# Wave propagation and strain localization in a fully saturated softening porous medium under the non-isothermal conditions

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Abstract The thermo-hydro-mechanical (THM) coupling effects on the dynamic wave propagation and strain localization in a fully saturated softening porous medium are analyzed. The characteristic polynomial corresponding to the governing equations of the THM system is derived, and the stability analysis is conducted to determine the necessary conditions for stability for both non-isothermal and adiabatic cases. The result from the dispersion analysis based on the Abel-Ruffini theorem reveals that the roots of the characteristic polynomial for the thermo-hydro-mechanics problem can not be expressed algebraically. Meanwhile, the dispersion analysis on the adiabatic case leads to a new analytical expression of the internal length scale. Our limit analysis on the phase velocity for the non-isothermal case indicates that the internal length scale for the non-isothermal THM system may vanish at the short wavelength limit. This result leads to the conclusion that the rate-dependence introduced by multiphysical coupling may not regularize the THM governing equations when softening occurs. Numerical experiments are used to verify the results from the stability and dispersion analyses.

Keywords thermo-hydro-mechanics, dynamic wave propagation, stability, dispersion, internal length scale, bifurcation

#### 1 Introduction

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Localization of deformation in solids occurs in many natural processes and engineering applications. Examples of localization of deformation include the formation of Lüder and Portevin-Le Chatelier (PLC) bands [14, 28] in metals and alloys, crack bands in concrete [5], and shear, compaction and dilation bands in sand, clay, ice and rocks [15, 33, 44, 47, 48, 49]. For single-phase porous media under the static condition, the onset of strain localization is related to the loss of ellipticity, while the dynamics counterpart is due to the wave speed becoming imaginary [19, 21, 29, 30]. These cases have been studied via stability and perturbation analyses in Hill [20, 21], which prove that perturbation grows instead of decays in unstable materials due to the ill-posedness of the governing equation. This ill-posedness of the governing equation, which can be triggered by strain softening and/or lack of normality [33], can lead to tremendous difficulty to replicate strain localization in computer simulations. One undesirable consequence is that the numerically simulated localization zones exhibit pathological dependence on the mesh size [4, 12, 23, 29, 34, 41, 43]. As a result of this inherent mesh dependency, the size of mesh may affect the simulated post-bifurcation local and global responses, which do not converge upon mesh refinement [45, 46].

To circumvent this mesh dependency, a material length scale must be introduced in the governing equation. Belytschko et al. [7] summarized a number of ways to introduce length scale and coined them localization limiters. These methods include introducing nonlocal or gradient based internal variables (e.g., [14, 16]), or higher-order continuum (e.g., [17, 18, 52]), and incorporating rate dependence in constitutive model (e.g. [25, 29]) to regularize the simulated responses after the onset of strain localization. This rate-dependent localization limiter is relevant to

many deformation-diffusion coupling processes in multiphase materials, as the transient diffusion process is likely to introduce rate dependence to the mechanical responses due to the coupling effect. The previous works, such as Schrefler et al. [35, 36], Zhang and Schrefler [52], Zhang et al. [54], analyze the rate-dependent effect in fluid-infiltrating porous solid via stability and dispersion analyses, and derive the inherent length scale as a function of permeability and viscosity of the fluid among other material parameters. Benallal and Comi [9], Zhang and Schrefler [52] and Abellan and de Borst [2] argue that while disperse effects are indeed observed in two-phase porous media, the physical length scale introduced via hydro-mechanical coupling effect vanishes at short wavelength limit.

Nevertheless, the aforementioned stability and dispersion analyses are based on the assumptions that the porous media is under the isothermal condition and the thermal effect is negligible and decoupled from the hydro-mechanical processes. These assumptions are reasonable for numerous engineering applications in which thermal effect plays little role on the safety or efficiency of the operations. However, thermo-hydro-mechanical coupling effect is critical for various applications, such as geothermal energy piles [3], geological disposal of carbon dioxide and nuclear wastes [50], freezing-thawing of pavement systems [39], and landslide triggered by thermal induced creeping [51].

To the best knowledge of the authors, there is no study concerning the thermo-hydro-mechanical coupling effect on the inherent length scale of porous media under non-isothermal condition. The purpose of this article is to fill this important knowledge gap. In particular, we apply the Routh-Hurwitz stability theorem to the THM governing equations and determine whether small perturbation can grow into localized instability and whether dispersive wave can propagate at finite wave speed in a thermal-sensitive softening porous media under the general non-isothermal condition and at the adiabatic limit. Our analysis indicates that the characteristic polynomial for the porous media under the general non-isothermal condition is of the fourth-order in the stability analysis, and of the sixth-order in the dispersion analysis. According to the Abel-Ruffini theorem (Abel's impossibility theorem), a polynomial higher than the fifth-order has no general algebraic solution. As a result, we prove that it is impossible to express the internal length scale algebraically for the general non-isothermal case. On the other hand, under the adiabatic condition, we prove that the characteristic polynomial is reduced to the third-order for the dispersion analysis. Therefore, we derive the algebraic expression of length scale for this limit case and compare both new results with the previous works on isothermal porous media [2, 53, 54].

The rest of the paper is organized as follows. We first perform the stability analysis for both general non-isothermal and adiabatic cases, and determine the onset of instability in Section 2.2. We then investigate the dispersive wave propagation in Section 2.3. In particular, we derive the phase velocity for the non-isothermal case at the long wavelength limit, and the vanishing of the physical internal length scale is observed at the short wavelength limit. For many thermo-hydro-mechanical coupling processes at very small time scale, the thermal conductivity of the porous media is negligible. For those adiabatic cases, we derive the simplified expression of the internal length scales and analyze the wave propagation speed during strain softening. In section 3, we conduct numerical experiments using an 1D dynamic THM finite element code to compare and validate the analytical derivation in Sections 2.2 and 2.3. Furthermore, the influences of hydraulic properties (permeability) and thermal parameters (thermal conductivity and specific heat) on internal length scale and wave propagation behavior are evaluated for both non-isothermal and adiabatic cases, respectively. Finally, concluding remarks are given in Section 4.

As for notations and symbols, bold-faced letters denote tensors; the symbol '·' denotes a single contraction of adjacent indices of two tensors (e.g.  $a \cdot b = a_i b_i$  or  $c \cdot d = c_{ij} d_{jk}$ ); the symbol ':' denotes a double contraction of adjacent indices of tensor of rank two or higher (e.g.  $C : \varepsilon^e = C_{ijkl} \varepsilon_{kl}^e$ ); the symbol ' $\otimes$ ' denotes a juxtaposition of two vectors (e.g.  $a \otimes b = a_i b_j$ ) or two symmetric second order tensors (e.g.  $(\alpha \otimes \beta) = \alpha_{ij} \beta_{kl}$ ). As for sign conventions, we consider the direction of the tensile stress and dilative pressure as positive.

# 2 Stability and dispersion analyses

In this section, the governing equations for the wave propagation of a one-dimensional softening bar composed of fully saturated porous media under the general non-isothermal and adiabatic conditions are introduced. We perform stability and dispersion analyses on both cases and obtain the corresponding characteristic polynomials. Then, we derive the explicit expression of phase velocity and determine the vanishing length scale under long and short wavelength limits for the non-isothermal condition. In the adiabatic condition, analytical derivations of the cutoff wavenumber and internal length scale are investigated for dynamic wave propagation in a two-phase porous medium. These new results are compared with the stability and dispersion analyses for isothermal porous media.

#### 2.1 Model assumptions and governing equations

The thermo-hydro-mechanical response of fluid infiltrating porous solids is governed by the balance principles, i.e., the balance of linear momentum, mass and energy. Biot [11] formulated a general thermodynamics theory for non-isothermal porous media. McTigue [26] derived a field theory for the linear thermo-elastic response of fully saturated porous media. This model is extended in Coussy [13] to incorporate the structural heating effect. Belotserkovets and Prèvost [6] derived analytical solutions of an elastic fluid-saturated porous sphere subjected to boundary heating, prescribed pore pressure and flux. Selvadurai and Suvorov [37] analyzed the same thermo-hydro-mechanical problem of a spherical domain. By neglecting the heat generated and dissipated due to deformation of the solid skeleton and the flow convection of the porous spheres, the analytical solution of THM responses of the sphere composed of a fluid-saturated elasto-plastic material was derived and compared with finite element solution.

In this study, we adopt the governing equations of Coussy [13] and Belotserkovets and Prèvost [6]. We assume that the strain is infinitesimal and that there is no mass exchange between the solid and fluid constituents. The gravitational body force and heat convection of among the constituents are neglected. Furthermore, we ignored the difference between the acceleration of the fluid and solid skeleton in Eq. (1) and Eq. (2) to simplify the analysis as previously done in Zhang et al. [54], Zienkiewicz et al. [55]. As a result, the governing equations of the linear momentum, the fluid mass balance and the energy balance read,

$$\nabla \cdot (\sigma' - bp - \beta T) - \rho \ddot{u} = 0, \tag{1}$$

$$b\nabla \cdot \dot{u} - k\nabla^2 p + \frac{1}{M}\dot{p} - 3\alpha_m \dot{T} = 0, \tag{2}$$

$$\rho c \dot{T} - \kappa \nabla^2 T + T_0 \beta \nabla \cdot \dot{u} - 3\alpha_m T_0 \dot{p} = 0, \tag{3}$$

where  $\sigma'$  is effective stress (nominal effective stress in Liu et al. [24]), p is pore pressure, T is temperature, u is displacement of solid skeleton, and b is the Biot's coefficient. The mobility, k, is defined as  $k = k_s/\mu_f = k_{perm}/\rho_f g$ , in which  $k_s$  is the intrinsic permeability,  $\mu_f$  is the fluid viscosity,  $k_{perm}$  is the permeability or hydraulic conductivity and g is the gravity acceleration. Furthermore,  $T_0$  is the reference temperature as defined in [6].  $\beta$  is calculated as  $\beta = 3\alpha_s K$ , in which  $\alpha_s$  is the linear thermal expansion coefficient of solid, and K is the bulk modulus. Also,  $\alpha_m$  is described as,  $\alpha_m = (b-n)\alpha_s + b\alpha_f$ , including porosity n and the linear thermal expansion coefficient of fluid  $\alpha_f$ . Here,  $\rho = (1-n)\rho_s + n\rho_f$ , in which  $\rho_s$  and  $\rho_f$  are solid and fluid mass densities,  $\kappa$  is the thermal conductivity, and  $c_s$ ,  $c_f$  are the specific heats of solid and fluid. The Biot's modulus is denoted as M, which is a function of the Biot's coefficient b, porosity n, the bulk modulus of the solid grain  $K_s$  and that of the fluid constituent  $K_f$ , i.e.,

$$\frac{1}{M} = \frac{b-n}{K_s} + \frac{n}{K_f}.\tag{4}$$

In this study, the volume-averaged specific heat of the constituents,  $\rho c = (1 - n)\rho_s c_s + n\rho_f c_f$ , is considered to be specific heat of two-phase fluid-solid mixture. In addition, we assume that the temperature is at equilibrium locally and hence there is no temperature difference between the two constituents at the same material point. To simplify the stability and dispersion analyses, we limit our attention to a one-dimensional dynamic thermo-hydro-mechanics boundary value problem.

### 2.2 Stability analysis

In this section, we analyze stability of a one-dimensional wave propagation in a thermal-sensitive fluid-saturated porous media. Our goal here is to determine the necessary and sufficient conditions to maintain stability of the thermohydro-mechanical system in the generalized non-isothermal case and at the adiabatic limit. Our results are compared with the previous analyses on isothermal porous media. In particular, we apply the Routh-Hurwitz stability theorem to the characteristic equations of the general non-isothermal and adiabatic THM systems. The Routh-Hurwitz criterion enables us to determine whether it is possible that the solution of characteristic equation can have a real and positive part, which in return implies that homogeneous state is unstable and a small perturbation may grow [14].

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2.2.1 Non-isothermal case

To investigate the stability of an equilibrium state, we apply a harmonic perturbation with respect to an incremental axial displacement, pore pressure and temperature. For an infinite one-dimensional thermo-sensitive porous medium initially in a homogeneous state, the solution of displacement, pore pressure and temperature in space-time (x,t) may take the following form,

$$\begin{bmatrix} du \\ dp \\ dT \end{bmatrix} = \begin{bmatrix} A_u \\ A_p \\ A_T \end{bmatrix} e^{i(k_w x - \omega t)} = A e^{ik_w x + \lambda t}, \ \lambda = -i\omega, \tag{5}$$

where  $k_w$  is the wavenumber,  $\omega$  the angular frequency, and  $\lambda$  eigenvalue.  $A_u$ ,  $A_p$  and  $A_T$  are the amplitudes of the displacement, pore pressure and temperature perturbations, respectively. Following the approach in Zhang et al. [54] and Abellan and de Borst [2], we use an incremental linear constitutive model to relate the infinitesimal change of the nominal effective stress and that of the total strain for the one-dimensional THM problem, i.e.,

$$\dot{\sigma}' = E_t \frac{\partial \dot{u}}{\partial x} = E_t \dot{\varepsilon},\tag{6}$$

where  $E_t$  is the tangential stiffness modulus of the solid (cf. Abellan and de Borst [2]). The relations among the one-dimensional total stress  $\sigma$ , Biot's effective stress  $\sigma''$  and the nominal effective stress  $\sigma'$  are [24],

$$\dot{\sigma} = \dot{\sigma}'' - b\dot{p} = \dot{\sigma}' - \beta\dot{T} - b\dot{p}. \tag{7}$$

The spatial derivative of the incremental nominal effective stress equation (6) gives,

$$\frac{\partial \dot{\sigma}'}{\partial x} = -E_t A_u k_w^2 \exp(ik_w x + \lambda t). \tag{8}$$

The substitution of Eq. (5) into Eqs. (1) to (3) therefore gives,

$$-E_t k_w^2 A_u - i(bk_w) A_p - i(\beta k_w) A_T - \rho \lambda^2 A_u = 0,$$
(9)

$$i(bk_w\lambda)A_u + kk_w^2A_p + M^{-1}\lambda A_p - 3\alpha_m\lambda A_T = 0, (10)$$

$$\rho c \lambda A_T + \kappa k_w^2 A_T + i (T_0 \beta k_w \lambda) A_u - 3\alpha_m T_0 \lambda A_p = 0. \tag{11}$$

A non-trivial solution to this set of homogeneous equations exists if and only if the following relation holds,

$$\begin{vmatrix}
-E_t k_w^2 - \rho \lambda^2 & -i(bk_w) & -i(\beta k_w) \\
i(bk_w \lambda) & kk_w^2 + M^{-1} \lambda & -3\alpha_m \lambda \\
i(T_0 \beta k_w \lambda) & -3\alpha_m T_0 \lambda & \rho c \lambda + \kappa k_w^2
\end{vmatrix} = 0,$$
(12)

which can be rewritten as shown below,

$$(-E_{t}k_{w}^{2} - \rho\lambda^{2}) \left[ (kk_{w}^{2} + M^{-1}\lambda)(\rho c\lambda + \kappa k_{w}^{2}) - (-3\alpha_{m}\lambda)(-3\alpha_{m}T_{0}\lambda) \right]$$

$$+i(bk_{w}) \left[ i(bk_{w}\lambda)(\rho c\lambda + \kappa k_{w}^{2}) - (-3\alpha_{m}\lambda)(i(T_{0}\beta k_{w}\lambda)) \right]$$

$$-i(\beta k_{w}) \left[ i(bk_{w}\lambda)(-3\alpha_{m}T_{0}\lambda) - (kk_{w}^{2} + M^{-1}\lambda)(i(T_{0}\beta k_{w}\lambda)) \right] = 0.$$

$$(13)$$

Expanding Eq. (13) yields,

$$-\frac{1}{M}\rho^{2}c\lambda^{4} + 9\alpha_{m}^{2}\rho T_{0}\lambda^{4} - \frac{1}{M}\rho\kappa k_{w}^{2}\lambda^{3} - \rho^{2}ckk_{w}^{2}\lambda^{3}$$

$$-\frac{1}{M}\rho cE_{t}k_{w}^{2}\lambda^{2} - \rho cb^{2}k_{w}^{2}\lambda^{2} - \rho\kappa kk_{w}^{4}\lambda^{2} - \frac{1}{M}\beta^{2}T_{0}k_{w}^{2}\lambda^{2} - 6\alpha_{m}\beta bT_{0}k_{w}^{2}\lambda^{2} + 9E_{t}\alpha_{m}^{2}T_{0}k_{w}^{2}\lambda^{2}$$

$$-\frac{1}{M}E_{t}\kappa k_{w}^{4}\lambda - b^{2}\kappa k_{w}^{4}\lambda - \rho cE_{t}kk_{w}^{4}\lambda - \beta^{2}kT_{0}k_{w}^{4}\lambda - E_{t}k\kappa k_{w}^{6} = 0,$$
(14)

in which the terms in Eq. (14) are rearranged in descending order for eigenvalue,  $\lambda$ . Simplifying the expression of Eq. (14) yields ( $M \neq 0$ ),

$$\rho(\rho c - 9\alpha_{m}^{2}T_{0}M)\lambda^{4} + \rho(\kappa + \rho ckM)k_{w}^{2}\lambda^{3} + (\rho cE_{t} + \rho cb^{2}M + \rho \kappa kMk_{w}^{2} + \beta^{2}T_{0} + 6\alpha_{m}\beta bT_{0}M - 9E_{t}\alpha_{m}^{2}T_{0}M)k_{w}^{2}\lambda^{2} + (E_{t}\kappa + b^{2}\kappa M + \rho cE_{t}kM + \beta^{2}kT_{0}M)k_{w}^{4}\lambda + E_{t}k\kappa Mk_{w}^{6} = 0.$$
(15)

After rearranging Eq. (15), the characteristic equation is a forth-order polynomial that reads,

$$a_4\lambda^4 + a_3\lambda^3 + a_2\lambda^2 + a_1\lambda + a_0 = 0, (16)$$

with the following real coefficients,

$$a_4 = \rho(\rho c - 9\alpha_m^2 T_0 M),\tag{17}$$

$$a_3 = \rho(\kappa + \rho ckM)k_w^2, \tag{18}$$

$$a_2 = (\rho c E_t + \rho c b^2 M + \rho \kappa k M k_w^2 + \beta^2 T_0 + 6\alpha_m \beta b T_0 M - 9E_t \alpha_m^2 T_0 M) k_w^2, \tag{19}$$

$$a_1 = (E_t \kappa + b^2 \kappa M + \rho c E_t k M + \beta^2 k T_0 M) k_w^4,$$
(20)

$$a_0 = E_t k \kappa M k_w^6. \tag{21}$$

According to the Routh-Hurwitz stability criterion, the stability of the governing equations is maintained if and only if all the solutions of characteristic polynomial have negative real part [22, 32]. For the fourth-order polynomial shown in Eq. (16), the necessary condition to satisfy the Routh-Hurwitz stability criterion is to have the coefficients listed in Eqs. (17) to (21) hold the following properties,

$$a_n > 0$$
,  $a_3 a_2 > a_4 a_1$ , and  $a_3 a_2 a_1 > a_4 a_1^2 + a_3^2 a_0$ , where  $n = 0, 1, 2, 3, 4$ . (22)

We first examine Eq. (22)<sub>1</sub>, which requires all coefficients  $a_i$ , i = 0, 1, 2, 3, 4, to be strictly positive. Notice that these coefficients are all the functions of the material parameters that characterize the mechanical, hydraulic and thermal responses of porous media. As a result, one may deduce the necessary condition to satisfy Eq. (22)<sub>1</sub> by examining the physical meaning and the possible ranges of the material parameters. Here we categorize the material parameters into three groups – strictly positive, non-negative, and real number (which can be negative, zero or positive). Among these three groups, we first assume that the total density  $\rho$ , specific heat c, Biot's modulus M and Biot's coefficient b are all strictly positive and hence greater than zero. Meanwhile, the mobility k, thermal conductivity  $\kappa$ , thermal expansion coefficient  $\alpha_m$  and the reference temperature  $T_0$  are assumed to be non-negative (if the temperature unit is Kelvin). Finally, the tangential stiffness  $E_t$  can be both positive, negative or zero, as summarized in Table 1.

Parameter	Description	Range
ρ	Total Density	$\mathbb{R}^+$
c	Specific Heat	$\mathbb{R}^+$
M	Biot's Modulus	$\mathbb{R}^+$
b	Biot's Coefficient	(0,1]
k	Mobility	$[0,\infty)$
κ	Thermal Conductivity	$[0,\infty)$
$\alpha$	Thermal Expansion Coefficient	$[0,\infty)$
$T_0$	Reference Temperature	$[0,\infty)$
$E_t$	Tangential Modulus	$\mathbb{R}$

Table 1: Assumptions on range of the material properties of thermo-sensitive porous media.

With the aforementioned assumptions in mind, we notice that  $a_0$ ,  $a_1$  and  $a_3$  may all become non-positive when both thermal conductivity and permeability of the material become zero. This result indicates that the wave propagating in non-isothermal porous medium may lose stability at the undrained limit even though there is no softening. At

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the adiabatic limit, we found that one of the roots of the characteristic polynomial is zero and at least one of the root may have a positive real part if at least one of the four conditions listed at the end of section 2.2.2 is met. On the other hand,  $a_4$  is greater than zero if both solid and fluid constituents do not exhibit thermal expansion such that  $\alpha_m = 0$ . However, to maintain stability, the specific heat must be large enough such that  $c > 9\alpha_m^2 T_0 M/\rho$ . In other words, from a theoretical standpoint, it is possible for the THM governing equations to lose stability if the fluid and solid constituents are both nearly incompressible but the porous medium is vulnerable to significant thermal expansion (e.g. marine clay). This indicates that material softening is not the only indicator that detects the loss of stability in the THM problem. Furthermore, a necessary and sufficient condition for  $a_0 > 0$ ,  $a_1 > 0$  and  $a_2 > 0$  is to have  $E_t > 0$ , i.e., no softening occurring. A few algebraic operations reveal that,

$$a_2 > 0 \text{ implies that } E_t > \frac{-\rho c b^2 M - \rho \kappa k M k_w^2 - \beta^2 T_0 - 6\alpha_m \beta b T_0 M}{\rho c - 9\alpha_m^2 T_0 M}, \tag{23}$$

$$a_1 > 0$$
 implies that  $E_t > \frac{-b^2 \kappa M - \beta^2 k T_0 M}{\kappa + \rho c k M}$ . (24)

Since the stability condition also requires  $a_4 > 0$  and hence  $\rho c - 9\alpha_m^2 T_0 M > 0$ , both (23) and (24) would not be violated unless softening occurs (i.e.  $E_t < 0$ ). Meanwhile, the explicit expression of  $a_3 a_2 > a_4 a_1$  reads,

$$k\kappa^{2}M\rho^{2}k_{w}^{6} + b^{2}c^{2}kM^{2}\rho^{3}k_{w}^{4} + ck^{2}\kappa M^{2}\rho^{3}k_{w}^{6} + \beta^{2}\kappa\rho T_{0}k_{w}^{4} + 6\alpha_{m}b\beta\kappa M\rho T_{0}k_{w}^{4} + 9\alpha_{m}^{2}b^{2}\kappa M^{2}\rho T_{0}k_{w}^{4} + 6\alpha_{m}b\beta ckM^{2}\rho^{2}T_{0}k_{w}^{4} + 9\alpha_{m}^{2}\beta^{2}kM^{2}\rho T_{0}^{2}k_{w}^{4} > 0.$$
(25)

which can be expressed as below.

$$\rho k_w^4 \left[ \rho^2 c k^2 \kappa M^2 k_w^2 + \kappa T_0 (\beta + 3b \alpha_m M)^2 + k M (\rho \kappa^2 k_w^2 + M (b \rho c + 3\beta \alpha_m T_0)^2) \right] > 0.$$
 (26)

Condition (26) always holds if the wavenumber is real, either the permeability or the thermal conductivity is non-zero and the rest of the material parameters are strictly positive. Finally,  $a_3a_2a_1 > a_4a_1^2 + a_3^2a_0$  can be expanded as,

$$b^{2}k\kappa^{3}M^{2}\rho^{2}k_{w}^{10} + b^{2}c^{2}E_{t}k\kappa M^{2}\rho^{3}k_{w}^{8} + b^{4}c^{2}k\kappa M^{3}\rho^{3}k_{w}^{8} + b^{2}ck^{2}\kappa^{2}M^{3}\rho^{3}k_{w}^{10} + b^{2}c^{3}E_{t}k^{2}M^{3}\rho^{4}k_{w}^{8}$$

$$+\beta^{2}E_{t}\kappa^{2}T_{0}\rho k_{w}^{8} + b^{2}\beta^{2}\kappa^{2}MT_{0}\rho k_{w}^{8} + 6\alpha_{m}b\beta E_{t}\kappa^{2}MT_{0}\rho k_{w}^{8} + 6\alpha_{m}b^{3}\beta\kappa^{2}M^{2}T_{0}\rho k_{w}^{8}$$

$$+9\alpha_{m}^{2}b^{2}E_{t}\kappa^{2}M^{2}T_{0}\rho k_{w}^{8} + 9\alpha_{m}^{2}b^{4}\kappa^{2}M^{3}T_{0}\rho k_{w}^{8} + \beta^{2}cE_{t}k\kappa MT_{0}\rho^{2}k_{w}^{8} + 12\alpha_{m}b\beta cE_{t}kM^{2}T_{0}\kappa\rho^{2}k_{w}^{8}$$

$$+\beta^{2}k^{2}\kappa^{2}M^{2}T_{0}\rho^{2}k_{w}^{10} + 6\alpha_{m}b^{3}\beta ck\kappa M^{3}T_{0}\rho^{2}k_{w}^{8} + 9\alpha_{m}^{2}b^{2}cE_{t}k\kappa M^{3}T_{0}\rho^{2}k_{w}^{8} + b^{2}\beta^{2}c^{2}k^{2}M^{3}T_{0}\rho^{3}k_{w}^{8}$$

$$+6\alpha_{m}b\beta c^{2}E_{t}k^{2}M^{3}T_{0}\rho^{3}k_{w}^{8} + \beta^{2}ck^{3}\kappa M^{3}T_{0}\rho^{3}k_{w}^{10} + \beta^{4}\kappa MT_{0}^{2}\rho k_{w}^{8} + 6\alpha_{m}b\beta^{3}k\kappa M^{2}T_{0}^{2}\rho k_{w}^{8}$$

$$+9\alpha_{m}^{2}\beta^{2}E_{t}k\kappa M^{2}T_{0}^{2}\rho k_{w}^{8} + 18\alpha_{m}^{2}b^{2}\beta^{2}k\kappa M^{3}T_{0}^{2}\rho k_{w}^{8} + 6\alpha_{m}b\beta^{3}ck^{2}M^{3}T_{0}^{2}\rho^{2}k_{w}^{8}$$

$$+9\alpha_{m}^{2}\beta^{2}CE_{t}k^{2}M^{3}T_{0}^{2}\rho^{2}k_{w}^{8} + 9\alpha_{m}^{2}\beta^{4}k^{2}M^{3}T_{0}^{3}\rho k_{w}^{8} > 0,$$
(27)

which can be further simplified as,

$$E_{t}\rho k_{w}^{8}(\kappa + \rho ckM) \left[ 6\alpha_{m}b\beta MT_{0}(\kappa + \rho ckM) + b^{2}M^{2}(\rho^{2}c^{2}k + 9\alpha_{m}^{2}\kappa T_{0}) + \beta^{2}T_{0}(\kappa + 9\alpha_{m}^{2}kM^{2}T_{0}) \right] + \rho k_{w}^{8}M(b^{2}\kappa + \beta^{2}kT_{0}) \left[ \rho^{2}ck^{2}\kappa M^{2}k_{w}^{2} + \kappa T_{0}(\beta + 3\alpha_{m}bM)^{2} + kM(\kappa^{2}\rho k_{w}^{2} + M(\rho cb + 3\alpha_{m}\beta T_{0})^{2}) \right] > 0.$$
 (28)

In other words,  $a_3a_2a_1 > a_4a_1^2 + a_3^2a_0$  implies that,

$$E_{t} > \frac{-M(b^{2}\kappa + \beta^{2}kT_{0})\left[\rho^{2}ck^{2}\kappa M^{2}k_{w}^{2} + \kappa T_{0}(\beta + 3\alpha_{m}bM)^{2} + kM(\kappa^{2}\rho k_{w}^{2} + M(\rho cb + 3\alpha_{m}\beta T_{0})^{2})\right]}{(\kappa + \rho ckM)\left[6\alpha_{m}b\beta MT_{0}(\kappa + \rho ckM) + b^{2}M^{2}(\rho^{2}c^{2}k + 9\alpha_{m}^{2}\kappa T_{0}) + \beta^{2}T_{0}(\kappa + 9\alpha_{m}^{2}kM^{2}T_{0})\right]},$$
(29)

which would not be violated unless softening occurs (i.e.  $E_t < 0$ ) as the above Eqs. (23) and (24).

As a result, the THM governing equations may fail the Routh-Hurwitz criterion if at least one of the following situations happens:

- 1. Softening occurs such that  $E_t < 0$ .
- 2. Both permeability and thermal conductivity of the porous media become zero.
- 3. Specific heat  $c \leq 9\alpha_m^2 T_0 M/\rho$ .

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2.2.2 Adiabatic case

The stability analysis conducted in the previous section can be significantly simplified by assuming that the entire one-dimensional bar is in the adiabatic or isothermal condition. While the latter case has been extensively studied in the past (cf. Abellan and de Borst [2], Zhang and Schrefler [52, 53], Zhang et al. [54]), the stability analysis of adiabatic porous media has not yet been established. For many engineering applications in which high-rate and shock responses are of interest, it is reasonable to assume that the thermal conductivity is negligible. In those cases, we may derive the characteristic equation for the adiabatic condition by assuming the thermal conductivity to be zero,  $\kappa \approx 0$ . As a result, the characteristic equation of the adiabatic THM system reads,

$$\begin{vmatrix}
-E_t k_w^2 - \rho \lambda^2 & -i(bk_w) & -i(\beta k_w) \\
i(bk_w \lambda) & kk_w^2 + M^{-1} \lambda & -3\alpha_m \lambda \\
i(T_0 \beta k_w \lambda) & -3\alpha_m T_0 \lambda & \rho c \lambda
\end{vmatrix} = 0,$$
(30)

which can be rewritten as shown below,

$$(-E_{t}k_{w}^{2} - \rho\lambda^{2}) \left[ (kk_{w}^{2} + M^{-1}\lambda)\rho c\lambda - (-3\alpha_{m}\lambda)(-3\alpha_{m}T_{0}\lambda) \right]$$

$$+i(bk_{w}) \left[ i(bk_{w}\lambda)\rho c\lambda - (-3\alpha_{m}\lambda)(i(T_{0}\beta k_{w}\lambda)) \right]$$

$$-i(\beta k_{w}) \left[ i(bk_{w}\lambda)(-3\alpha_{m}T_{0}\lambda) - (kk_{w}^{2} + M^{-1}\lambda)(i(T_{0}\beta k_{w}\lambda)) \right] = 0.$$

$$(31)$$

Expanding Eq. (31) yields,

$$-\frac{1}{M}\rho^{2}c\lambda^{4} + 9\rho\alpha_{m}^{2}T_{0}\lambda^{4} - \rho^{2}ckk_{w}^{2}\lambda^{3}$$

$$-\frac{1}{M}\rho cE_{t}k_{w}^{2}\lambda^{2} - \rho cb^{2}k_{w}^{2}\lambda^{2} - \frac{1}{M}\beta^{2}T_{0}k_{w}^{2}\lambda^{2} - 6\alpha_{m}\beta bT_{0}k_{w}^{2}\lambda^{2} + 9E_{t}\alpha_{m}^{2}T_{0}k_{w}^{2}\lambda^{2}$$

$$-\rho cE_{t}kk_{w}^{4}\lambda - \beta^{2}kT_{0}k_{w}^{4}\lambda = 0,$$
(32)

which can be rewritten as,

$$-\frac{1}{M}\lambda \left[ \rho(\rho c - 9\alpha_{m}^{2}T_{0}M)\lambda^{3} + \rho^{2}ckMk_{w}^{2}\lambda^{2} + (\rho cE_{t} + \rho cb^{2}M + \beta^{2}T_{0} + 6\alpha_{m}\beta bT_{0}M - 9E_{t}\alpha_{m}^{2}T_{0}M)k_{w}^{2}\lambda + (\rho cE_{t} + \beta^{2}T_{0})kMk_{w}^{4} \right] = 0.$$
(33)

This equation can be expressed into a more compacted form that reads  $(M \neq 0)$ ,

$$b_3\lambda^4 + b_2\lambda^3 + b_1\lambda^2 + b_0\lambda = 0$$
 or  $(b_3\lambda^3 + b_2\lambda^2 + b_1\lambda + b_0)\lambda = 0$ , (34)

where the expressions of the coefficients are

$$b_3 = \rho(\rho c - 9\alpha_m^2 T_0 M), \tag{35}$$

$$b_2 = \rho^2 ck M k_w^2, \tag{36}$$

$$b_1 = (\rho c E_t + \rho c b^2 M + \beta^2 T_0 + 6\alpha_m \beta b T_0 M - 9\alpha_m^2 E_t T_0 M) k_w^2, \tag{37}$$

$$b_0 = (\rho c E_t + \beta^2 T_0) k M k_w^4. \tag{38}$$

At the adiabatic limit, the vanishing of the Laplacian term in the balance of energy equation leads to a fourth-order characteristic polynomial Eq. (34) of which one of the roots is obviously zero ( $\lambda = 0$ ). This root represents a neutrally stable condition in which perturbation neither grows (which requires a positive real part) or decay (which requires a negative real part) [14]. To determine whether perturbation may grow, we examine the rest of the roots corresponding to Eq. (34) and analyze the ranges of material parameters that lead to at least one root having a positive real part (and hence causes a perturbation to grow). Note that the coefficients  $b_i$ , i = 0, 1, 2, 3 in Eq. (34) are either functions of an exponentiation of the wavenumber of a particular order, i.e.,  $k_w^2$ ,  $k_w^4$ , or independent of  $k_w$ . Furthermore, each coefficient does not depend on the exponentiation of the wavenumber with multiple orders as  $a_2$  in Eq. (19). This feature allows one to derive the cutoff wavenumber, which provides the range of wavenumbers where wave propagation is possible in the adiabatic porous media.

Now apply the Routh-Hurwitz stability criterion to the polynomial corresponding to the non-zero roots, i.e.,  $b_3\lambda^3 + b_2\lambda^2 + b_1\lambda + b_0 = 0$ . The necessary condition to satisfy the Routh-Hurwitz stability criterion reads,

$$b_n > 0$$
 and  $b_2b_1 > b_3b_0$ , where  $n = 0, 1, 2, 3,$  (39)

where  $b_2b_1 - b_3b_0 > 0$  can be written as,

$$\rho k M^2 (\rho c b + 3\alpha_m \beta T_0)^2 k_w^4 > 0. \tag{40}$$

This condition holds when the mobility k is positive. In analogy to the general non-isothermal case, we can identify the necessary condition that leads to instability. The loss of stability may appear if one of the following criteria is met:

- 1. Mobility k = 0, in which case  $b_0$  and  $b_2$  are both equal to 0.
- 2. Tangential modulus  $E_t \le -(\beta^2 T_0/\rho c)$  leads to  $b_0 \le 0$ .
- 3. Tangential modulus  $E_t \le -(\rho cb^2M + \beta^2T_0 + 6\alpha_m\beta bT_0M)/(\rho c 9\alpha_m^2T_0M)$  leads to  $b_1 \le 0$ .
  - 4. Specific heat  $c \le 9\alpha_m^2 T_0 M/\rho$  so that  $b_3 \le 0$ .

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Notice that in many THM formulations, such as Selvadurai and Suvorov [37] and Selvadurai and Suvorov [38], the work done or energy dissipation of the fluid and solid constituents are assumed to be negligible in the balance of energy equation. In this case, the energy balance equation, Eq. (3), may be simplified as,

$$\dot{T} - \frac{\kappa}{\rho c} \frac{\partial^2 T}{\partial x^2} = 0. \tag{41}$$

Hence, the mechanical and hydraulic responses are only one-way coupled with the heat transfer process. While the temperature changes may still cause deformation and/or flow, Eq. (41) indicates that neither deformation of the solid skeleton or the pore-fluid flow may impose any influence on the temperature due to the simplified assumptions. In this special case, the characteristic equation reads,

$$\begin{vmatrix}
-E_{t}k_{w}^{2} - \rho \lambda^{2} & -i(bk_{w}) & -i(\beta k_{w}) \\
i(bk_{w}\lambda) & kk_{w}^{2} + M^{-1}\lambda & -3\alpha_{m}\lambda \\
0 & 0 & \rho c\lambda + \kappa k_{w}^{2}
\end{vmatrix} = 0.$$
(42)

In the one-way coupling THM formulations, the characteristic equation will have two roots identical with those in the fully saturated isothermal condition [2, 53, 54], while the additional root is  $\lambda = -\kappa k_w^2/(\rho c)$  which is either equal to zero (when  $\kappa = 0$ ) or negative (when  $\kappa$  is positive). In other words, if the thermal conductivity is non-zero, then the governing equations of the one-way coupling THM system and the isothermal THM system share the same necessary and sufficient conditions for stability.

# 2.3 Dispersion analysis

Even if stability is lost, numerical simulations may still continue and give meaningful results as pointed out by Abellan and de Borst [2]. However, when the THM problem becomes ill-posed, the physical length scale inferred from the physical properties vanishes and a numerical length scale, which is often the mesh size, may influence the numerical solutions and cause mesh dependency.

The dispersion analysis provides a tool to predict the vanishing of finite non-zero physical wavelength by checking whether the associated cutoff wavenumber or damping factor can be identified. Recall that a wave is considered dispersive if the phase velocity (or wave velocity,  $v_p$ ) depends on the wavenumber [2, 8, 9, 10, 31, 40, 52, 53, 54]. In this case, the waves of different wavelengths travel at different phase velocities and hence the shape of a dispersive wave may change as it propagates [42]. To capture localization of deformation properly, governing equation must be able to change the shape of an arbitrary loading wave into a stationary wave in a localization zone [2, 41]. It is well known that wave propagation in the standard single-phase continuum upon the occurrence of strain softening is not dispersive, and hence the mesh dependency is observed [2, 14].

In this section, our objectives are to (1) investigate whether a dispersive wave can propagate at the long and short wavelength limits in the non-isothermal case, and (2) examine the cutoff wavenumber and internal length scale when strain softening at the adiabatic limit.

2.3.1 Non-isothermal case

We assume that the solution of the governing equations of a damped, harmonic wave propagating in a thermo-sensitive fully saturated two-phase porous media takes the following form:

$$\begin{bmatrix} du \\ dp \\ dT \end{bmatrix} = \begin{bmatrix} A_u \\ A_p \\ A_T \end{bmatrix} e^{i(k_w x - \omega t)} = A e^{\lambda_r t + i(k_w x - \omega t)}, \tag{43}$$

where  $A_u$ ,  $A_p$  and  $A_T$  are the amplitudes of the displacement, pore pressure and temperature accordingly. In the dispersion analysis, we split the possible complex eigenvalue into real part  $(\lambda_r)$  and imaginary party  $(\omega \text{ or } \lambda_i)$  as  $\lambda = \lambda_r - i\omega$ . According to Zhang et al. [54] and the dispersion analysis of adiabatic case below, the cutoff wavenumber can be derived using the discriminant of cubic polynomial of eigenvalue when the same order of wavenumber term exists in each coefficient of the characteristic equation (e.g. Eq. (34)). However, in the characteristic equation of non-isothermal condition (Eq. (16)), the coefficient  $a_2$  has two different orders of wavenumber  $(k_w^2)$  and  $k_w^4$  and the derivation of discriminant of quartic polynomial cannot give the explicit expression of wavenumber having the complex conjugate roots. Nevertheless, we may still determine the relation between the phase velocity and the real and imaginary parts of the eigenvalue by substituting  $\lambda = \lambda_r - i\omega$  into Eq. (16). This process, based on Abellan and de Borst [2], decomposes the characteristic equation into real and imaginary parts as follows,

$$a_4 \lambda_r^4 + a_3 \lambda_r^3 + a_2 \lambda_r^2 + a_1 \lambda_r + a_0 - a_2 \omega^2 - 3a_3 \lambda_r \omega^2 - 6a_4 \lambda_r^2 \omega^2 + a_4 \omega^4 + i(-a_1 \omega - 2a_2 \lambda_r \omega - 3a_3 \lambda_r^2 \omega - 4a_4 \lambda_r^3 \omega + a_3 \omega^3 + 4a_4 \lambda_r \omega^3) = 0.$$

$$(44)$$

The imaginary part of Eq. (44) vanishes if the following condition holds,

$$\omega = 0 \text{ or } \omega^2 = \frac{4a_4\lambda_r^3 + 3a_3\lambda_r^2 + 2a_2\lambda_r + a_1}{4a_4\lambda_r + a_3}.$$
 (45)

For the dispersion analysis of dynamic governing equations, we can assume  $\omega \neq 0$  and take the condition of Eq. (45)<sub>2</sub>. By considering the coefficients  $a_i$ , i = 0, 1, 2, 3, 4 of Eqs. (17) to (21), we know that  $\omega$  is expressed in terms of wavenumber  $(k_w)$ , and the relation of phase velocity  $(v_p = \omega/k_w)$  and wavenumber can be derived (Appendix A). Since the phase velocity is dependent on the wavenumber, we can find out that the wave propagation is dispersive. Furthermore, by substituting Eq. (45)<sub>2</sub> into Eq. (44), the equation of real part of eigenvalue  $\lambda_r$  can be expressed as shown below,

$$\left[64a_{4}^{3}\lambda_{r}^{6} + 96a_{3}a_{4}^{2}\lambda_{r}^{5} + (48a_{3}^{2}a_{4} + 32a_{2}a_{4}^{2})\lambda_{r}^{4} + (8a_{3}^{3} + 32a_{2}a_{3}a_{4})\lambda_{r}^{3} + (8a_{2}a_{3}^{2} + 4a_{2}^{2}a_{4} + 4a_{1}a_{3}a_{4} - 16a_{0}a_{4}^{2})\lambda_{r}^{2} + (2a_{2}^{2}a_{3} + 2a_{1}a_{3}^{2} - 8a_{0}a_{3}a_{4})\lambda_{r} + a_{1}a_{2}a_{3} - a_{0}a_{3}^{2} - a_{1}^{2}a_{4}\right] / (16a_{4}^{2}\lambda_{r}^{2} + 8a_{4}a_{3}\lambda_{r} + a_{3}^{2}) = 0.$$
(46)

Unfortunately, as proven by the Abel-Ruffini theorem (also referred as the Abel's impossibility theorem [1]), there exists no **general** algebraic solution in radicals to polynomials of degree five or higher with arbitrary coefficients. In other words, there is no general formula that allows the real part of eigenvalue  $\lambda_r$  to be expressed algebraically, even though it is still possible to solve Eq. (46) numerically. However, we can estimate  $\lambda_r$  by taking long and short wavelength limits considering the coefficients  $a_i$ , i = 0, 1, 2, 3, 4 and Eqs. (45) to (46).

Firstly, we found that taking the long wavelength limit, i.e.  $k_w \to 0$ , yields the eigenvalue  $\lambda_r \to 0$  in Eq. (46). As demonstrated in Abellan and de Borst [2], this result leads to the relation of phase velocity and wavenumber according to Eq. (45)<sub>2</sub>. Therefore, we can explicitly derive the phase velocity for the long wavelength limit as shown below.

$$v_p = \sqrt{\frac{E_t \kappa + b^2 \kappa M + \rho c E_t k M + \beta^2 k T_0 M}{\rho (\kappa + \rho c k M)}} = \sqrt{\frac{E_t}{\rho} + \frac{b^2 \kappa M}{\rho (\kappa + \rho c k M)} + \frac{\beta^2 T_0 k M}{\rho (\kappa + \rho c k M)}}.$$
 (47)

We can further observe that the phase velocity of Eq. (47) is reduced to the classical bar velocity,  $v_p = \sqrt{E_t/\rho}$ , when thermal effects are ignored and the fluid is removed (or simply, when  $\kappa$  and k are ignored). Fig. 1 shows how the

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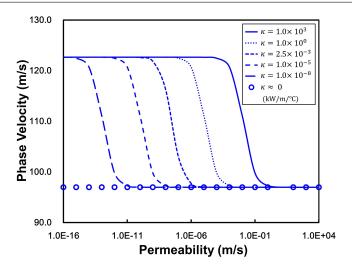


Fig. 1: Phase velocity vs. permeability with different thermal conductivities (With  $E_t = 30$  MPa,  $\rho c = 4.5$  kJ/ $m^3$ /°C, M = 200 MPa and  $T_0 = 20$  °C).

phase velocity changes depending on the thermal conductivity and permeability (or mobility k), where the material properties are selected from the previous studies (Sun [45], Zhang et al. [54]). When the thermal conductivity is given, for example  $\kappa = 2.5 \times 10^{-3} \text{kW/m/}^{\circ}\text{C}$ , the phase velocity does not change until the permeability decreases below  $k_{perm} \approx 1.0 \times 10^{-6}$  m/s. Besides, when the permeability is further decreased and beyond the range,  $1.0 \times 10^{-8} < k_{perm} < 1.0 \times 10^{-6}$  (m/s), additional response from the phase velocity is not observed. In other words, the phase velocity of the THM system can be influenced by how the permeability and thermal conductivity are combined, but the effect is limited.

For the short wavelength limit, i.e.  $k_w \to \infty$ , we can estimate that  $\lambda_r \sim k_w^{10}$  from Eq. (46) and the wave velocity becomes proportional to the wavenumber,  $v_p \sim k_w$ , from Eq. (45). By adopting the relation of the internal length scale and damping coefficient from a single-phase rate-dependent medium [42], the internal length scale (*l*) is defined as follows:

$$l = \lim_{k_w \to \infty} \left( -\frac{v_p}{\lambda_r} \right) \sim \lim_{k_w \to \infty} k_w^{-9} = 0.$$
 (48)

This means that the internal length scale vanishes at the short wavelength limit. The loss of physical internal length scale also suggests that any grid-based numerical model that solves the THM governing equations may exhibit mesh dependency, as any regularization effect induced by multi-physical coupling may vanish if the physical length scale vanished.

In other words, the rate-dependence introduced through multiphysical coupling may not regularize the THM governing equations when softening occurs. This conclusion echoes the previous dispersion analysis of isothermal two-phase porous media by Abellan and de Borst [2], which also indicates that the internal length scale vanishes at the short wavelength limit. The wave propagation behavior of non-isothermal condition when strain softening occurs is further evaluated by numerical experiments in Section 3.2.

For the adiabatic case, we derived the internal length scale of the adiabatic THM system within a limited range of wavenumbers by expanding the derivation for isothermal porous system in Zhang et al. [54]. In addition, we conducted parametric studies to analyze how the specific heat and permeability may affect the cutoff wavenumber and the corresponding internal length scale.

#### 2.3.2 Adiabatic Case

By assuming that the thermal conductivity is approximately zero, we obtained the characteristic equation of a damped harmonic wave propagating in a porous medium at the adiabatic limit. Based on the derivations in Section 2.2.2, we conducted a dispersion analysis and derive the expression of the internal length scale when the porous medium

remains at the adiabatic limit. Our starting point is the third-order characteristic polynomial, which takes the following form.

$$D(\lambda) = \lambda^3 + a\lambda^2 + b\lambda + c = 0, (49)$$

where,

$$a = a^0 y, \ a^0 = \frac{\rho ckM}{\rho c - 9\alpha_m^2 T_0 M},$$
 (50)

$$b = b^{0}y, \ b^{0} = \frac{\rho c E_{t} + \rho c b^{2} M + \beta^{2} T_{0} + 6\alpha_{m} \beta b T_{0} M - 9\alpha_{m}^{2} E_{t} T_{0} M}{\rho (\rho c - 9\alpha_{m}^{2} T_{0} M)},$$
 (51)

$$c = c^{0}y^{2}, \ c^{0} = \frac{(\rho c E_{t} + \beta^{2} T_{0})kM}{\rho(\rho c - 9\alpha_{m}^{2} T_{0}M)}, \tag{52}$$

$$y = k_w^2. (53)$$

When strain softening occurs, the tangential modulus  $E_t$  becomes negative. In this case, waves propagating in the porous medium can be either dispersive or non-dispersive, depending on the wavenumber  $k_w$ .

Our objective here is to determine whether it is possible to propagate waves with finite speed when stability of the THM system has already been lost. Recall that the stability analysis in Section 2.2.2 indicates loss of stability when either one of the following conditions holds, i.e., (1)  $E_t < -(\beta^2 T_0/\rho c)$ , (2)  $c > 9\alpha_m^2 T_0 M/\rho$ , (3)  $k = \kappa = 0$ . Here, we assume that the permeability is non-zero and focus our attention only on the cases in which condition (1) and (2) hold. Furthermore, we assume that the softening tangential modulus  $E_t > -b^2 M$  always holds [20, 54]. In other words, our objective is to determine whether the roots of the characteristic polynomial contain positive real part for the special case where the following condition holds,

$$-b^2M < E_t < -\beta^2 T_0/\rho c$$
,  $c > 9\alpha_m^2 T_0 M/\rho$ ,  $k > 0$ . (54)

Given the condition expressed above, we conclude that at least one of the roots has a positive real part. This is due to the fact that  $E_t < -\beta^2 T_0/\rho c$  implies that  $\rho c E_t + \beta^2 T_0 < 0$ , which in return leads to D(0) < 0. Meanwhile,  $\lim_{x\to\infty} D(x) > 0$ , as the characteristic polynomial of Eq. (49) is monic. According to the intermediate value theorem (or more specifically Bolzano's theorem, cf. [27]), the two aforementioned conditions combining the fact that the polynomial with real coefficients is continuous imply that Eq. (49) has at least one root with positive real part. Thus, there are two possible sets of solutions of  $D(\lambda)$ : (1) one positive real root and a pair of complex conjugate roots, (2) three real roots in which at least one is positive. As discussed in Zhang et al. [54], the first case enables waves to propagate by remaining the governing equations to be hyperbolic under strain softening condition. In the second case, however, the wave speed becomes imaginary which leads the dynamic governing equations to be elliptic: the finite element analysis will show mesh dependency [54]. Therefore, we evaluate the cubic polynomial of Eq. (49) to have one real root and a pair of complex conjugate roots by considering that the discriminant (denoted as,  $\triangle$ ) should be less than zero. According to Eqs. (49) to (53), the discriminant of cubic function can be expressed as,

$$\triangle = -4b^{03}y^3 + a^{02}b^{02}y^4 + 18a^0b^0c^0y^4 - 27c^{02}y^4 - 4a^{03}c^0y^5.$$
 (55)

This expression can be rewritten in terms of coefficients, w, r and s, i.e.,

$$\triangle = -y^3(wy^2 + ry + s),\tag{56}$$

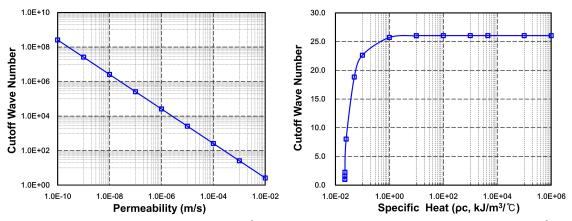
where,

$$w = 4a^{03}c^0, (57)$$

$$r = 27c^{0^2} - a^{0^2}b^{0^2} - 18a^0b^0c^0, (58)$$

$$s = 4b^{03}. (59)$$

To keep the discriminant ( $\triangle$ ) negative, we note that the quadratic polynomial of  $wy^2 + ry + s$  in Eq. (56) should be always positive. Under the given condition in Eq. (54), we know that the coefficient w becomes negative since  $c^0$  is negative and  $a^0$  is positive. Besides, we can find out that s becomes positive since  $b^0$  is come to be positive as proved in Appendix B. Therefore, we can derive the only positive root of  $wy^2 + ry + s = 0$  in the form of  $(-r - \sqrt{r^2 - 4ws})/2w$ 



(a) Cutoff wavenumber vs. Permeability ( $\rho c = 4.5kJ/m^3/^{\circ}$ C) (b) Cutoff wavenumber vs. Specific Heat ( $k_{perm} = 1.0 \times 10^{-3}$  m/s)

Fig. 2: Relationship of the cutoff wavenumber with permeability and specific heat under adiabatic condition

based on the fact that w < 0 and s > 0. In other words, this makes Eq. (56) be always negative ( $\triangle < 0$ ) when the square of the wavenumber  $y = k_w^2$  is within the range described as follows:

$$0 < y < \frac{-r - \sqrt{r^2 - 4ws}}{2w} (= k_{w,cut}^2). \tag{60}$$

The cutoff wavenumber  $(k_{w,cut})$  as a function of the permeability or mobility (k), specific heat  $(\rho c)$ , tangential modulus  $(E_t)$ , reference temperature  $(T_0)$  and other material properties of porous media has also been sought in this study. Due to the length of the derivation, the step-by-step derivation that leads to the expression of the cutoff wavenumber is provided in Appendix B. Meanwhile, the influences of the permeability and specific heat on the cutoff wavenumber are depicted in Fig. 2. The reciprocal of permeability shows a linear relation to the cutoff wavenumber in log-log plane, however, the specific heat shows limited effect until it reaches to 1.0. In this regard, we can find out that the permeability is closely related to the cutoff wavenumber while the specific heat has little influence on it.

Within the range of cutoff wavenumber, three roots (one real and two complex conjugate roots) of the third-order characteristic equation can be determined by Cardano's formula. By letting  $\lambda = z - \frac{a}{3}$ , the third-order polynomial Eq. (49) can be rewritten as,

$$z^3 + pz + q = 0, (61)$$

35 where.

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$$p = \frac{1}{3}(3b - a^2), \quad q = \frac{1}{27}(2a^3 - 9ab + 27c). \tag{62}$$

336 This equation has three roots that take the following forms,

$$z_1 = A + B, \quad z_{2,3} = -\frac{A+B}{2} \pm \frac{i\sqrt{3}}{2}(A-B),$$
 (63)

where,

$$A = \sqrt[3]{-\frac{q}{2} + \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}}, \quad B = \sqrt[3]{-\frac{q}{2} - \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}}.$$
 (64)

Therefore, we can rewrite the solution  $\lambda$  as follows,

$$\lambda_1 = (A+B) - \frac{a}{3}, \quad \lambda_{2,3} = -\frac{A+B}{2} + \frac{i\sqrt{3}}{2}(A-B) - \frac{a}{3},$$
 (65)

and distinguish the real part and imaginary part in the roots:

$$\lambda_r = -\frac{1}{2}(A+B) - \frac{a}{3}, \ \lambda_i = \frac{\sqrt{3}}{2}(A-B).$$
 (66)

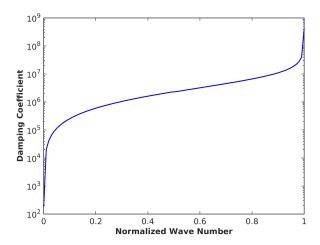


Fig. 3: Damping coefficient ( $\alpha$ ) vs. Normalized wavenumber.

By substituting the complex root into the damped harmonic equation like we did before in Eq. (43), we have (note that  $\lambda_i = \omega$ ):

$$v(x,t) = Ae^{ik_w x}e^{\lambda_r t - i\omega t} = Ae^{ik_w x}e^{\lambda_r t - i\lambda_i t}, \quad v = [u, p, T]^T.$$

$$(67)$$

Recall the relation between the phase velocity  $v_p$  and the wavenumber  $k_w$ ,

$$v_p = \frac{|\lambda_i|}{k_w}. (68)$$

By means of  $t = x/v_p$ , the damping term  $e^{(\lambda_r)t}$  changes into  $e^{k_w((\lambda_r)/|\lambda_l|)x} = e^{-\alpha x}$ , where  $\alpha$  is the damping coefficient [54]. Notice that the thermo-hydro-mechanical coupling introduces rate dependence to the mechanical response, even if the solid phase continuum does not exhibit any viscous behavior. As a result of this rate dependence, the internal length scale l is introduced, i.e.,

$$l = \alpha^{-1}, \quad \alpha = -\frac{\lambda_r}{|\lambda_i|} k_w,$$
 (69)

in which  $\lambda_r$  and  $\lambda_i$  are obtained from Eq. (66). It is obvious that the definition of internal length scale holds only for dynamic analysis. The damping coefficient  $\alpha$  and the internal length scale l can be expressed as below:

$$\alpha = \frac{\left|A + B + \frac{2}{3}a\right|k_w}{\sqrt{3}(A - B)}, \quad l = \frac{\sqrt{3}(A - B)}{\left|A + B + \frac{2}{3}a\right|k_w}.$$
 (70)

Therefore, we can identify the internal length scale as a function of the mobility (k), specific heat  $(\rho c)$ , wavenumber  $(k_w)$ , reference temperature  $(T_0)$ , tangential modulus under strain softening  $(E_t)$  and other material properties as:

$$l = f(k, \rho c, k_w, E_t, M, \beta, \alpha_m, T_0). \tag{71}$$

For brevity, the expression of the internal length scale is described in details in the Appendix C.

Remark 2

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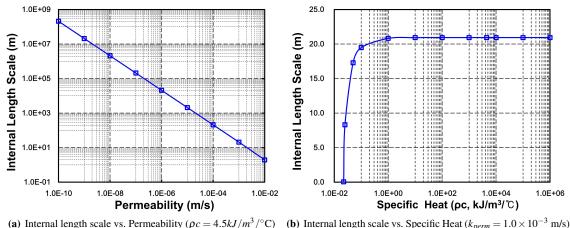
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In the adiabatic condition, we derived the cutoff wavenumber which guarantees the wave propagation is possible. Within this range, we can analyze how the damping coefficient changes along the wavenumber by normalizing it with the cutoff wavenumber in Fig. 3.

In this figure, we can see that the damping coefficient  $(\alpha)$  approaches zero when the wavenumber decreases, which is natural phenomenon considering the definition of  $\alpha$ . On the other hand, the damping coefficient approaches to infinity when the wavenumber converges to the cutoff value, which states that the internal length scale, a reciprocal of  $\alpha$ , vanishes. This fact is also analogous to the case of long wavelength limit under the non-isothermal condition, Eq. (48). The effect of permeability (or mobility, k) and specific heat  $(\rho c)$  of porous media on the internal length scale is compared in Fig. 4. We can see that the permeability has proportional relation to the internal length scale while the specific heat has limited effect.

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(a) Internal length scale vs. Fermi ability (pe-4.5kJ/m/C) (b) Internal length scale vs. Specific fleat  $(kperm-1.0 \times 10^{-10} \text{ m/s})$ 

Fig. 4: Relationship of the internal length scale with the permeability and specific heat under the adiabatic condition

## 3 Numerical Experiments

To illustrate the influences of thermo-hydro-mechanical coupling on the width of localization zone, we use an implicit dynamic finite element code to simulate one-dimensional wave propagation in a thermo-sensitive fully saturated porous bar with different set of material parameters. Our objective here is to use the numerical experiments to (1) verify the theoretical analysis on the phase velocity and internal length scales in Section 2.2 and (2) confirm whether mesh dependency occurs when the physical internal length scale is predicted to be vanished according to Eqs. (48) and (71).

As mentioned previously in Section 2.3.1, we did not obtain the expression of internal length scale for the non-isothermal condition, as the general algebraic expression of the internal length scale does not exist according to the Abel-Ruffini theorem [1]. As a result, we first limit our focus on the adiabatic condition and performed numerical experiments to validate the analytical expression of the internal length scale. We then analyze the simulated wave propagation behavior of the non-isothermal condition with a series of numerical simulations under the different thermal conductivities. The changes of wave propagation behaviors observed in the numerical simulations due to changes of the thermal conductivity are also compared. We found that the observed behavior is consistent with the phase velocity expressed in Eq. (47).

The numerical model consists of a softening bar constrained to move in only one direction. In addition, heat transfer and pore-fluid diffusion are also confined to be one-dimensional. The length of the bar is 10 m. At x=0 m, the bar is fixed and has zero displacement, while a perturbation of force is applied at x=10 m. Both pore pressure and temperature are prescribed as zero at x=10 m. A constant time step  $\triangle t=1.0\times 10^{-2}$  sec. is used to all the numerical simulations. The absolute mass densities of soil and fluid are selected as  $\rho_s=2,700$  kg/m³ and  $\rho_f=1,000$  kg/m³. The elastic and tangential moduli under strain softening are assumed to be 30 MPa and -20 MPa, respectively, and the Biot's modulus (M) is considered to be 200 MPa. The reference temperature  $T_0$  is set to be 20 °C, and the numerical condition of applied stress and local stress-strain diagram are depicted with boundary conditions in Figs. 5 and 6. Here,  $t_0$  is set to be 0.1 sec.,  $q_{t_0}$  is applied as 500 kPa, and  $\sigma_y$  values are indicated in the figures for each simulation.

# 3.1 Adiabatic case

The reference case of internal length scale under the adiabatic condition is calculated with the permeability  $(k_{perm})$  of  $5.0 \times 10^{-3}$  m/s and the specific heat  $(\rho c)$  of 4.5 kJ/m<sup>3</sup>/°C. The internal length of each case is described in the following table 2 when the wavenumber is assumed to be unity. The numerical simulations are investigated with the element size of 0.4 m.

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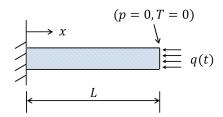


Fig. 5: One dimensional soil bar in axial compression

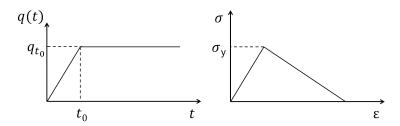


Fig. 6: Applied stress and local stress-strain diagram

k <sub>perm</sub> (m/s)	$\rho c(kJ/m^3/^{\circ}C)$	$l(\mathbf{m})(k_w = 1.0)$	Comparison
$5.0 \times 10^{-3}$	4.5	4.10	the reference
$2.5 \times 10^{-2}$	4.5	0.19	permeability change
$5.0 \times 10^{-3}$	$2.4 \times 10^{-2}$	0.94	specific heat change

Table 2: The internal length scale under the different conditions (Adiabatic case)

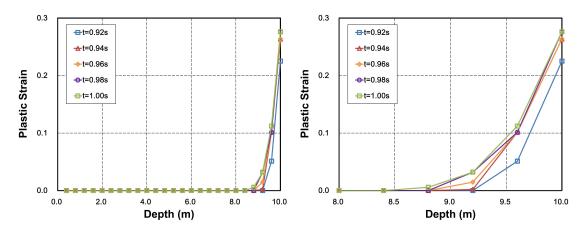


Fig. 7: Developement of the localization zone under possible wave propagation - the plastic strain moves towards the depth along the time (the reference condition, permeability =  $5.0 \times 10^{-3}$  m/s,  $\rho c = 4.5$  kJ/ $m^3$ /°C,  $\sigma_y = 30$  MPa)

The reference case gives the internal length scale of 4.10 m and the plastic wave is able to propagate. We can verify this from the numerical simulation results depicted in Fig. 7. Nevertheless, in another two numerical experiments, one with increased permeability and the other with lowered specific heat, the harmonic wave ceases to propagate and the plastic zone seizes at a certain depth as shown in Fig. 8. This fixed plastic zone with time indicates that the wave is unable to propagate. This observation is consistent with Eq. (70) and the relationship among the internal length scale, permeability and specific heat showcased in Fig. 4. Similar plastic strain patterns were noticed by Zhang et al. [54] in dynamic wave propagation simulations under the isothermal condition.

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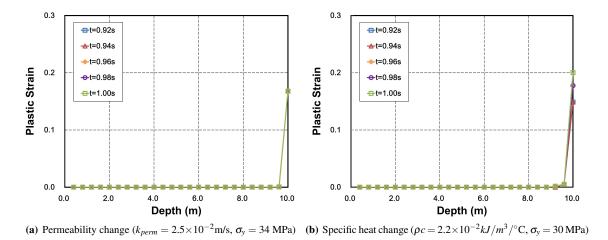


Fig. 8: Development of the localization zone under no wave propagation - the plastic strain stays at the same depth along the time

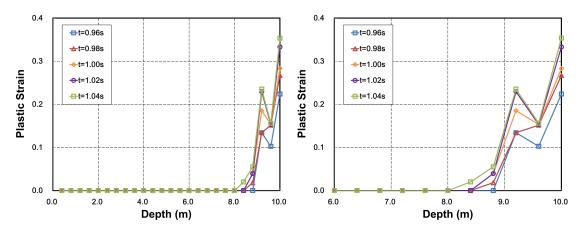


Fig. 9: Developement of the localization zone (non-isothermal condition with  $\kappa = 1.0$  kW/m/°C,  $\sigma_v = 30$  MPa)

## 3.2 Non-isothermal case

With the results shown in Fig. 7 as the reference, we vary the thermal conductivity and determine how the thermal conductivity affects wave propagation. We assumed that the thermal conductivities of fluid and solid are the same and selected the value from the previous study by Sun [45],  $\kappa = 2.5 \times 10^{-3}$  kW/m/°C. According to our previous analysis, both thermal conductivity and permeability can influence on the behavior of the THM system (Fig. 1). In order to analyze this effect, we conducted parametric study of thermal conductivity under two permeability conditions: (1)  $5.0 \times 10^{-3}$  m/s from the reference case in Section 3.1, and (2)  $1.0 \times 10^{-10}$  m/s as low permeability case. A series of numerical simulations are performed by varying the thermal conductivities provided that the specific heat ( $\rho c$ ) is assumed to be 4.5 kW/m/°C.

Firstly, we introduced the thermal conductivity into the reference case and conducted the numerical simulation. When  $\kappa=2.5\times10^{-3}$  kW/m/°C was adopted, the numerical simulation showed little change in the plastic strain compared to the adiabatic condition in Fig. 7. However, when the thermal conductivity is increased to 1.0 kW/m/°C, we found the wave propagation behavior started to change. These results are depicted in Fig. 9. The plastic strain is increased compared to the adiabatic case, and the plastic wave is still able to propagate along time. Considering the initial and boundary conditions of temperature field, we expect that the prescribed zero temperature at the surface (10 m) contributes additional compression to the one-dimensional bar.

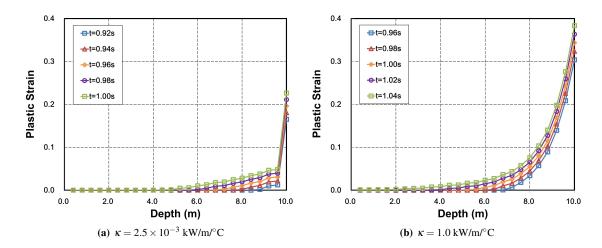


Fig. 10: Developement of the localization zone of non-isothermal condition with different thermal conductivities plastic zone moves toward the depth along the time ( $k_{perm} = 1.0 \times 10^{-10}$  m/s,  $\rho c = 3.5$  kW/m/°C,  $\sigma_v = 3.8$  MPa)

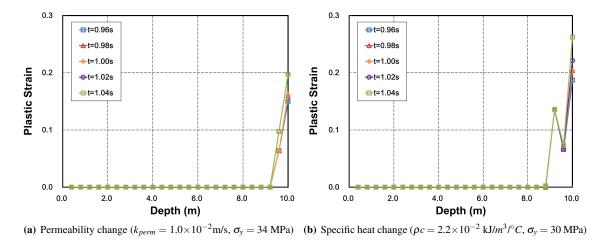


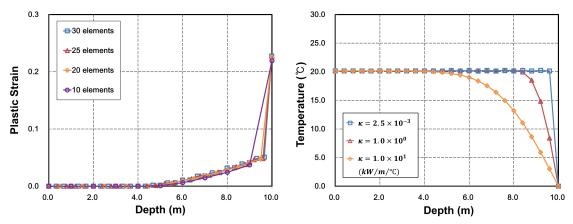
Fig. 11: Development of the localization zone under no wave propagation - the plastic strain stays the same depth along the time (Non-isothermal condition,  $\kappa = 1.0 \text{ kW/m}/^{\circ}\text{C}$ )

Next, we started from the numerical set up of adiabatic limit with the permeability equal to  $1.0 \times 10^{-10}$  m/s. When the thermal conductivity of  $2.5 \times 10^{-3}$  kW/m/°C was applied, the response of plastic strain gave little effects compared to the adiabatic condition. When  $\kappa$  became larger than  $1.0 \times 10^{-1}$  kW/m/°C, however, we found the changes of plastic localization zone. Fig. 10 depicts the changes of wave propagation with different thermal conductivities under the low permeability condition. We can identify that both permeability and thermal conductivity influence on the behavior of wave propagation under strain softening from Figs. 9 and 10.

Furthermore, we took two cases in Section 3.1, in which the wave was not able to propagate, and re-analyzed the simulations by introducing the thermal conductivity. Again, the thermal conductivity of  $2.5 \times 10^{-3}$  kW/m/°C showed little effect on both cases. Fig. 11 shows when  $\kappa = 1.0$  kW/m/°C was applied. We can see the width of localization zones and the plastic strains are increased compared to adiabatic case in Fig. 8. However, the plastic wave does not propagate along time. This indicates that the thermal conductivity appears limited effect on regularization.

#### Remark 3

We conducted additional numerical simulations for the non-isothermal case to analyze the influence of mesh size



(a) Plastic strain along the bar under different mesh conditions (b) Temperature field results under different thermal conductivities  $(\kappa = 2.5 \times 10^{-3} \text{kW/m/}^{\circ}\text{C})$  (with 25 elements)

Fig. 12: Independence of the strain localization zone width under different mesh sizes, and limited changes of temperature field along the bar under various thermal conductivities (at t = 1.0 sec with  $k_{perm} = 1.0 \times 10^{-10}$  m/s,  $\rho c = 4.5$  kJ/ $m^3$ /°C)

on shear band width. The permeability of  $1.0 \times 10^{-10}$  m/s was selected to have enough internal length scale for stability. The thermal conductivity ( $\kappa = 2.5 \times 10^{-3} \text{kW/m/}^{\circ}\text{C}$ ) and the specific heat ( $\rho c = 4.5 \text{ kJ/m}^{3}/^{\circ}\text{C}$ ) were adopted from the previous study by Sun [45]. The one-dimensional domain were discretized by 10, 20, 25, 30 linear finite element of equal sizes to study mesh dependency. As shown in Fig. 12 (a), the plastic strain distribution from the numerical simulations suggests mesh independence. In addition, Fig. 12 (b) describes temperature field distribution of the numerical simulations for the non-isothermal condition. With the same material properties used in the mesh study, the domain with 25 elements is selected. We can see how the temperature changes with different thermal conductivities.

#### 439 4 Conclusion

The one-dimensional wave propagation in a full saturated, thermo-sensitive porous medium has been analyzed. The stability analysis indicates that the governing equations of the thermo-hydro-mechanics system leads to a characteristic polynomial at least one order higher than the isothermal poromechanics counterpart. By applying the Routh-Hurwitz stability criterion to this higher-order characteristic polynomial, we determine that instability may occur if (1) strain softening occurs and/or (2) specific heat per mass is less than a critical value proportional to Biot's modulus and the square of the thermal expansion coefficient and (3) when both permeability and thermal conductivity are zero. Dispersion analysis on the THM system reveals that a dispersive wave may propagate in a fully saturated, thermo-sensitive system under certain limited conditions. Nevertheless, the internal length scale introduced by the thermo-hydro-mechanical coupling vanishes at the short wavelength limit. For the adiabatic limit case, we derive an explicit expression of the internal length scale as a function of permeability, specific heat, wavenumber and other material properties. The cutoff wavenumber is also identified. Our results indicate that there is a limited range of wavenumbers that allows dispersive waves to propagate at finite speeds.

#### 5 Acknowledgments

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### 457 Appendix A Relation between phase velocity and wavenumber

In the dispersion analysis of non-isothermal two-phase porous media, we may establish the relation between the phase velocity  $(v_p)$  and the wavenumber  $(k_w)$ . Our starting point is Eq. (45)<sub>2</sub> from Section 2.3.1, which reads,

$$\omega^2 = \frac{4a_4\lambda_r^3 + 3a_3\lambda_r^2 + 2a_2\lambda_r + a_1}{4a_4\lambda_r + a_3},\tag{A.1}$$

where the coefficients are from Eqs. (17) to (20), i.e.,

$$a_4 = \rho(\rho c - 9\alpha_m^2 T_0 M),\tag{A.2}$$

$$a_3 = \rho(\kappa + \rho ckM)k_w^2, \tag{A.3}$$

$$a_2 = (\rho c E_t + \rho c b^2 M + \rho \kappa k M k_w^2 + \beta^2 T_0 + 6\alpha_m \beta b T_0 M - 9E_t \alpha_m^2 T_0 M) k_w^2, \tag{A.4}$$

$$a_1 = (E_t \kappa + b^2 \kappa M + \rho c E_t k M + \beta^2 k T_0 M) k_w^4. \tag{A.5}$$

By substituting Eqs. (A.2) to (A.5) in to Eq. (A.1), we may express  $\omega$  in terms of wavenumber ( $k_w$ ) as shown below,

$$\omega^2 = \frac{a_{w4} + a_{w3}k_w^2 + a_{w2}k_w^4}{a_{w1} + a_{w0}k_w^2},\tag{A.6}$$

where the coefficients in Eq. (A.6) are,

$$a_{w4} = 4\rho(\rho c - 9\alpha_m^2 T_0 M)\lambda_r^3,\tag{A.7}$$

$$a_{w3} = 3\rho(\kappa + \rho ckM)\lambda_r^2 + 2(\rho cE_t + \rho cb^2M + \beta^2 T_0 + 6\alpha_m \beta b T_0 M - 9E_t \alpha_m^2 T_0 M)\lambda_r$$
(A.8)

$$a_{w2} = 2(\rho \kappa kM)\lambda_r + E_t \kappa + b^2 \kappa M + \rho c E_t kM + \beta^2 k T_0 M, \tag{A.9}$$

$$a_{w1} = 4\rho(\rho c - 9\alpha_m^2 T_0 M)\lambda_r,\tag{A.10}$$

$$a_{w0} = \rho(\kappa + \rho ckM). \tag{A.11}$$

Therefore, the phase velocity  $(v_p = \omega/k_w)$  can be expressed as a function of the wavenumber  $(k_w)$  and material parameters as shown below,

$$v_p = \frac{\omega}{k_w} = \sqrt{\frac{a_{w4}/k_w^2 + a_{w3} + a_{w2}k_w^2}{a_{w1}/k_w^2 + a_{w0}}}.$$
(A.12)

This relation proves that the wave propagating in the porous media that has already lost stability is dispersive.

# 463 Appendix B Cutoff wavenumber for the adiabatic case

The objective of this section is to determine the cutoff wavenumber of the adiabatic THM system. At the adiabatic limit, the characteristic polynomial of the THM system takes the following form (the same with Eq. (49) to Eq. (53)),

$$\lambda^3 + a\lambda^2 + b\lambda + c = 0, (B.1)$$

where the coefficients of the characteristic equation (B.1) reads,

$$a = a^{0}y, \ a^{0} = \frac{\rho ckM}{\rho c - 9\alpha_{m}^{2}T_{0}M},$$
 (B.2)

$$b = b^{0}y, \ b^{0} = \frac{\rho c E_{t} + \rho c b^{2} M + \beta^{2} T_{0} + 6\alpha_{m} \beta b T_{0} M - 9\alpha_{m}^{2} E_{t} T_{0} M}{\rho (\rho c - 9\alpha_{m}^{2} T_{0} M)},$$
(B.3)

$$c = c^0 y^2, \ c^0 = \frac{(\rho c E_t + \beta^2 T_0) k M}{\rho (\rho c - 9\alpha_m^2 T_0 M)},$$
 (B.4)

$$y = k_w^2. (B.5)$$

The discriminant of the above equations is denoted as,

$$\triangle = -4b^{03}y^3 + a^{02}b^{02}y^4 + 18a^0b^0c^0y^4 - 27c^{02}y^4 - 4a^{03}c^0y^5,$$
(B.6)

which can be rewritten in terms of the coefficients, w, r and s, i.e.,

$$\triangle = -y^3(wy^2 + ry + s),\tag{B.7}$$

where,

$$w = 4a^{03}c^0, (B.8)$$

$$r = 27c^{0^2} - a^{0^2}b^{0^2} - 18a^0b^0c^0, (B.9)$$

$$s = 4b^{0^3}$$
. (B.10)

Following the approach used in Section 2.3.2, we assume that stability of the adiabatic system has already lost and determine the cutoff wavenumber beyond which the dispersive wave fails to propagate at a finite speed. Assuming that the porous medium remains permeable, the condition that causes the adiabatic THM system losing stability reads,

$$-b^2M < E_t < -\beta^2 T_0/\rho c, \ c > 9\alpha_m^2 T_0 M/\rho, \ k > 0.$$
 (B.11)

Assuming that Condition (B.11) holds, we may conclude that Eq. (B.1) has one real root and two complex conjugate roots if we can prove that the discriminant in Eq. (B.6) is negative. Note that the quadratic polynomial of  $wy^2 + ry + s$  in Eq. (B.7) is positive when the wave can propagate, because  $y^3$ , a function of wavenumber ( $k_w$ ), is always positive in that case. Furthermore, by applying Condition (B.11) into Eq. (B.4) and Eq. (B.5), one may deduce that  $c^0$  is strictly negative and  $a^0$  is strictly positive. Therefore, we conclude that the coefficient w is negative when Condition (B.11) holds. Next, we consider the term  $b^0$ . Assume that,

$$b^{0} = \frac{\rho c E_{t} + \rho c b^{2} M + \beta^{2} T_{0} + 6 \alpha_{m} \beta b T_{0} M - 9 \alpha_{m}^{2} E_{t} T_{0} M}{\rho (\rho c - 9 \alpha_{m}^{2} T_{0} M)} > 0.$$
(B.12)

This assumption implies that,

$$\rho c E_t + \rho c b^2 M + \beta^2 T_0 + 6\alpha_m \beta b T_0 M - 9\alpha_m^2 E_t T_0 M > 0, \quad (\because \rho c - 9\alpha_m^2 T_0 M > 0)$$
(B.13)

$$\Rightarrow E_{t} > \frac{-\rho c b^{2} M - \beta^{2} T_{0} - 6\alpha_{m}^{2} \beta b T_{0} M}{\rho c - 9\alpha_{m}^{2} T_{0} M} \ge \frac{-\rho c b^{2} M - \beta^{2} T_{0} - 6\alpha_{m}^{2} \beta b T_{0} M}{\rho c}, \tag{B.14}$$

$$\Rightarrow E_t > -b^2 M - \left(\frac{\beta^2 T_0 + 6\alpha_m^2 \beta b T_0 M}{\rho c}\right). \tag{B.15}$$

Given that  $(\beta^2 T_0 + 6\alpha_m^2 \beta b T_0 M)/\rho c \ge 0$ , the assumption (B.12) is valid under Condition (B.11). Therefore,  $b^0$  is positive, which in return implies that s is also positive (according to Eq. (B.10)). Since w and s are of opposite signs, the two distinct roots of the quadratic function of  $wy^2 + ry + s = 0$  always have the real parts that are of opposite signs unless the discriminant of the quadratic equation equals to zero. Furthermore, the root with a real positive part reads  $(-r - \sqrt{r^2 - 4ws})/2w$ . Recall that our focus is on the case where the discriminant is negative. This situation is particularly interesting, because it leads to two distinct non-real complex roots whose real parts are of opposite signs. Therefore, we can derive the range of y in which the discriminant expressed in Eq. (B.6) is negative ( $\triangle < 0$ ), i.e.,

$$0 < y(=k_w^2) < \frac{-r - \sqrt{r^2 - 4ws}}{2w},\tag{B.16}$$

where,

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$$w = 4a^{0^3}c^0, (B.17)$$

$$r = 27c^{0^2} - a^{0^2}b^{0^2} - 18a^0b^0c^0, (B.18)$$

$$s = 4b^{03}$$
. (B.19)

As a result, the cutoff wavenumber can be written as,

$$k_{w,cut} = \sqrt{\frac{-r - \sqrt{r^2 - 4ws}}{2w}},$$
 (B.20)

in which each coefficient (w, r, s) reads,

$$w = \frac{4k^4M^4\rho^2c^3(\rho cE_t + \beta^2 T_0)}{(\rho c - 9\alpha_m^2 M T_0)^4},$$
(B.21)

$$r = \frac{27k^2M^2(\rho c E_t + \beta^2 T_0)^2}{\rho^2(\rho c - 9\alpha_m^2 M T_0)^2} - \frac{18ck^2M^2(\rho c E_t + \beta^2 T_0)(\rho c E_t + \rho c b^2 M + \beta^2 T_0 + 6\alpha_m b\beta M T_0 - 9\alpha_m^2 E_t M T_0)}{\rho(\rho c - 9\alpha_m^2 M T_0)^3}$$

$$-\frac{c^2k^2M^2(\rho cE_t + \rho cb^2M + \beta^2T_0 + 6\alpha_m b\beta MT_0 - 9\alpha_m^2E_tMT_0)^2}{(\rho c - 9\alpha_m^2MT_0)^4},$$
(B.22)

$$s = \frac{4(\rho c E_t + \rho c b^2 M + \beta^2 T_0 + 6\alpha_m b\beta M T_0 - 9\alpha_m^2 E_t M T_0)^3}{\rho^3 (\rho c - 9\alpha_m^2 M T_0)^3}.$$
(B.23)

As a result, the cutoff wavenumber can be expressed as a function of mobility (k), specific heat  $(\rho c)$ , tangential 485 modulus under strain softening  $(E_t)$ , reference temperature  $(T_0)$  and other material parameters: 486

$$k_{w,cut} = f(k, \rho c, E_t, T_0, b, M, \beta, \alpha_m). \tag{B.24}$$

#### Appendix C Internal length scale for the adiabatic case 487

The objective of this section is to determine the internal length scale of the adiabatic THM system. Considering the damping coefficient in Eq. (70), the coefficients in Eqs. (61) and (64) are written as follows, 489

$$\alpha = \frac{\left|A + B + \frac{2}{3}a\right|k_w}{\sqrt{3}(A - B)},\tag{C.1}$$

where,

$$A = \sqrt[3]{-\frac{q}{2} + \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}}, \quad B = \sqrt[3]{-\frac{q}{2} - \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}},$$
 (C.2)

with,

$$p = \frac{1}{3}(3b - a^2), \quad q = \frac{1}{27}(2a^3 - 9ab + 27c).$$
 (C.3)

Here, we can express p, q in terms of material parameters

$$p = \left[ -\frac{k^2 M^2 \rho^2 c^2 k_w^4}{3(\rho c - 9\alpha_m^2 M T_0)^2} + \frac{(\rho c E_t + \rho c b^2 M + \beta^2 T_0 + 6\alpha_m \beta b M T_0 - 9\alpha_m^2 E_t M T_0) k_w^2}{\rho(\rho c - 9\alpha_m^2 M T_0)} \right], \tag{C.4}$$

$$p = \left[ -\frac{k^2 M^2 \rho^2 c^2 k_w^4}{3(\rho c - 9\alpha_m^2 M T_0)^2} + \frac{(\rho c E_t + \rho c b^2 M + \beta^2 T_0 + 6\alpha_m \beta b M T_0 - 9\alpha_m^2 E_t M T_0) k_w^2}{\rho(\rho c - 9\alpha_m^2 M T_0)} \right], \tag{C.4}$$

$$q = \left[ \frac{2\rho^3 c^3 k^3 M^3 k_w^6}{27(\rho c - 9\alpha_m^2 M T_0)^3} + \frac{kM(\rho c E_t + \beta^2 T_0) k_w^4}{\rho(\rho c - 9\alpha_m^2 M T_0)} - \frac{ckM(\rho c E_t + \rho c b^2 M + \beta^2 T_0 + 6\alpha_m \beta b M T_0 - 9\alpha_m^2 E_t M T_0) k_w^4}{3(\rho c - 9\alpha_m^2 M T_0)^2} \right]. \tag{C.5}$$

By rearranging the expression with respect to the permeability k, we can express the values of -q/2 and  $q^2/4 + p^3/25$ in Eq. (C.2) as follows:

$$-\frac{q}{2} = a_{11}k + a_{12}k^3,\tag{C.6}$$

$$\frac{q^2}{4} + \frac{p^3}{27} = a_{21} + a_{22}k^2 + a_{23}k^4,$$
(C.7)

where.

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$$a_{11} = \left[ -\frac{\rho c E_t + \beta^2 T_0}{2\rho(\rho c - 9\alpha_m^2 M T_0)} + \frac{c(\rho c E_t + \rho c b^2 M + \beta^2 T_0 + 6\alpha_m \beta b M T_0 - 9\alpha_m^2 E_t M T_0)}{6(\rho c - 9\alpha_m^2 M T_0)^2} \right] M k_w^4, \tag{C.8}$$

$$a_{12} = \left[ -\frac{\rho^3 c^3}{27(\rho c - 9\alpha_m^2 M T_0)^3} \right] M^3 k_w^6, \tag{C.9}$$

$$a_{21} = \left[ \frac{(\rho c E_t + \rho c b^2 M + \beta^2 T_0 + 6\alpha_m \beta b M T_0 - 9\alpha_m^2 E_t M T_0)^3}{27\rho^3 (\rho c - 9\alpha_m^2 M T_0)^3} \right] k_w^6, \tag{C.10}$$

$$a_{21} = \left[ \frac{(\rho c E_t + \rho c b^2 M + \beta^2 T_0 + 6\alpha_m \beta b M T_0 - 9\alpha_m^2 E_t M T_0)^3}{27 \rho^3 (\rho c - 9\alpha_m^2 M T_0)^3} \right] k_w^6,$$

$$a_{22} = \left[ \frac{(\rho c E_t + \beta^2 T_0)^2}{4 \rho^2 (\rho c - 9\alpha_m^2 M T_0)^2} - \frac{c(\rho c E_t + \beta^2 T_0)(\rho c E_t + \rho c b^2 M + \beta^2 T_0 + 6\alpha_m \beta b M T_0 - 9\alpha_m^2 E_t M T_0)}{6\rho (\rho c - 9\alpha_m^2 M T_0)^3} - \frac{c^2 (\rho c E_t + \rho c b^2 M + \beta^2 T_0 + 6\alpha_m \beta b M T_0 - 9\alpha_m^2 E_t M T_0)^2}{108(\rho c - 9\alpha_m^2 M T_0)^4} \right] M^2 k_w^8,$$

$$-\frac{c^2(\rho c E_t + \rho c b^2 M + \beta^2 T_0 + 6\alpha_m \beta b M T_0 - 9\alpha_m^2 E_t M T_0)^2}{108(\rho c - 9\alpha_m^2 M T_0)^4} M^2 k_w^8, \tag{C.11}$$

$$a_{23} = \left[ \frac{\rho^2 c^3 (\rho c E_t + \beta^2 T_0)}{27 (\rho c - 9\alpha_w^2 M T_0)^4} \right] M^4 k_w^{10}. \tag{C.12}$$

The damping coefficient  $\alpha$  in Eq. (70) can be expressed as,

$$\alpha = \frac{k_w \left| A + B + \frac{2}{3} a \right|}{\sqrt{3} (A - B)} \text{ with } a = a_{01} k \text{ and } a_{01} = \left[ \frac{\rho c M}{\rho c - 9\alpha_m^2 T_0 M} \right] k_w^2, \tag{C.13}$$

or equivalently as,

$$\alpha = \frac{k_w \left| \sqrt[3]{a_{11} + a_{12}k^3 + \sqrt{a_{21} + a_{22}k^2 + a_{23}k^4}} + \sqrt[3]{a_{11} + a_{12}k^3 - \sqrt{a_{21} + a_{22}k^2 + a_{23}k^4}} + \frac{2}{3}a_{01}kk_w^2 \right|}{\sqrt{3} \left( \sqrt[3]{a_{11} + a_{12}k^3 + \sqrt{a_{21} + a_{22}k^2 + a_{23}k^4}} - \sqrt[3]{a_{11} + a_{12}k^3 - \sqrt{a_{21} + a_{22}k^2 + a_{23}k^4}} \right)}.$$
 (C.14)

By adopting the small permeability as in the previous study [54], the limit form of Eq. (C.14) with respect to a small 494 value of k is. 495

$$\alpha \cong \frac{k_w \left| \sqrt[3]{a_{11} + \sqrt{a_{21}}} + \sqrt[3]{a_{11} - \sqrt{a_{21}}} + \frac{2}{3}a_{01}kk_w^2 \right|}{\sqrt{3} \left( \sqrt[3]{a_{11} + \sqrt{a_{21}}} - \sqrt[3]{a_{11} - \sqrt{a_{21}}} \right)}.$$
 (C.15)

Therefore, the internal length scale (l), which is a reciprocal of the damping coefficient, can be calculated as a function 496 of the mobility (k), specific heat  $(\rho c)$ , wavenumber  $(k_w)$ , tangential modulus under strain softening  $(E_t)$ , reference 497 temperature  $(T_0)$  and other material properties as follows: 498

$$l = f(k, \rho c, k_w, E_t, M, \beta, \alpha_m, T_0) = \alpha^{-1} \cong \frac{\sqrt{3} \left(\sqrt[3]{a_{11} + \sqrt{a_{21}}} - \sqrt[3]{a_{11} - \sqrt{a_{21}}}\right)}{k_w \left|\sqrt[3]{a_{11} + \sqrt{a_{21}}} + \sqrt[3]{a_{11} - \sqrt{a_{21}}} + \frac{2}{3}a_{01}kk_w^2\right|}.$$
 (C.16)

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