$**



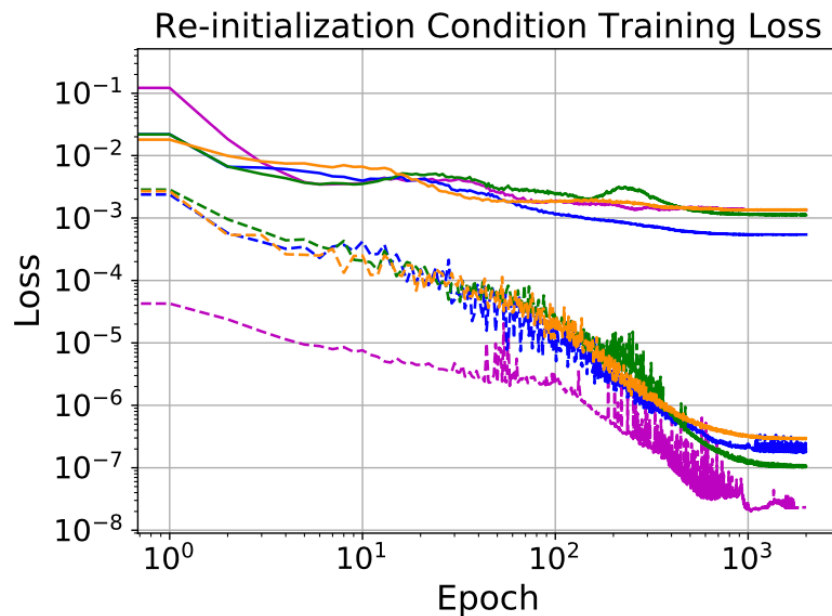
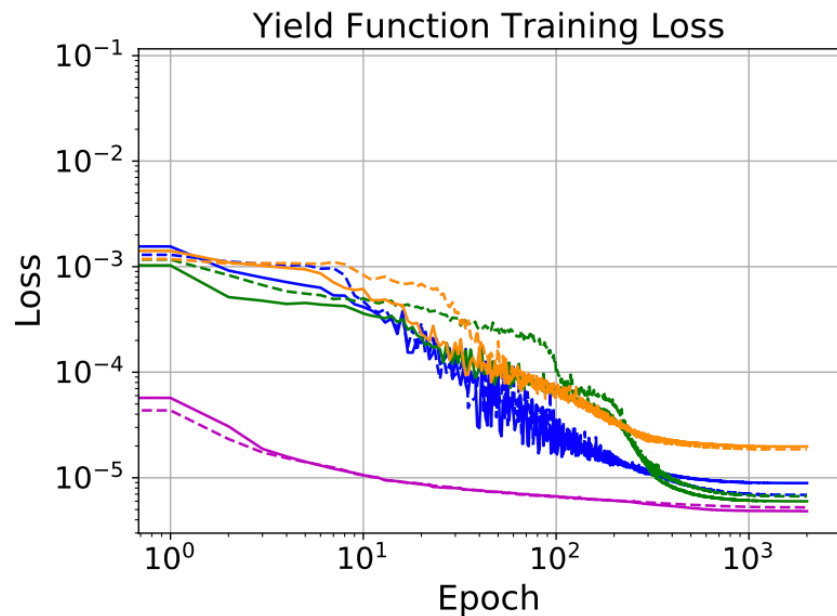
# Capture complex hardening mechanisms



- The yield function neural network can **capture a complex yield surface evolution** and **predict the entire level set** for an internal variable value (accumulated plastic strain  $\bar{\epsilon}_p$ ).



# Performance comparisons with and without signed distance yield function





# Elastoplasticity Framework with Neural Network

## Ingredients

**Algorithm 1** Return mapping algorithm in strain-space in principal axes for an isotropic hyperelastic-plastic model

- 1: Compute  $\epsilon_{n+1}^{\text{etr}} = \epsilon_n^e + \Delta \epsilon$ .
- 2: Spectrally decompose  $\epsilon_{n+1}^{\text{etr}} = \sum_{A=1}^3 \epsilon_A^{\text{etr}} \mathbf{n}^{\text{tr}(A)} \otimes \mathbf{n}^{\text{tr}(A)}$ .
- 3: Compute  $\sigma_A^{\text{tr}} = \partial \hat{\psi}^e / \partial \epsilon_A^e$  at  $\epsilon_{n+1}^{\text{etr}}$ .
- 4: **if**  $\hat{f}(\sigma_1^{\text{tr}}, \sigma_2^{\text{tr}}, \sigma_3^{\text{tr}}, \kappa_n) \leq 0$  **then**
- 5:   Set  $\sigma_{n+1} = \sum_{A=1}^3 \sigma_A^{\text{tr}} \mathbf{n}^{\text{tr}(A)} \otimes \mathbf{n}^{\text{tr}(A)}$  and exit.
- 6: **else**
- 7:   Solve for  $\epsilon_1^e, \epsilon_2^e, \epsilon_3^e$ , and  $\kappa$  such that  $\hat{f}(\sigma_1^{\text{tr}}, \sigma_2^{\text{tr}}, \sigma_3^{\text{tr}}, \kappa_n) = 0$ .
- 8:   Compute  $\sigma_{n+1} = \sum_{A=1}^3 (\partial \hat{\psi}^e / \partial \epsilon_A^e) \mathbf{n}^{\text{tr}(A)} \otimes \mathbf{n}^{\text{tr}(A)}$  and exit.

- **Two neural networks** can be combined to predict the elastoplastic response – **hyperelastic energy functional** and **yield function**.
- Depart from black-box recurrent neural network architectures – more **interpretable** and **robust**
- **Flexibility** to change between different elastic and plastic neural network “ingredients”.
- Framework readily usable for **FEM simulations**.

Algorithmic tangent operator:

$$c_{n+1} = \frac{\partial \sigma_{n+1}}{\partial \epsilon_{n+1}} \equiv \frac{\partial \sigma_{n+1}}{\partial \epsilon_{n+1}^{\text{etr}}}$$

Made possible with **higher-order Sobolev training** and **higher-order activation functions**.

$$c_{n+1} = \sum_{A=1}^3 \sum_{B=1}^3 a_{AB} \mathbf{m}^{(A)} \otimes \mathbf{m}^{(B)} + \frac{1}{2} \sum_{A=1}^3 \sum_{B \neq A}^3 \left( \frac{\sigma_B - \sigma_A}{\epsilon_B^{\text{etr}} - \epsilon_A^{\text{etr}}} \right) \left( \mathbf{m}^{(AB)} \otimes \mathbf{m}^{(AB)} + \mathbf{m}^{(AB)} \otimes \mathbf{m}^{(BA)} \right)$$

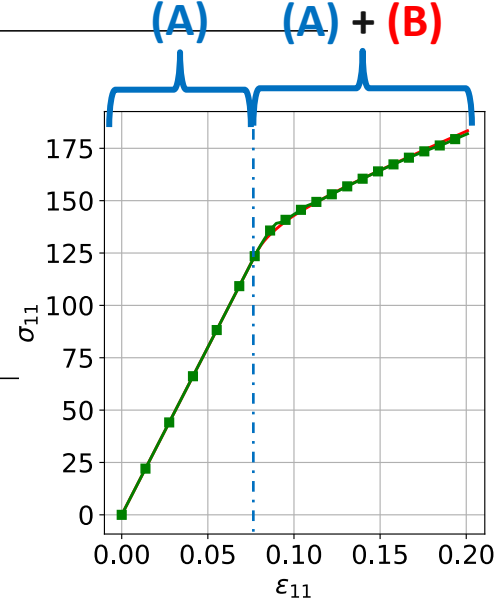
$$a_{AB} := \frac{\partial \sigma_A}{\partial \epsilon_B^{\text{etr}}} = \sum_{C=1}^3 \frac{\partial \sigma_A}{\partial \epsilon_C^e} \frac{\partial \epsilon_C^e}{\partial \epsilon_B^{\text{etr}}} = \sum_{C=1}^3 \left( \frac{\partial^2 \hat{\psi}^e}{\partial \epsilon_A^e \partial \epsilon_C^e} \right) \frac{\partial \epsilon_C^e}{\partial \epsilon_B^{\text{etr}}}$$



# Elastoplasticity Framework with Neural Network Ingredients

**Algorithm 1** Return mapping algorithm in strain-space in principal axes for an isotropic hyperelastic-plastic model

- 1: Compute  $\epsilon_{n+1}^{\text{etr}} = \epsilon_n^e + \Delta \epsilon$ .
- 2: Spectrally decompose  $\epsilon_{n+1}^{\text{etr}} = \sum_{A=1}^3 \epsilon_A^{\text{etr}} \mathbf{n}^{\text{tr}(A)} \otimes \mathbf{n}^{\text{tr}(A)}$ .
- 3: Compute  $\sigma_A^{\text{tr}} = \partial \hat{\psi}^e / \partial \epsilon_A^e$  at  $\epsilon_{n+1}^{\text{etr}}$ .
- 4: **if**  $\hat{f}(\sigma_1^{\text{tr}}, \sigma_2^{\text{tr}}, \sigma_3^{\text{tr}}, \kappa_n) \leq 0$  **then**
- 5:   Set  $\sigma_{n+1} = \sum_{A=1}^3 \sigma_A^{\text{tr}} \mathbf{n}^{\text{tr}(A)} \otimes \mathbf{n}^{\text{tr}(A)}$  and exit.
- 6: **else**
- 7:   Solve for  $\epsilon_1^e, \epsilon_2^e, \epsilon_3^e$ , and  $\kappa$  such that  $\hat{f}(\sigma_1^{\text{tr}}, \sigma_2^{\text{tr}}, \sigma_3^{\text{tr}}, \kappa) = 0$ .
- 8:   Compute  $\sigma_{n+1} = \sum_{A=1}^3 (\partial \hat{\psi}^e / \partial \epsilon_A^e) \mathbf{n}^{\text{tr}(A)} \otimes \mathbf{n}^{\text{tr}(A)}$  and exit.



Algorithmic tangent operator:

$$c_{n+1} = \frac{\partial \sigma_{n+1}}{\partial \epsilon_{n+1}} \equiv \frac{\partial \sigma_{n+1}}{\partial \epsilon_{n+1}^{\text{etr}}}$$

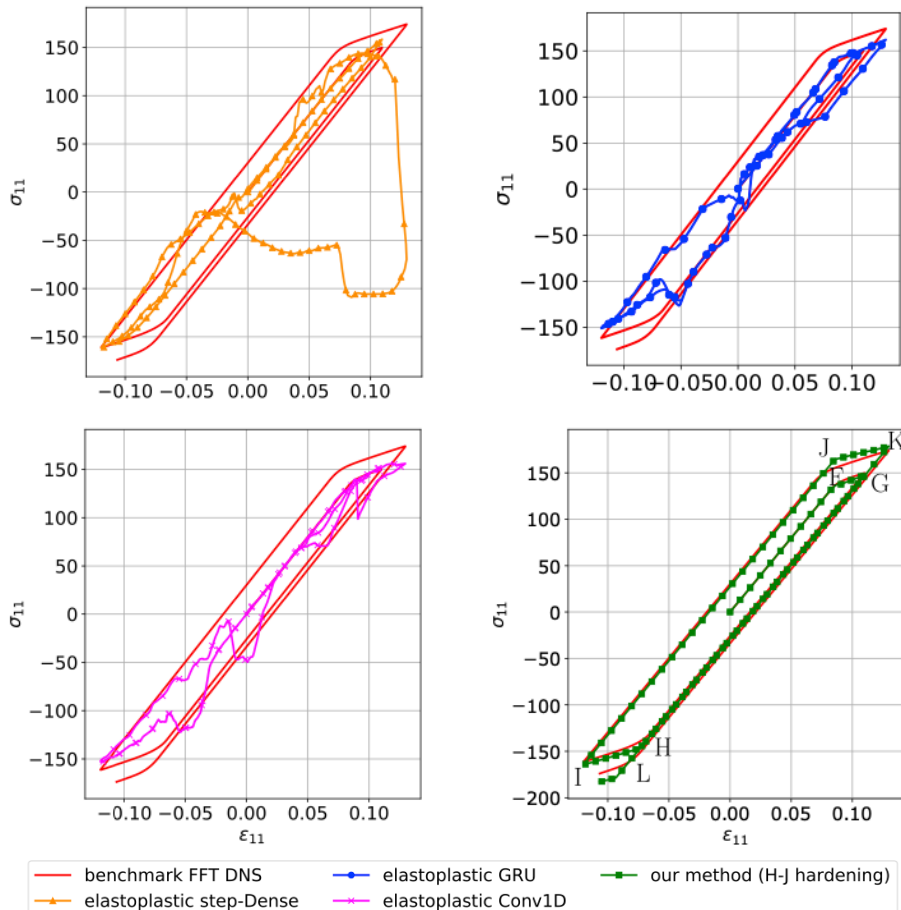
Made possible with **higher-order Sobolev training** and **higher-order activation functions**.

$$c_{n+1} = \sum_{A=1}^3 \sum_{B=1}^3 a_{AB} \mathbf{m}^{(A)} \otimes \mathbf{m}^{(B)} + \frac{1}{2} \sum_{A=1}^3 \sum_{B \neq A}^3 \left( \frac{\sigma_B - \sigma_A}{\epsilon_B^{\text{etr}} - \epsilon_A^{\text{etr}}} \right) \left( \mathbf{m}^{(AB)} \otimes \mathbf{m}^{(AB)} + \mathbf{m}^{(AB)} \otimes \mathbf{m}^{(BA)} \right)$$

$$a_{AB} := \frac{\partial \sigma_A}{\partial \epsilon_B^{\text{etr}}} = \sum_{C=1}^3 \frac{\partial \sigma_A}{\partial \epsilon_C^e} \frac{\partial \epsilon_C^e}{\partial \epsilon_B^{\text{etr}}} = \sum_{C=1}^3 \left( \frac{\partial^2 \hat{\psi}^e}{\partial \epsilon_A^e \partial \epsilon_C^e} \right) \frac{\partial \epsilon_C^e}{\partial \epsilon_B^{\text{etr}}}$$



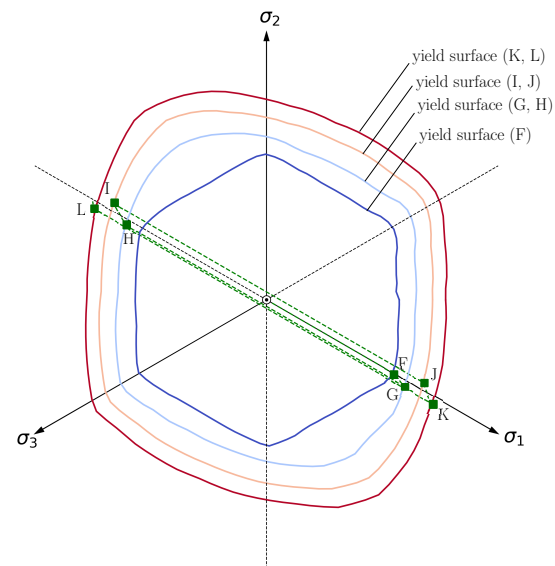
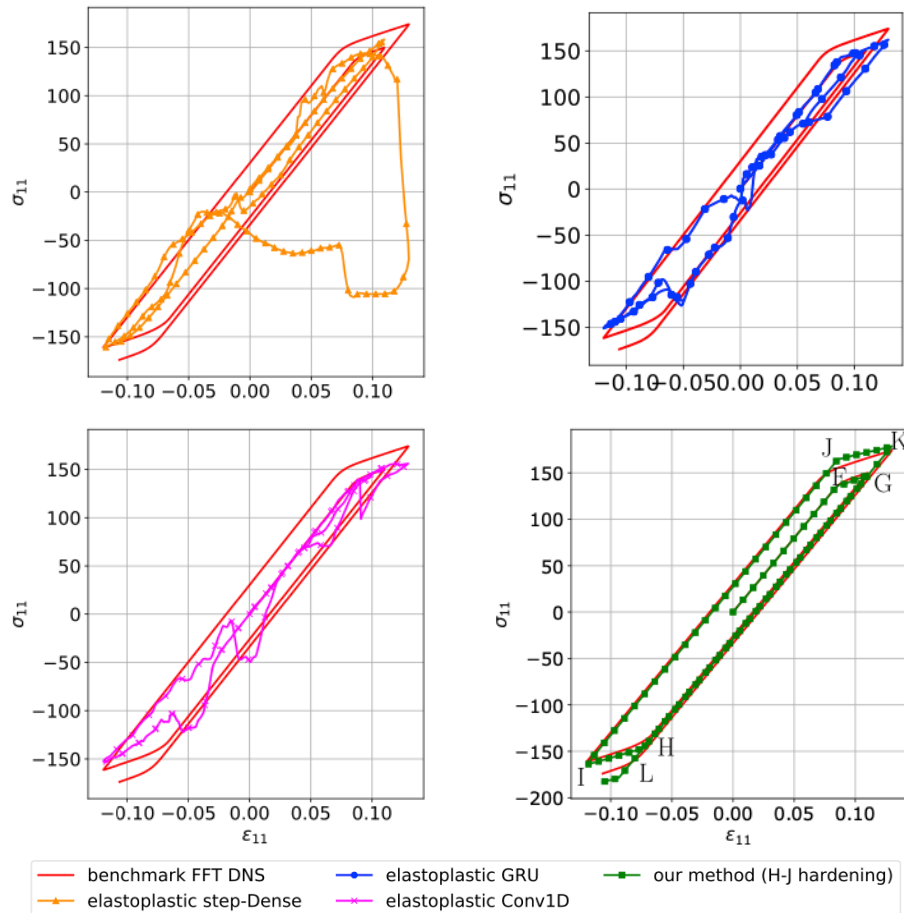
# Results for unseen cyclic loading data



- A **classical machine learning** approach to predict **path-dependent elastoplasticity** behaviors uses **recurrent architectures** that are usually **black-box** and fail to predict unseen unloading paths
- We leverage classical plasticity theory to make **interpretable predictions even on unseen loading paths.**



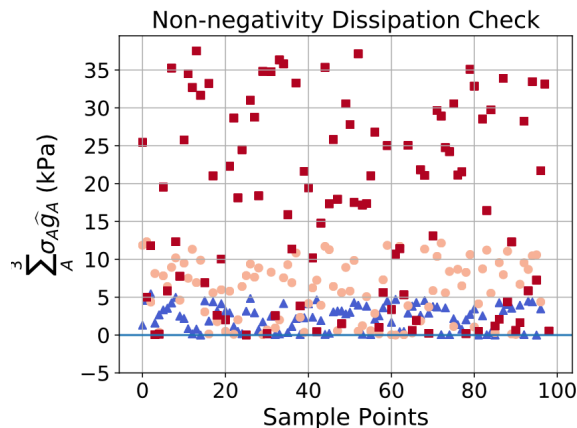
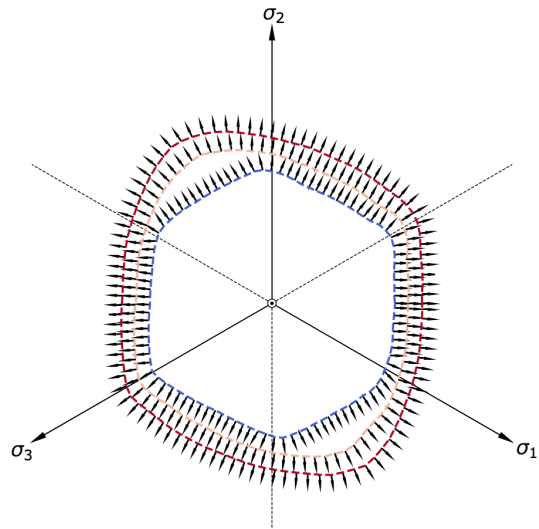
# Results for unseen cyclic loading data



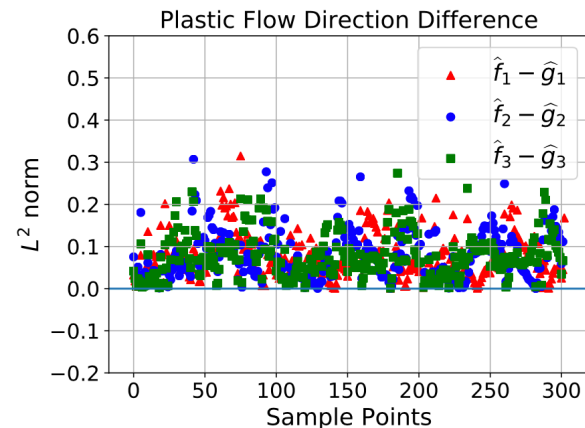
- Our elastoplastic framework can already **predict cyclic loading path** only **trained on monolithic data**.



# ML-predicted dissipation and plastic flow direction



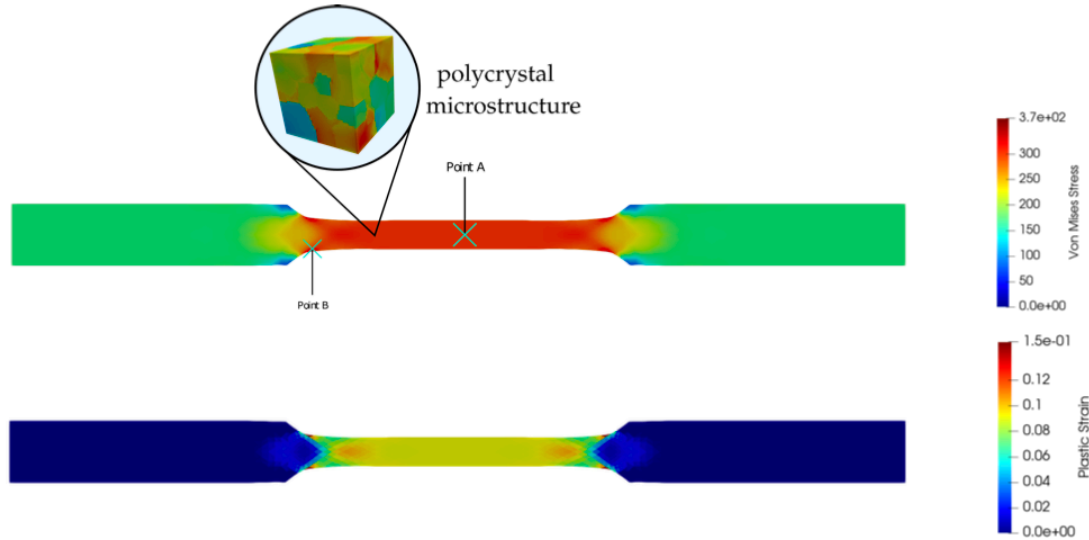
(a)



(b)



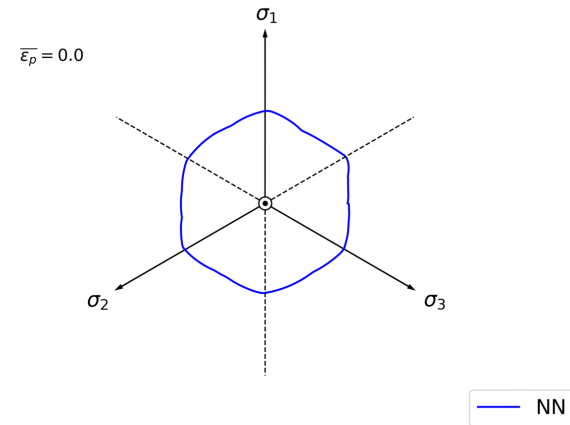
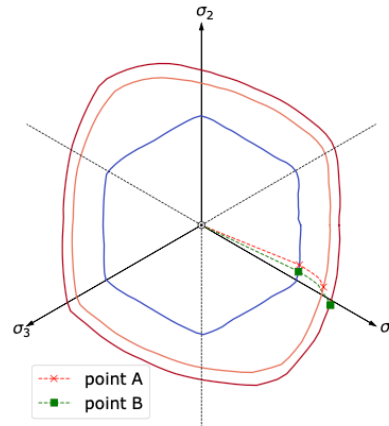
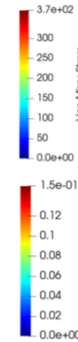
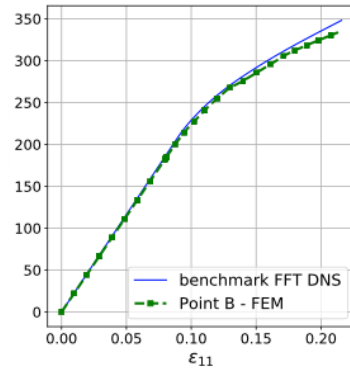
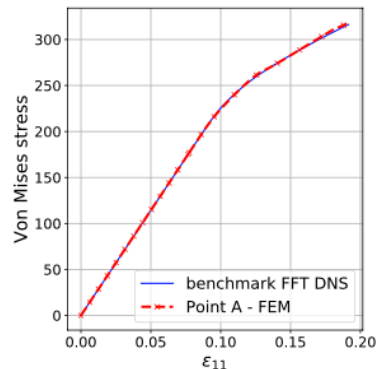
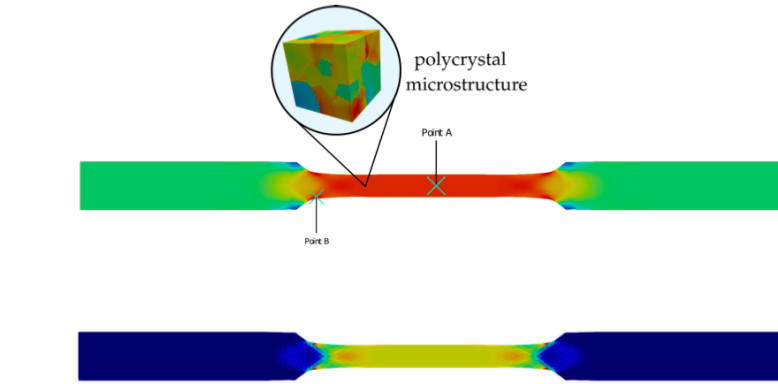
# Elastoplasticity NN Framework – Polycrystal Plasticity Benchmark



Our framework can **be readily implemented in FEM simulations**



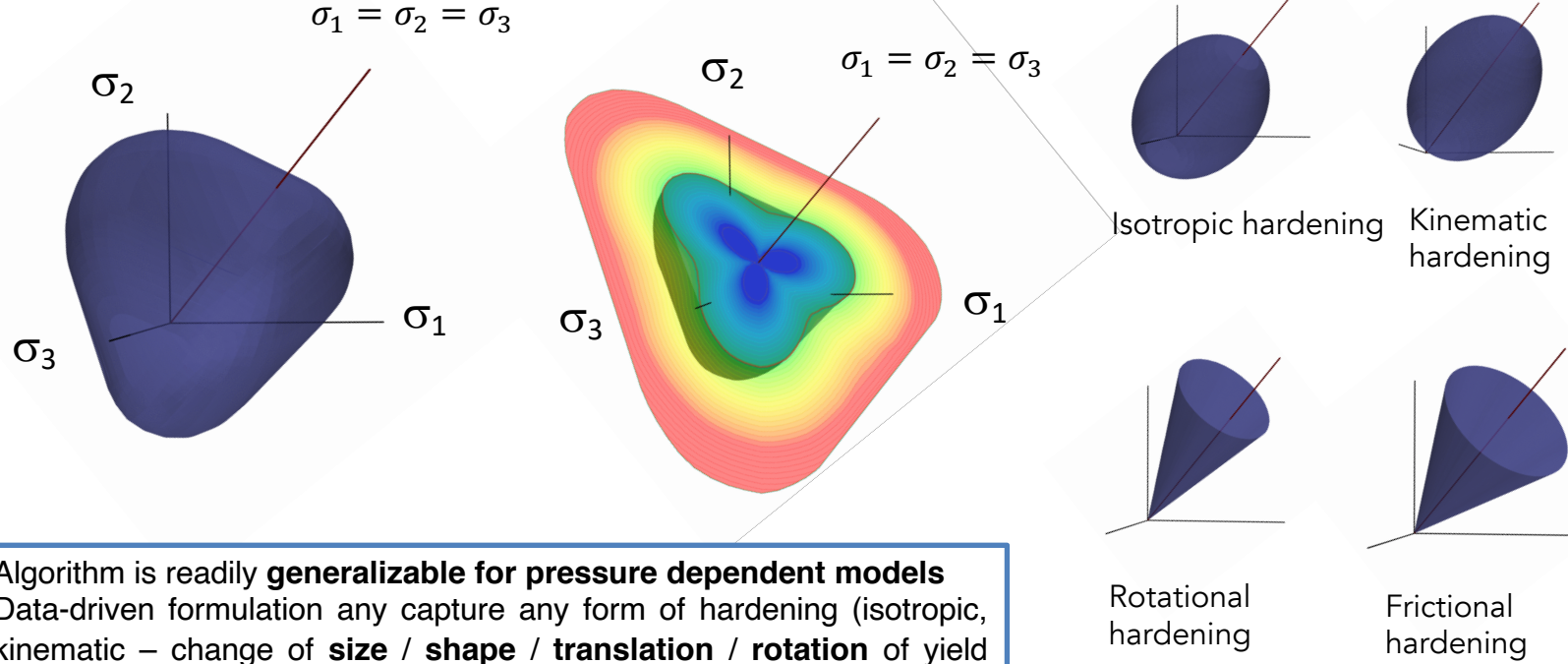
# Elastoplasticity NN Framework – Polycrystal Plasticity Benchmark



- **Polycrystal yield function** discovered from data – no need for complex yield function shape descriptors.
- Neural networks can **fully replace the elastoplastic constitutive model** – replace heavy FFT simulations at every material point.



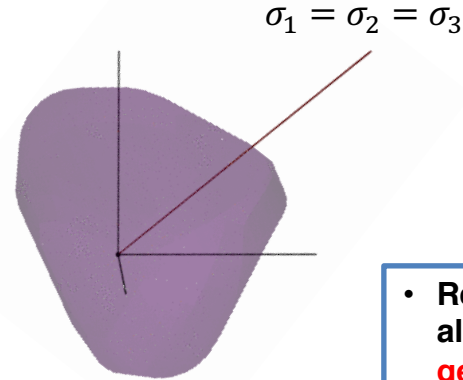
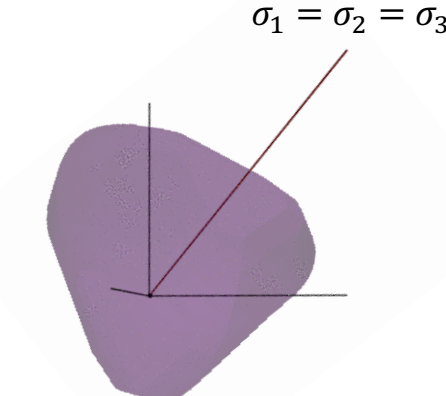
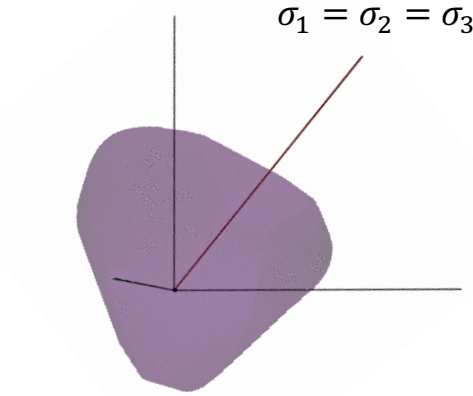
# Benchmark Study: Predicting hardening/softening mechanism for pressure-dependent materials via ONE unified level set model



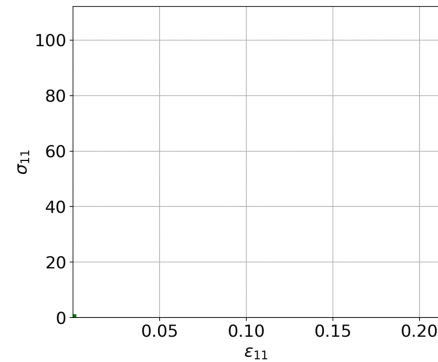
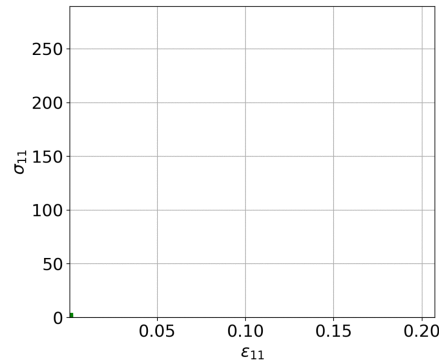
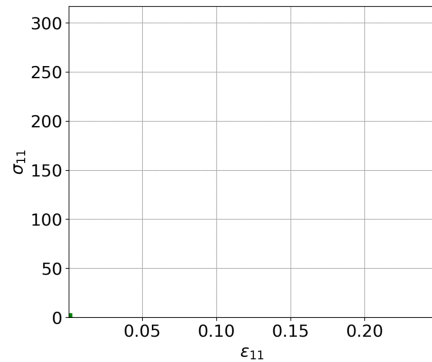
- Algorithm is readily **generalizable for pressure dependent models**
- Data-driven formulation any capture any form of hardening (isotropic, kinematic – change of **size** / **shape** / **translation** / **rotation** of yield surface in 3D stress space)



# Ongoing work: Emulating pressure-dependent models



- **Return mapping algorithm** currently **generalizable** for isotropic pressure-dependent models





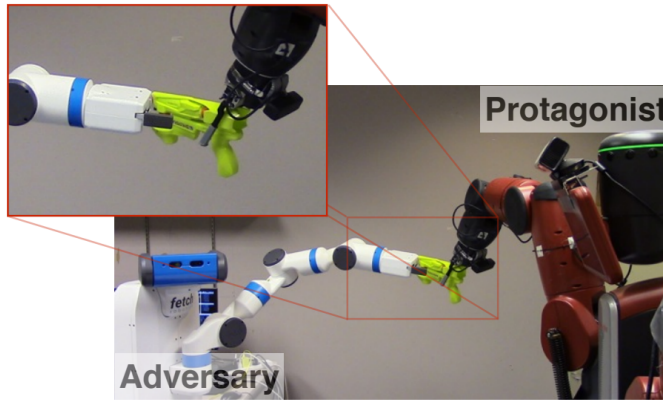
*What data do we need to calibrate and validate the ML-generated constitutive theories?*



# Adversarial deep reinforcement Learning

Example of adversarial learning:

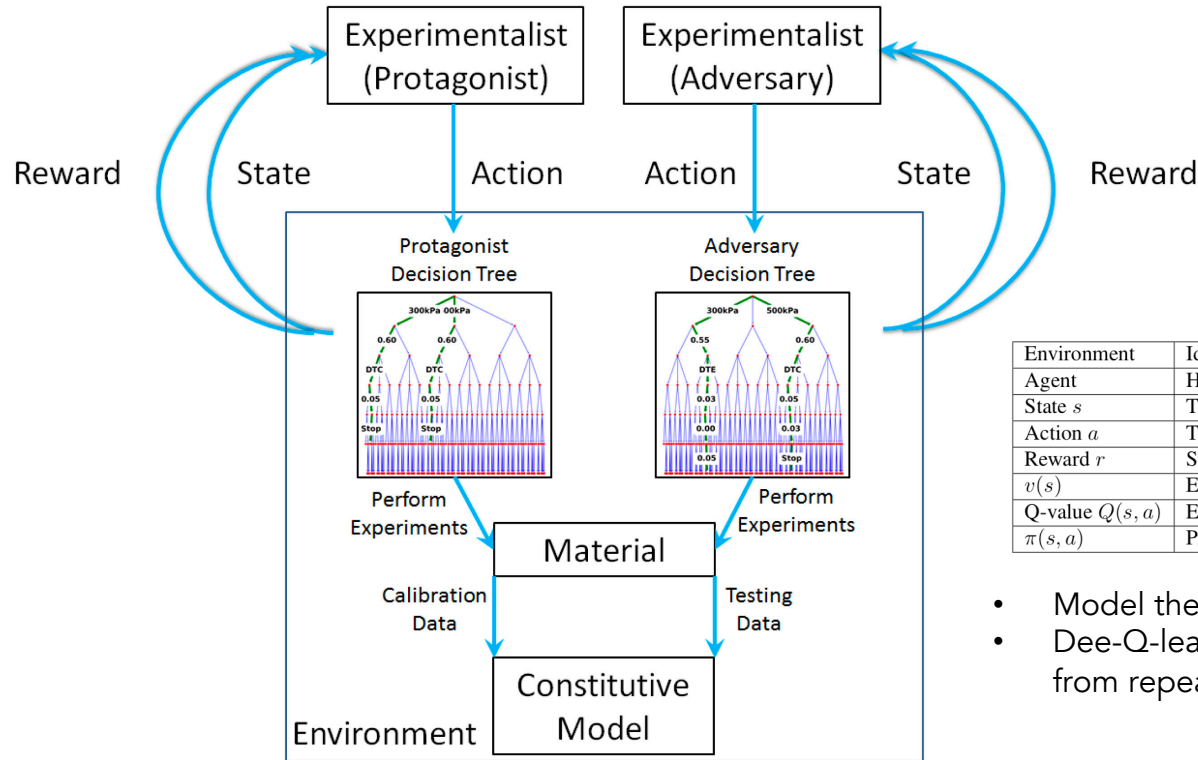
Adversarial framework for effective self-supervised learning on grasp policy in robotics



Pinto, Lerrel, James Davidson, and Abhinav Gupta. "Supervision via competition: Robot adversaries for learning tasks." *2017 IEEE International Conference on Robotics and Automation (ICRA)*. IEEE, 2017.



# Two-Agent non-cooperative game for validation/falsifying models



Environment	Idealized multigraph for constitutive models validated against unseen data
Agent	Human or AI
State $s$	The generated constitutive laws
Action $a$	The decisions that lead to the generation of constitutive laws
Reward $r$	Score (objective function) of the constitutive model
$v(s)$	Expected model score of state $s$
Q-value $Q(s, a)$	Expected model score from taking action $a$ at state $s$
$\pi(s, a)$	Probability of taking action $a$ at state $s$

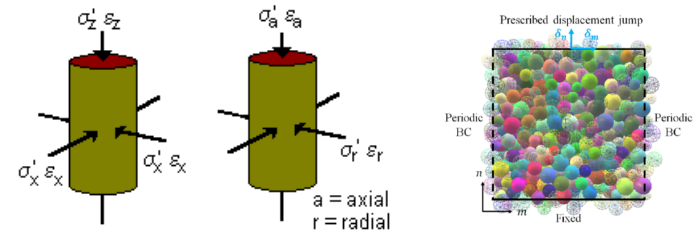
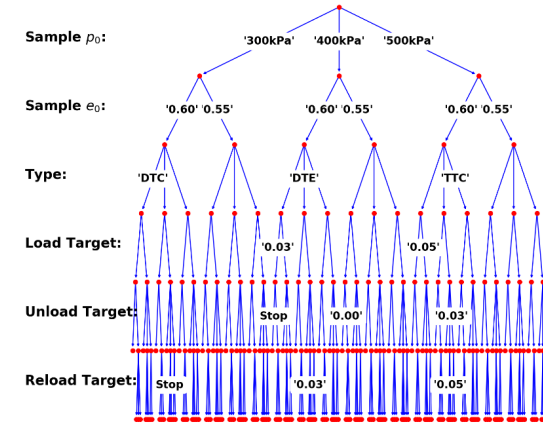
- Model the action of two experimentalists as a game
- Dee-Q-learning creates AI to play the game and learn from repeating generating models automatically

Wang, K., Sun, W., & Du, Q. (2020). A non-cooperative meta-modeling game for automated third-party calibrating, validating and falsifying constitutive laws with parallelized adversarial attacks. *Computer Methods in Applied Mechanics and Engineering*, 373, 113514.



# Two-Agent non-cooperative game for validation/falsifying models

1. A non-cooperative game is run for two agents
    - Agent 1 is tasked with generating new experimental data to calibrate a model.
    - Agent 2 try to undermine the calibration effort of Agent 1 by finding the tests that maximize the calibration errors
  2. Material: DEM Representative Volume Element
  3. Game choices for experimentalist and adversary agents:
    1. Triaxial Compression/Extension/True Triaxial Tests (DTC, DTE, TTC)
1. Loading/Unloading/Reloading Paths
1. The same decision Tree available for the agents

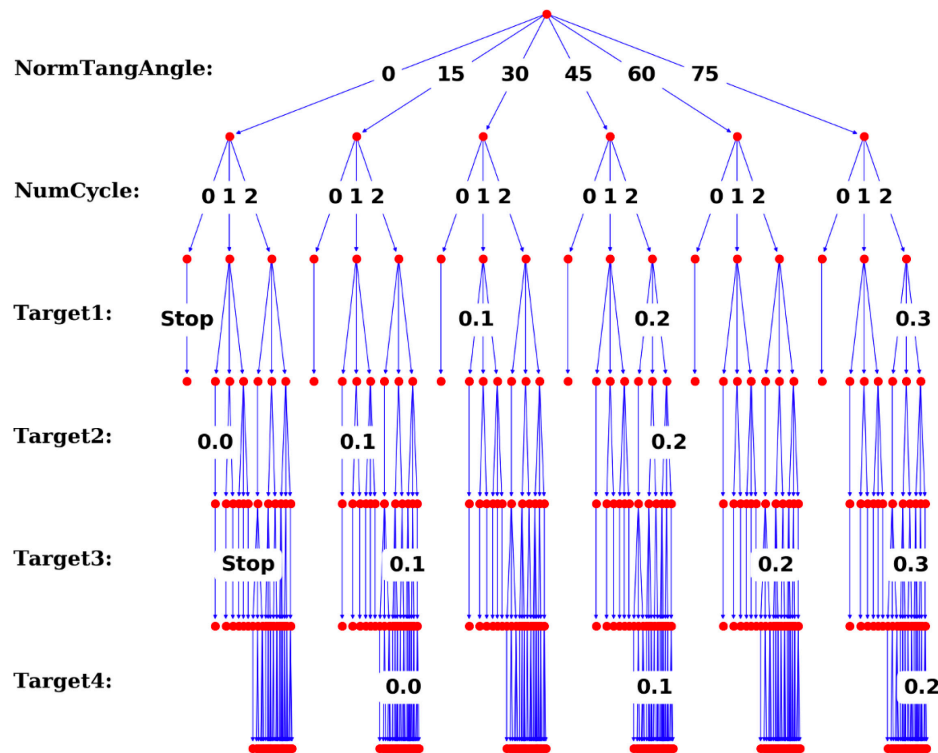




# Game Action for the non-cooperative game – Making choices for an experiment

## Example: Traction-Separation Law

TC test conditions	Choices
TC <sub>1</sub> = 'NormTangAngle'	{'0', '15', '30', '45', '60', '75'}
TC <sub>2</sub> = 'NumCycle'	{'0', '1', '2'}
TC <sub>3</sub> = 'Target1'	{'NaN', '0.1', '0.2', '0.3'}
TC <sub>4</sub> = 'Target2'	{'NaN', '0.0', '0.1', '0.2'}
TC <sub>5</sub> = 'Target3'	{'NaN', '0.1', '0.2', '0.3'}
TC <sub>6</sub> = 'Target4'	{'NaN', '0.0', '0.1', '0.2'}





# Game reward for the non-cooperative game

- Efficiency Index (Nash-Sutcliffe)

$$E_{NS}^j = 1 - \frac{\sum_{i=1}^{N_{data}} |\bar{Y}_i^{data} - \bar{Y}_i^{model}|^j}{\sum_{i=1}^{N_{data}} |\bar{Y}_i^{data} - \text{mean}(\bar{Y}^{data})|^j} \in (-\infty, 1.0].$$

1 – perfect match

0 – model as accurate as using the mean of observed data

< 0 when using the mean is more accurate than using the model

- Re-scaling the efficiency index to range -1 to 1

$$\text{SCORE}_{\text{protagonist or adversary}} = 2 * \frac{\min(\max(E_{NS}^1, E_{NS}^{min}), E_{NS}^{max}) - 0.5 * (E_{NS}^{min} + E_{NS}^{max})}{E_{NS}^{max} - E_{NS}^{min}},$$

- Competing reward

Decay coefficient

Cut-off value

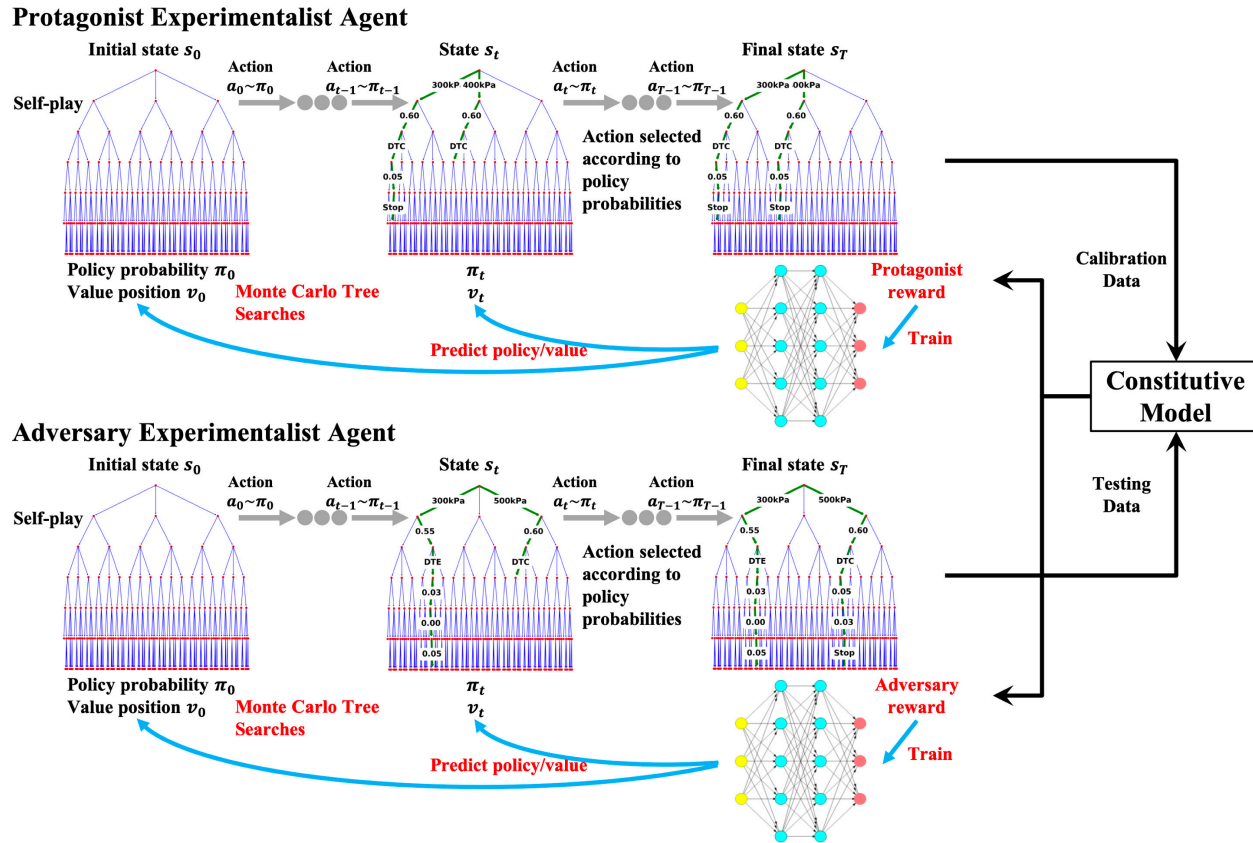
Historically lowest EI

$$\text{Reward}_{\text{protagonist}} = -1 + (\text{SCORE}_{\text{protagonist}} + 1) * \exp[-\alpha_{\text{SCORE}} * \max(E_{NS}^{min} - \min(\{E_{NS}^1\}), 0)].$$

$$\text{Reward}_{\text{adversary}} = -\text{SCORE}_{\text{adversary}}.$$

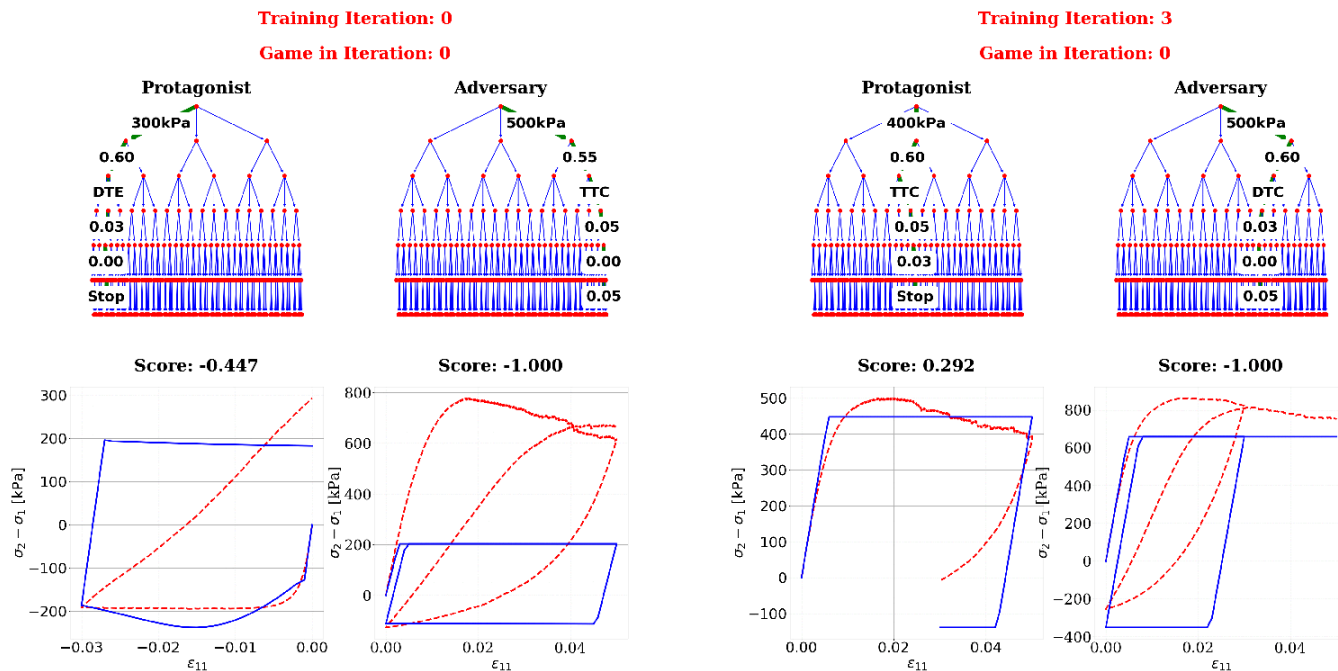


# Game Play for the non-cooperative game





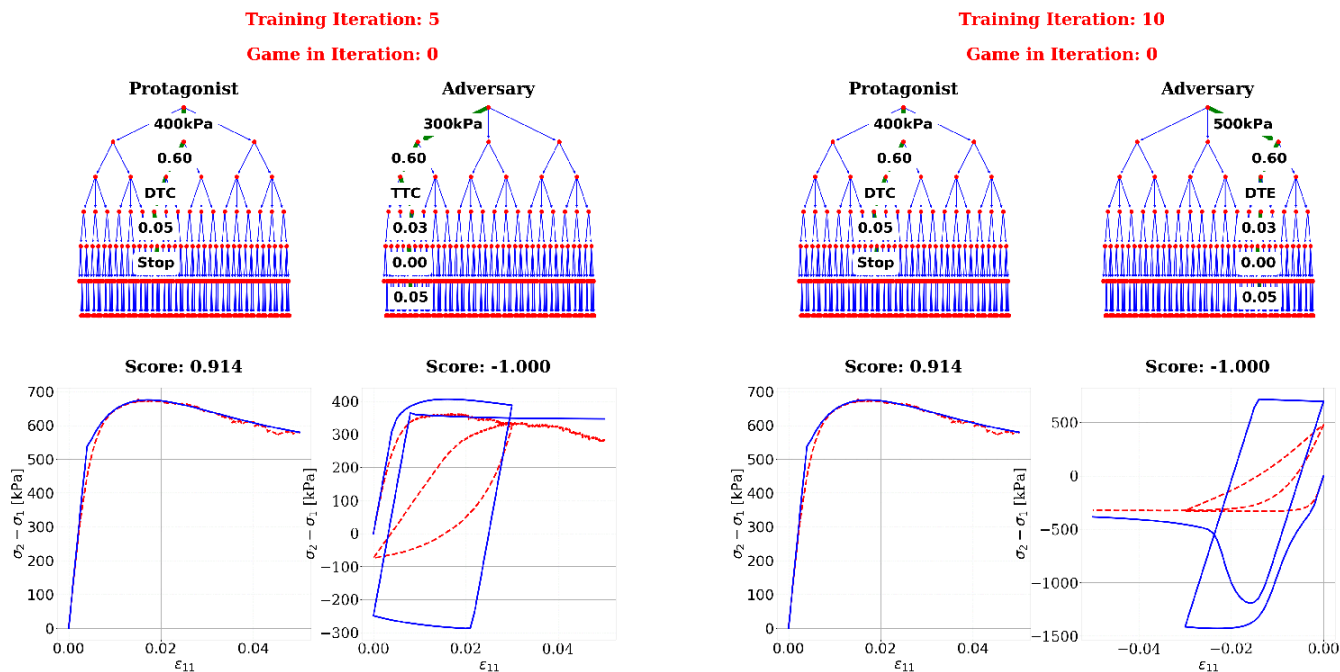
# Reinforcement learning performance of the experimentalist/adversary game (Drucker-Prager)



Initially, both agents are exploring the parametric space and attempt to improve their estimated Q values through interacting with each others.



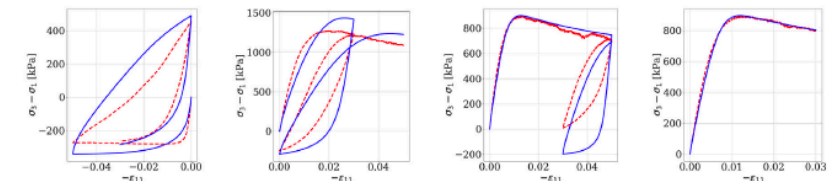
# Reinforcement learning performance of the experimentalist/adversary game (Drucker Prager)



As the game progress, both agents have generated sufficient knowledge such that the Q table converges – the calibration agent tells you the strength of the models and where the Drucker-Prager model scores the best (monotonic triaxial compression), while the adversarial agent found that the DP model is not suitable to predict cyclic responses of DEM RVE.



# Reinforcement learning performance of the experimentalist/adversary game (Bounding surface plasticity model)

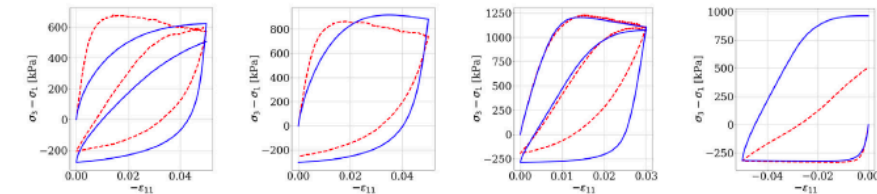


(a) Iteration 0, Episode 24,  
Defense Game Score: 0.162

(b) Iteration 3, Episode 33,  
Defense Game Score: 0.626

(c) Iteration 6, Episode 10,  
Defense Game Score: 0.687

(d) Iteration 10, Episode 30,  
Defense Game Score: 0.912

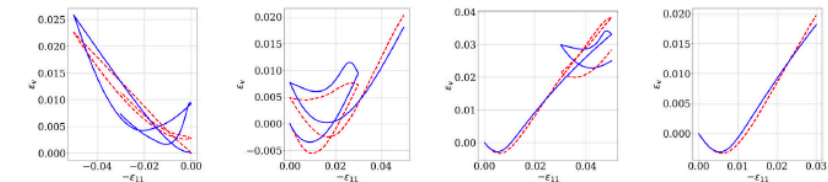


(a) Iteration 0, Episode 24,  
Attack Game Score: 0.206

(b) Iteration 3, Episode 33,  
Attack Game Score: 0.323

(c) Iteration 6, Episode 10,  
Attack Game Score: 0.295

(d) Iteration 10, Episode 30,  
Attack Game Score: -0.290

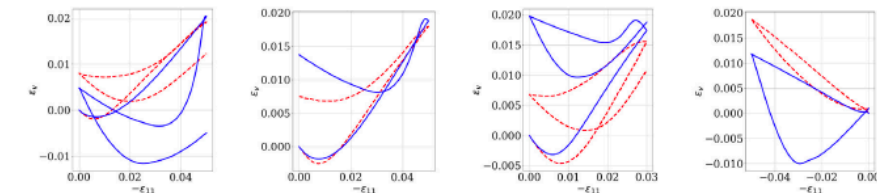


(e) Iteration 0, Episode 24,  
Defense Game Score: 0.162

(f) Iteration 3, Episode 33,  
Defense Game Score: 0.626

(g) Iteration 6, Episode 10,  
Defense Game Score: 0.687

(h) Iteration 10, Episode 30,  
Defense Game Score: 0.912



(e) Iteration 0, Episode 24,  
Attack Game Score: 0.206

(f) Iteration 3, Episode 33,  
Attack Game Score: 0.323

(g) Iteration 6, Episode 10,  
Attack Game Score: 0.295

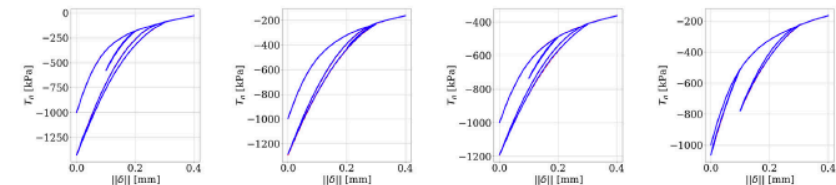
(h) Iteration 10, Episode 30,  
Attack Game Score: -0.290

Defense experimentalist + model calibrator

Attack experimentalist



# Reinforcement learning performance of the experimentalist/adversary game (ML Traction- separation model)

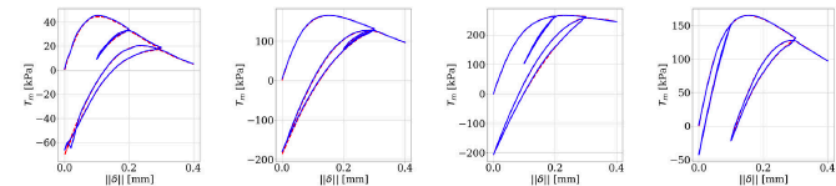


(a) Iteration 0, Episode 11, Defense Game Score: -0.992

(b) Iteration 3, Episode 7, Defense Game Score: -0.331

(c) Iteration 6, Episode 31, Defense Game Score: 0.269

(d) Iteration 10, Episode 20, Defense Game Score: 0.879



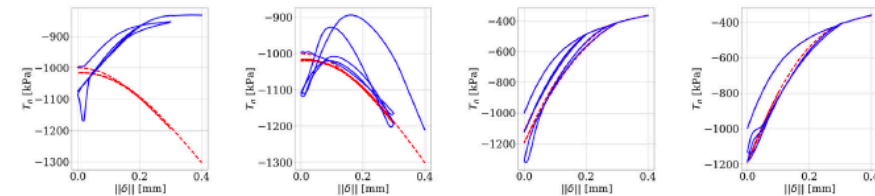
(e) Iteration 0, Episode 11, Defense Game Score: -0.992

(f) Iteration 3, Episode 7, Defense Game Score: -0.331

(g) Iteration 6, Episode 31, Defense Game Score: 0.269

(h) Iteration 10, Episode 20, Defense Game Score: 0.879

Defense experimentalist + model calibrator

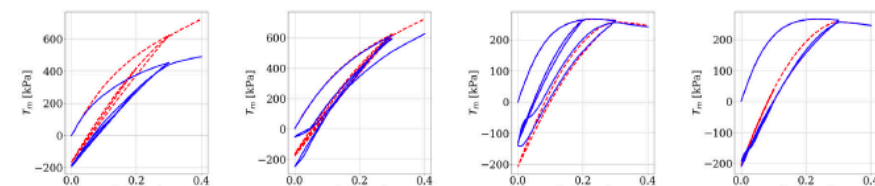


(a) Iteration 0, Episode 11, Attack Game Score: -0.085

(b) Iteration 3, Episode 7, Attack Game Score: -0.127

(c) Iteration 6, Episode 31, Attack Game Score: 0.540

(d) Iteration 10, Episode 20, Attack Game Score: 0.443



(e) Iteration 0, Episode 11, Attack Game Score: -0.085

(f) Iteration 3, Episode 7, Attack Game Score: -0.127

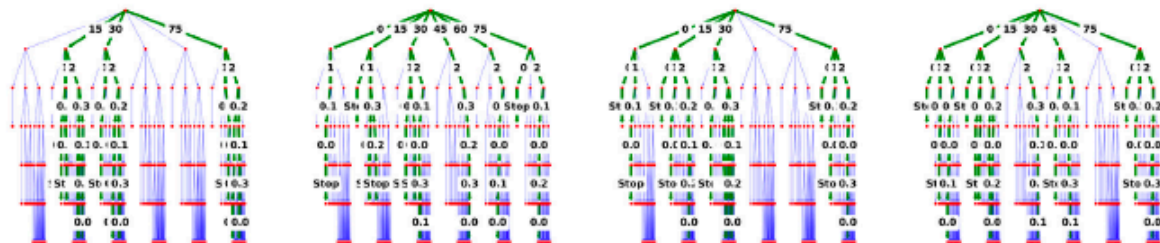
(g) Iteration 6, Episode 31, Attack Game Score: 0.540

(h) Iteration 10, Episode 20, Attack Game Score: 0.443

Attack experimentalist



# Evolution of the estimated policy value



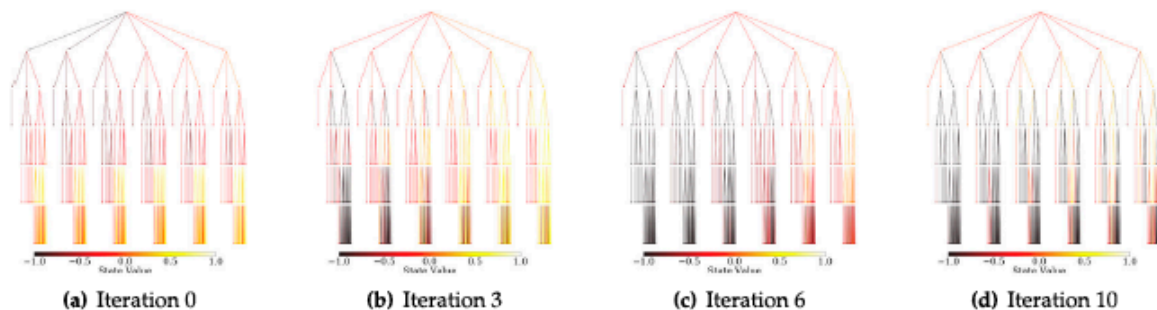
(a) Iteration 0, Episode 11,  
Defense Game Score: -0.992

(b) Iteration 3, Episode 7,  
Defense Game Score: -0.331

(c) Iteration 6, Episode 31,  
Defense Game Score: 0.269

(d) Iteration 10, Episode 20,  
Defense Game Score: 0.879

**Fig. 21.** Examples of paths (experiments) in the decision trees selected by the protagonist during the DRL training iterations for the traction-separation model.



(a) Iteration 0

(b) Iteration 3

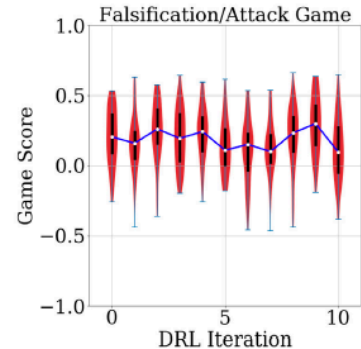
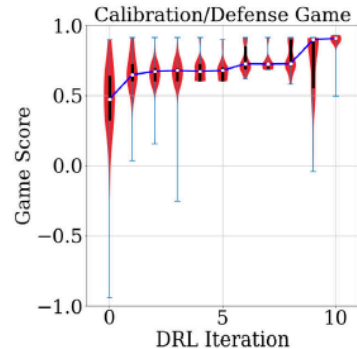
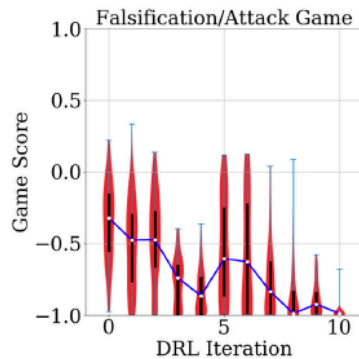
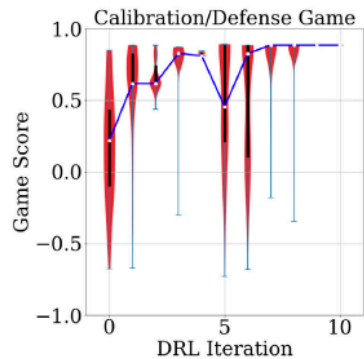
(c) Iteration 6

(d) Iteration 10

**Fig. 22.** Examples of Q-values of all possible states in the experimental decision tree estimated by the protagonist's policy/value network  $f_{\theta}$  during the DRL training iterations for the traction-separation model.

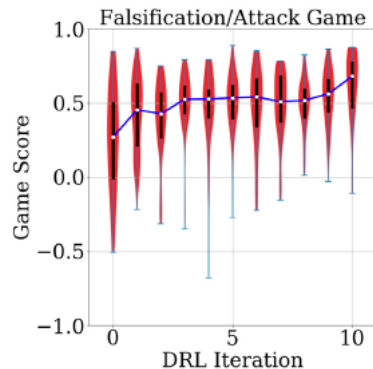
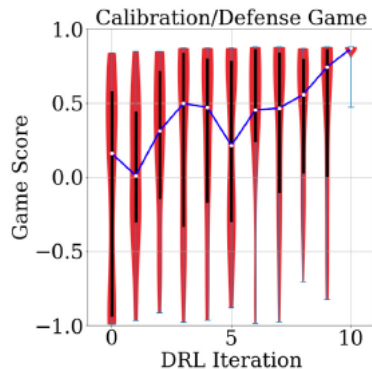


# Results of competitions



Drucker-Prager Model

Bounding-surface critical state plasticity



ML-generated traction separation law



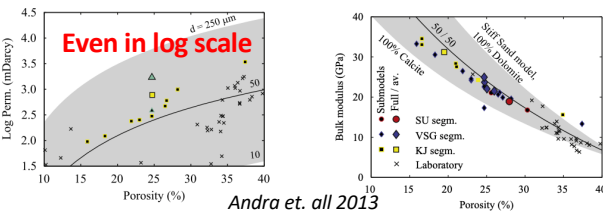
## Related work 2: An accelerated hybrid data-driven/model-based approach for poroelasticity problems with multi-fidelity multi-physics data (with Bahador Bahmani)

Some challenges in the data-driven model free approach:

- **Data hungry** (less assumption higher need for data)
- **Scalability** (online search over many data-points)

Observation:

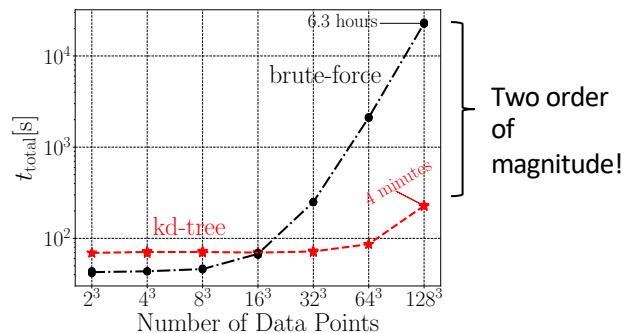
- Solid constitutive models: high fidelity
- Fluid constitutive models: **low fidelity**



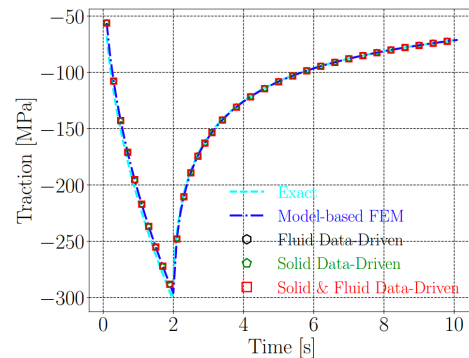
Our contribution:

- We introduce for the first time a coupled multiphysics model-free formulation based on a non-parametric data-driven algorithm for poroelasticity applications
- Two hybrid formulations are developed in cases where model (human-written or surrogate) performs satisfactory. (**Data efficient**)
- We introduce a simple projection that maps the energy metric space onto the Euclidean metric space. Using this treatment, high dimensional data can be efficiently stored in tree data structures for fast nearest neighbor search. (**Scalable**)

Exponentially faster model-free algorithm

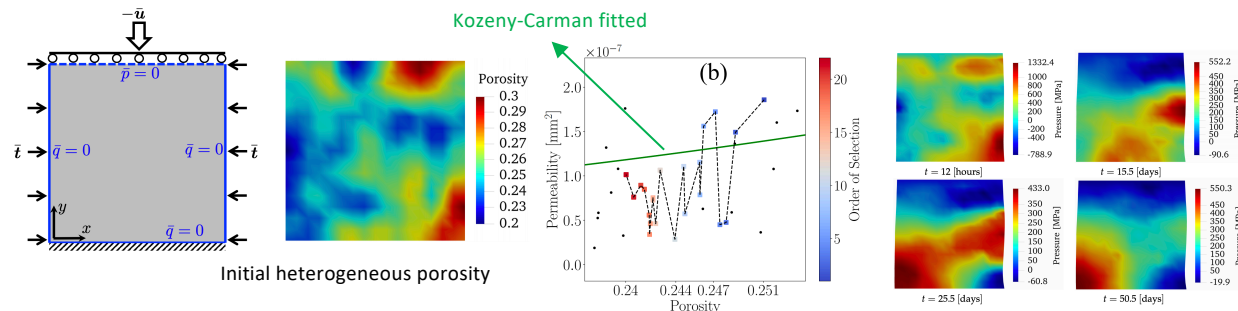


Validation of the developed model-free formulations



Hybrid model free solution:

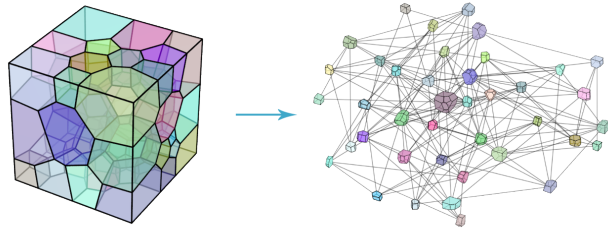
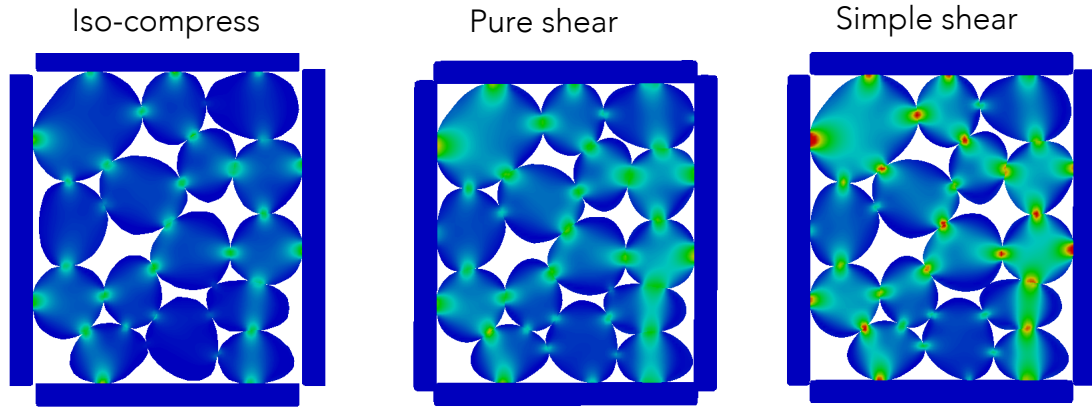
Nonlinear heterogeneous problem with real data from FFT simulation and sandstone 3D images



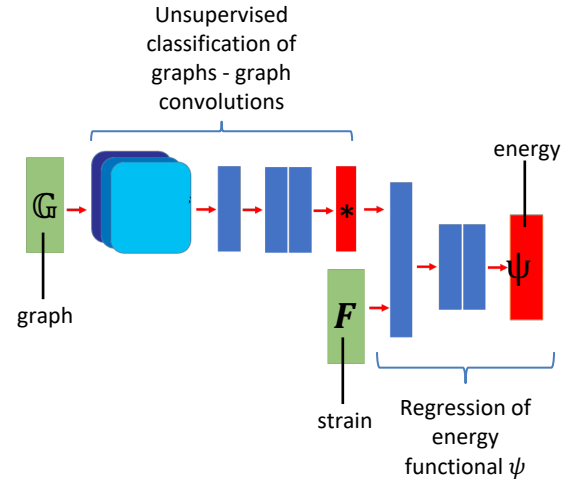


# Future Work: Geometric learning for evolving connectivity graphs

## ➤ Stress evolutions under various loadings (grain scale)



Creating low-dimensional representation graph to represent microstructures from voxel images



Vlassis, Ma & Sun, CMAME 2020

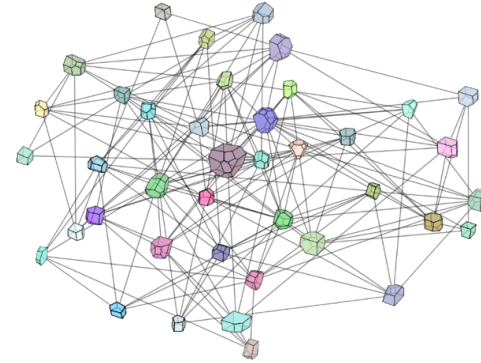
(For convolutional neural network on voxel images, see Frankel et al, CMS 2019)



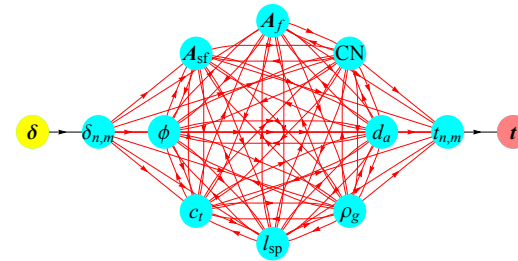
# Concluding Remarks:

We examine some potential applications of undirected weighted graph and directed graph for computational mechanics, in particular, we introduce ways to

1. Generalized the modeling process of elasto-plasticity problems.
2. Write, validate and falsify a constitutive law represented by directed graph via non-cooperative game.



Undirected weighted graph



Directed Multi-graph



# Acknowledgements

- Army Research Office: Young Investigator Program Award
- Air Force Office of Scientific Research, Young Investigator Program Award
- National Science Foundation: EAR-1516300 and CMMI-1445033, NSF CAREER
- Sandia National Laboratories
- US Department of Energy Office of Nuclear Energy
- Columbia University





# Reference

1. K. Wang, W.C. Sun, A multiscale multi-permeability poroplasticity model linked by recursive homogenizations and deep learning, *Computer Methods in Applied Mechanics and Engineering*, 334(1):337-380, [doi:10.1016/j.cma.2018.01.036](https://doi.org/10.1016/j.cma.2018.01.036), 2018. [[PDF](#)][[Bibtex](#)]
2. K. Wang, W.C. Sun, An updated Lagrangian LBM-DEM-FEM coupling model for dual-permeability porous media with embedded discontinuities, *Computer Methods in Applied Mechanics and Engineering*, 344:276-305, doi:[10.1016/j.cma.2018.09.034](https://doi.org/10.1016/j.cma.2018.09.034), 2019.
3. K. Wang, W.C. Sun, Meta-modeling game for deriving theory-consistent, micro-structure-based traction-separation laws via deep reinforcement learning, *Computer Methods in Applied Mechanics and Engineering*, 346:216-241, [doi:10.1016/j.cma.2018.11.026](https://doi.org/10.1016/j.cma.2018.11.026), 2019. [[PDF](#)][[Bibtex](#)][[Data](#)]
4. K. Wang, W.C. Sun, Q. Du, A cooperative game for automated learning of elasto-plasticity knowledge graphs and models with AI-guided experimentation, *Computational Mechanics*, special issue for Data-Driven Modeling and Simulations: Theory, Methods and Applications, 64(2):67-499, [doi:10.1007/s00466-019-01723-1](https://doi.org/10.1007/s00466-019-01723-1), 2019. [[PDF](#)]
5. Y. Heider, K. Wang, W.C. Sun, SO(3)-invariance of graph-based deep neural network for anisotropic elastoplastic materials, *Computer Methods in Applied Mechanics and Engineering*, 363:112875, [doi:10.1016/j.cma.2020.112875](https://doi.org/10.1016/j.cma.2020.112875), 2020. [[PDF](#)]
6. C. Liu, W.C. Sun, ILS-MPM: an unbiased implicit level-set-based material point method for frictional particulate contact mechanics of deformable particles, *Computer Methods in Applied Mechanics and Engineering*, accepted, 2020. [[PDF](#)]
7. R. Ma, W.C. Sun, Computational thermomechanics for crystalline rock. Part II: chemo-damage-plasticity and healing in strongly anisotropic polycrystals, *Computer Methods in Applied Mechanics and Engineering*, [doi:10.1016/j.cma.2020.113184](https://doi.org/10.1016/j.cma.2020.113184), 2020. [[PDF](#)]
8. K. Wang, W.C. Sun, Q. Du, A non-cooperative meta-modeling game for automated third-party training, validating, and falsifying constitutive laws with adversarial attacks, *Computer Methods in Applied Mechanics and Engineering*, doi:10.1016/j.cma.2020.113514, 2020. [[Video](#)]
9. N. Vlassis, R. Ma, W.C. Sun, Geometric deep learning for computational mechanics Part I: Anisotropic Hyperelasticity, *Computer Methods in Applied Mechanics and Engineering*, 2020
10. A. Fuchs, Y. Heider, K. Wang, W.C. Sun, M. Kaliske, DNN<sup>2</sup>: A hyper-parameter reinforcement learning game for self-design neural network elasto-plastic constitutive laws, under review.
11. N. Vlassis, W.C. Sun, Sobolev training of thermodynamic-informed neural network for smoothed elasto-plasticity models with level set hardening, *Computer Methods in Applied Mechanics and Engineering*, accepted, 2021. [[Video](#)]



ICAM conference: [mmltdt.eng.ucsd.edu](http://mmltdt.eng.ucsd.edu)





# Thank You!

More information can be found at  
[www.poromechanics.org](http://www.poromechanics.org)